

SCOPE

It deals with the design and development of equipment and processes for the manufacturing of products such as agriculture, food, and pharmaceuticals. It also deals with studying various biotechnological processes used in industries for large scale production of biological product for optimization of yield in the end product and the quality of end product.

OBJECTIVES

This course encompasses the use of microorganisms in the manufacture of food or industrial products. The use of microorganisms for the production of food, either human or animal, the microorganisms used in bio processes may be natural isolates; laboratory selected mutants or genetically engineered organisms.

UNIT-I

Design of a basic fermenter, bioreactor configuration, design features, computer control of fermentation process, measurement and control of process. Types of Bioreactors and its functions.

UNIT – II

Transport phenomena in fermentation: Gas- liquid exchange and mass transfer, oxygen transfer, critical oxygen concentration, heat transfer, aeration/agitation, its importance. Sterilization of Bioreactors, nutrients, air supply, products and effluents, process variables and control, scale-up of bioreactors.

UNIT – III

Growth of cultures in the fermenter. Importance of media in fermentation, media formulation and modification . Kinetics of growth in batch culture, continuous culture with respect to substrate utilization, specific growth rate, steady state in a chemostat, fed-batch fermentation, yield of biomass, product, calculation for productivity.

UNIT – IV

Down streaming process of microbial products - separation, extraction, and purification, drying and crystallization.

UNIT – V

Isolation, selection and improvement of microbial cultures. Strain improvement for the selected organism: Use of recombinant DNA technology, protoplast fusion techniques for strain improvement. Improvement of characters other than products and its application in the industry. Preservation of cultures after strain improvement programme.

SUGGESTED READINGS**TEXT BOOKS**

1. Demain, A.L., and Davies, J.E., (1999). Manual of Industrial Microbiology and Biotechnology. (2nd ed.). A.S.M. Press, Washington, D.C.
2. Hugo, W.B., and Russell, A.D., (1998). Pharmaceutical Microbiology. (6th ed.). Publisher Blackwell Science Ltd.
3. Mansi, E.M.T., and Bryce, C.F.A., (2002). Fermentation Microbiology and Biotechnology. Taylor and Francis, New York.
4. Patel, A.H. (2003). Industrial Microbiology. Macmillan India Ltd. New Delhi.

REFERENCES

1. Reed, G. (2002). Prescott and Dunn's Industrial Microbiology. (5th ed.). CBS Publishers, New Delhi.
2. Shuler, M.L., and Kargi, F., (2005). Bioprocess Engineering Basic Concepts. Pearson Education, New Delhi.
3. Stanbury, P.T., and Whitaker, A., (2005). Principles of Fermentation Technology, Pergamon Press, NY.
4. Waites, M. J. (2007). Industrial Microbiology. Blackwell Publishing Company. UK.



KARPAGAM ACADEMY OF HIGHER EDUCATION

(Deemed to be University Established Under Section 3 of UGC Act, 1956)

Coimbatore -641 021.

LECTURE PLAN DEPARTMENT OF MICROBIOLOGY

STAFF NAME : Dr. P. SRINIVASAN

SUBJECT NAME: BIOPROCESS ENGINEERING

SEMESTER : II

SUB.CODE:17MBP205C

CLASS: I M.Sc (MB)

S. No	Duration	Topic to be covered	Reference
UNIT-I			
1.	1	Design of a basic fermenter	T1:43-57
2.	1	Bioreactor configuration	T1: 56-69
3.	2	Design features	T1:97-104
4.	1	Computer control of fermentation process	T2:188-215
5.	1	Measurement and control of process	T2:188-215
6.	1	Reactors for specialized applications: Tube reactors	R1:765-773
7.	1	Packed bed reactors	R2:223-225
8.	1	Fluidized bed reactors	R2:375-395
9.	1	Cyclone reactors	R2:375-395
10.	1	Trickle flow reactors	R2:375-395
11.	1	Video presentation on working principle of fermentors	W1
12.	1	Class test I	
Total Hours planned for Unit I			13
1.	2	Transport phenomena in fermentation: Gas- liquid exchange	R1: 85-88
2.	2	Mass transfer in fermentation	R2: 231-244
3.	2	Oxygen transfer and critical oxygen concentration in fermentation	R2: 231-244
4.	1	Heat transfer in fermentation	R2: 231-244
5.	1	Aeration/agitation, its importance.	R3:333-351
6.	1	Sterilization of Bioreactors, nutrients	R3:379-430
7.	2	Air supply, products and effluents	R3:823-830
8.	1	Process variables and control	R3:823-830
9.	1	Scale-up of bioreactors	R4:318-322
10.	2	Power point presentation on different types of bioreactors	W1
11.	1	Video presentation on fermentation and types	W1
12.	1	Class test I	
Total Hours planned for Unit II			17

1.	1	Growth of cultures in the fermenter.	R1: 315-317
2.	1	Importance of media in fermentation.	R1: 315-317
3.	1	Media formulation and modification.	R1: 315-317
4.	1	Kinetics of growth in batch culture with respect to substrate utilization.	R1: 315-317
5.	1	Kinetics of growth in continuous culture with respect to substrate utilization.	R1: 315-317
6.	1	Steady state in a chemostat, fed-batch fermentation.	R2:24-41
7.	1	Steady state in a chemostat, fed-batch fermentation.	R2:24-41
8.	1	Yield of biomass, product, and calculation for productivity.	R2:24-41
9.	1	Storage of cultures for repeated fermentations	R2:24-41
10.	1	Scaling up of process from shake flask to industrial fermentation.	R2:24-41
11.	1	Power point presentation on growth kinetics in a fermentor	W1
12.	1	Video presentation on media formulation	W1
13.	1	Class test III	
Total Hours planned for Unit III			13
1.	2	Biomass separation by centrifugation.	T1: 64-86
2.	2	Biomass separation by filtration, flocculation and other recent developments.	R2: 111-123
3.	2	Cell disintegration: Physical, chemical and enzymatic methods.	R2: 111-123
4.	1	Extraction: Solvent, two phase, liquid extraction, whole broth, aqueous multiphase extraction.	R2: 111-123
5.	1	Purification of products by different methods.	R3:445-450
6.	1	Concentration of products by precipitation	R3:445-450
7.	2	Concentration of products by ultra-filtration, reverse osmosis.	R3:452-460
8.	1	Drying and crystallization.	R3:438-563
9.	2	Power point presentation on down streaming process	W1
10.	1	Video presentation on different types of down streaming process	W1
11.	1	Class test IV	
Total Hours planned for Unit IV			16
	1	Isolation of microbial cultures	R1: 9-50
	1	Selection of microbial cultures	R1: 9-50
	1	Strain improvement for the selected organism	R2: 71-73
	2	Use of recombinant DNA technology	R2: 71-73
	2	Protoplast fusion techniques for strain improvement.	R3:178-187
	1	Improvement of characters other than products and its	R3:178-187
	1	Application in the industrial important strains	R3:178-187

	2	Preservation of cultures after strain improvement programme	R3:178-187
	2	Preservation of cultures after strain improvement programme	R4:244-293
	1	Class test V	-
	2	Revision of previous year ESE question papers	-
Total Hours planned for Unit V			16
Total planned hours	75		

TEXT BOOKS

T1: Kalaichelvan and Arulpani, 2009. Bioprocess Technology, MJP Publishers.

T2: Umesh Kumar, 2014. Industrial Microbiology, MJP Publishers.

REFERENCE BOOK

R1: Doran, 2013. Bioprocess engineering principles, Academic Press.

R2: Peppler and Pearlman, 1979. Microbial Biotechnology. Academic Press.

R3: Umesh kumar, 2014. Industrial Microbiology, SBW Publishers.

R4: Wulf Cruegar & A. Cruegar, 2000. A Textbook of Industrial Microbiology, Panima Publishing Corp, Newdelhi.

WEBSITES

W1: www.youtube.com/basicworkingprincipleofafermentor/index.php.

W2: www.shomusbiology.com/index1.bioreactors.html

W3: www.shomusbiology.com/index1.bioreactorsandgrowthkinetics.html.

W4: www.youtube.com/industrialmicrobiologyprocess.index.php.

Unit I

DESIGN OF A FERMENTOR

A research team led by Chaim Weizmann in Great Britain during the First World War (1914-1918) developed a process for the production of acetone by a deep liquid fermentation using *Clostridium acetobutylicum* which led to the eventual use of the first truly large-scale aseptic fermentation vessels (Hastings, 1978). Contamination, particularly with bacteriophages, was often a serious problem, especially during the early part of a large-scale production stage. Initially, no suitable vessels were available and attempts with alcohol fermenters fitted with lids were not satisfactory as steam sterilization could not be achieved at atmospheric pressure. Large mild-steel cylindrical vessels with hemispherical tops and bottoms were constructed that could be sterilized with steam under pressure. Since the problems of aseptic additions of media or inocula had been recognized, steps were taken to design and construct piping, joints and valves in which sterile conditions could be achieved and maintained when required. Although the smaller seed vessels were stirred mechanically, the large production vessels were not, and the large volumes of gas produced during the fermentation continually agitated the vessel contents. Thus, considerable expertise was built up in the construction and operation of this aseptic anaerobic process for production of acetone-butanol. The first true large-scale aerobic fermenters were used in Central Europe in the 1930s for the production of compressed yeast (de Becze and Liebmann, 1944).

The fermenters consisted of large cylindrical tanks with air introduced at the base via networks of perforated pipes. In later modifications, mechanical impellers were used to increase the rate of mixing and to break up and disperse the air bubbles. This procedure led to the compressed-air requirements being reduced by a factor of 5. Baffles on the walls of the vessels prevented a vortex forming in the liquid. Even at this time it was recognized that the cost of energy necessary to compress air could be 10 to 20% of the total production cost. As early as 1932, Strauch and Schmidt patented a system in which the aeration tubes were provided with water and steam for cleaning and sterilizing. Prior to 1940, the other important fermentation products besides bakers' yeast were ethanol, glycerol, acetic acid, citric acid, other organic acids, enzymes and sorbose (Johnson, 1971). These processes used highly selective environments such as acidic or anaerobic conditions or the use of an unusual substrate, resulting in contamination being a relatively minor problem compared with the acetone fermentation or the subsequent aerobic antibiotic fermentations. The decision to use submerged culture techniques for penicillin production, where aseptic conditions, good aeration and agitation were essential, was a very important factor in forcing the development of carefully designed and purpose-built fermentation vessels. In 1943, when the British government decided that surface culture production was

inadequate, none of the fermentation plants were immediately suitable for deep fermentation, although the Distillers Company solvent plant at Bromborough only needed aeration equipment to make it suitable for penicillin production (Hastings, 1971). Construction work on the first large-scale plant to produce penicillin by deep fermentation was started on 15th September 1943, at Terre Haute in the United States of America, building steel fermenters with working volumes of 54,000 dm³ (Callahan, 1944). The plant was operational on 30th January 1944. Unfortunately, no other construction details were quoted for the fermenters.

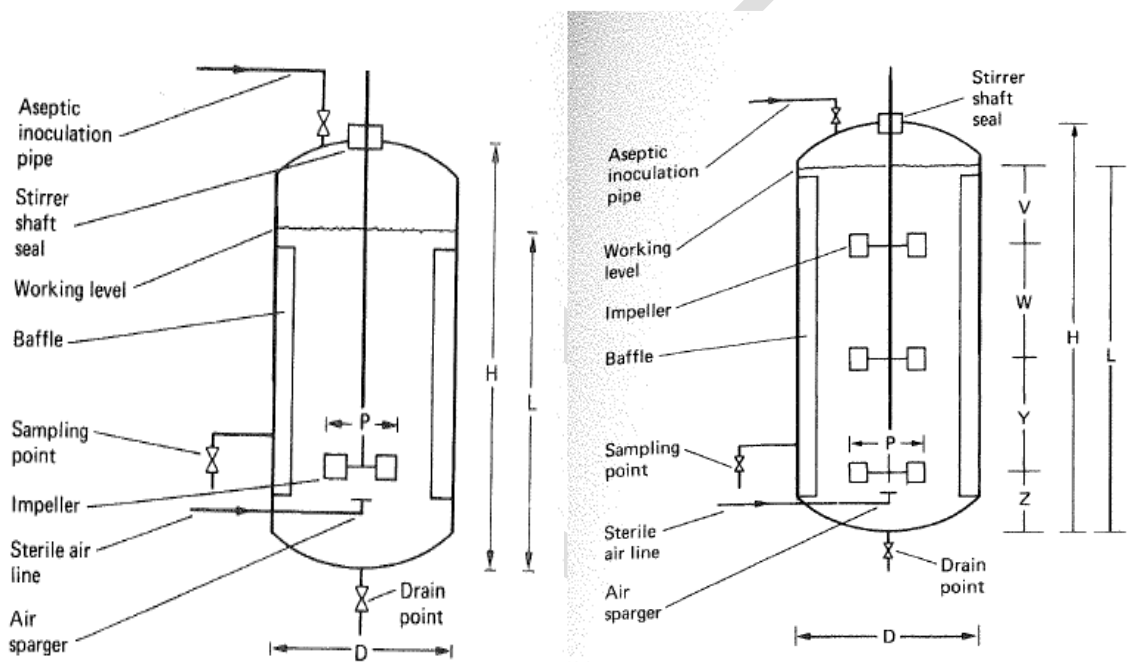
BASIC FUNCTIONS OF A FERMENTER FOR MICROBIAL OR ANIMAL CELL CULTURE

The main function of a fermenter is to provide a controlled environment for the growth of microorganisms or animal cells, to obtain a desired product. In designing and constructing a fermenter a number of points must be considered:

1. The vessel should be capable of being operated aseptically for a number of days and should be reliable in long-term operation and meet the requirements of containment regulations.
2. Adequate aeration and agitation should be provided to meet the metabolic requirements of the micro-organism. However, the mixing should not cause damage to the organism.
3. Power consumption should be as low as possible.
4. A system of temperature control should be provided.
5. A system of pH control should be provided.
6. Sampling facilities should be provided.
7. Evaporation losses from the fermenter should not be excessive.
8. The vessel should be designed to require the minimal use of labour in operation, harvesting, cleaning and maintenance.
9. Ideally the vessel should be suitable for a range of processes, but this may be restricted because of containment regulations.
10. The vessel should be constructed to ensure smooth internal surfaces, using welds instead of flange joints whenever possible.
11. The vessel should be of similar geometry to both smaller and larger vessels in the pilot plant or plant to facilitate scale-up.
12. The cheapest materials which enable satisfactory results to be achieved should be used.

13. There should be adequate service provisions for individual plants.

The first two points are probably the most critical. It is obvious from the above points that the design of a fermenter will involve co-operation between experts in microbiology, biochemistry, chemical engineering, mechanical engineering and costing. Although many different types of fermenter have been described in the literature, very few have proved to be satisfactory for industrial aerobic fermentations. The most commonly used ones are based on a stirred upright cylinder with sparger aeration. This type of vessel can be produced in a range of sizes from one dm³ to thousands of dm³.



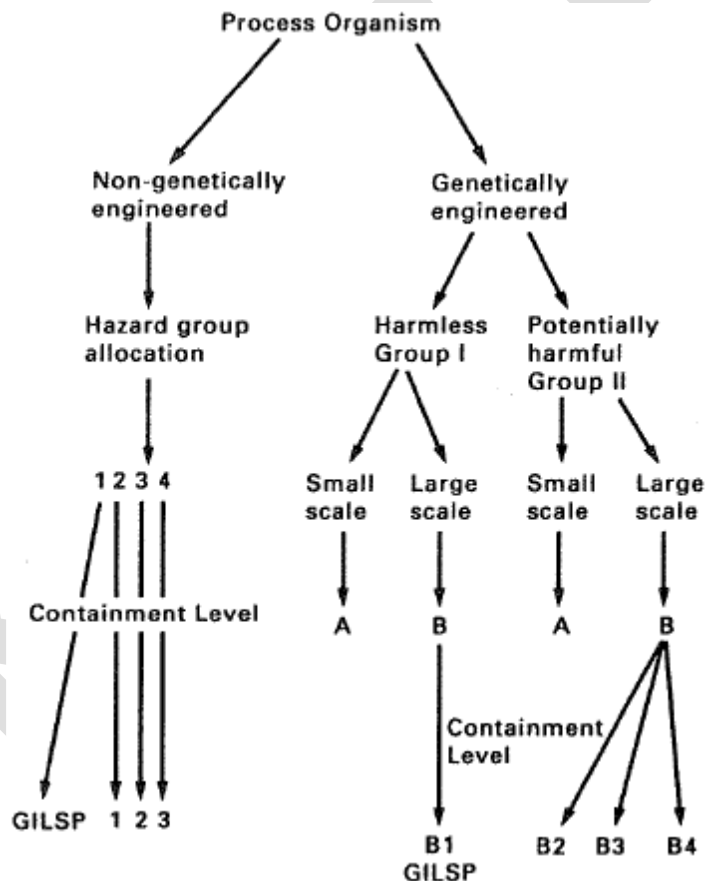
Schematics of a fermentor design (Single and multi bladed impellers)

ASEPTIC OPERATION AND CONTAINMENT

Aseptic operation involves protection against contamination and it is a well-established and understood concept in the fermentation industries, whereas containment involves prevention of escape of viable cells from a fermenter or downstream equipment and is much more recent in origin. Containment guidelines were initiated during the 1970s. To establish the appropriate degree of containment which will be necessary to grow a micro-organism, it, and in fact the entire process, must be carefully assessed for potential hazards that could occur should there be accidental release. Different assessment procedures are used depending on whether or not the organism contains foreign DNA (genetically engineered). Once the hazards are assessed, an organism can be classified into a hazard group for which there is an appropriate level of containment. The procedure which has been adopted within the European Community

is outlined. Non-genetically engineered organisms may be placed into a hazard group (1 to 4) using criteria to assess risk such as those given by Collins (1992):

1. The known pathogenicity of the micro-organism.
2. The virulence or level of pathogenicity of the micro-organism are the diseases it causes mild or serious?
3. The number of organisms required to initiate an infection.
4. The routes of infection.
5. The known incidence of infection in the community and the existence locally of vectors and potential reserves.
6. The amounts or volumes of organisms used in the fermentation process.
7. The techniques or processes used.
8. Ease of prophylaxis and treatment.



Categorization of a process micro-organism and designation of its appropriate level of containment at research or industrial sites within the European Federation of Biotechnology (GILSP = Good Industrial Large Scale Practice).

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Once the organism has been allocated to a hazard group, the appropriate containment requirements can be applied. Hazard group 1 organisms used on a large scale only require Good Industrial Large Scale Practice (GILSP). Processes in this category need to be operated aseptically but no containment steps are necessary, including prevention of escape of organisms. If the organism is placed in Hazard group 4 the stringent requirements of level 3 will have to be met before the process can be operated. Details of hazard categories for a range of organisms can be obtained from Frommer *et al.* (1989). Genetically engineered organisms are classified as either harmless (Group I) or potentially harmful (Group II). The process is then classified as either small scale (A: less than 10 dm³) or large scale (B: more than 10 dm³) according to guidelines which can be found in the Health and Safety Executive document (1993). Therefore large scale processes fall into two categories, IE or IIB. IE processes require containment level B1 and are subject to GILSP, whereas IIB processes are further assessed to determine the most suitable containment level, ranging from B2 to B4. Levels B2 to B4 correspond to levels 1 to 3 for non-genetically engineered organisms.

In future it is possible, under new legislation, that no distinction will be made between non-genetically engineered and genetically engineered organisms. The key factor will be whether the organism is harmless or potentially harmful, regardless of its genetic constitution. Containment would then be decided using the scheme which is currently being used for genetically engineered organisms. Other hazard-assessment systems for classifying organisms have been introduced in many other countries. Production and research workers must abide by appropriate local official hazard lists. Problems can occur when different official bodies place the same organism in different hazard categories. In 1989, the European Federation for Biotechnology were aware of this problem with non-recombinant micro-organisms and produced a consensus list (Frommer *et al.*, 1989). Most micro-organisms used in industrial processes are in the lowest hazard group which only require GILSP, although some organisms used in bacterial and viral vaccine production and other processes are categorized in higher groups. There is an obvious incentive for industry to use an organism which poses a low risk as this minimizes regulatory restrictions and reduces the need for expensive equipment and associated containment facilities.

BODY CONSTRUCTION

In fermentations with strict aseptic requirements it is important to select materials that can withstand repeated steam sterilization cycles. On a small scale (1 to 30 dm³) it is possible to use glass and/or stainless steel. Glass is useful because it gives smooth surfaces, is non-toxic, corrosion proof and it is usually easy to examine the interior of the vessel. Two basic types of fermenter are used:

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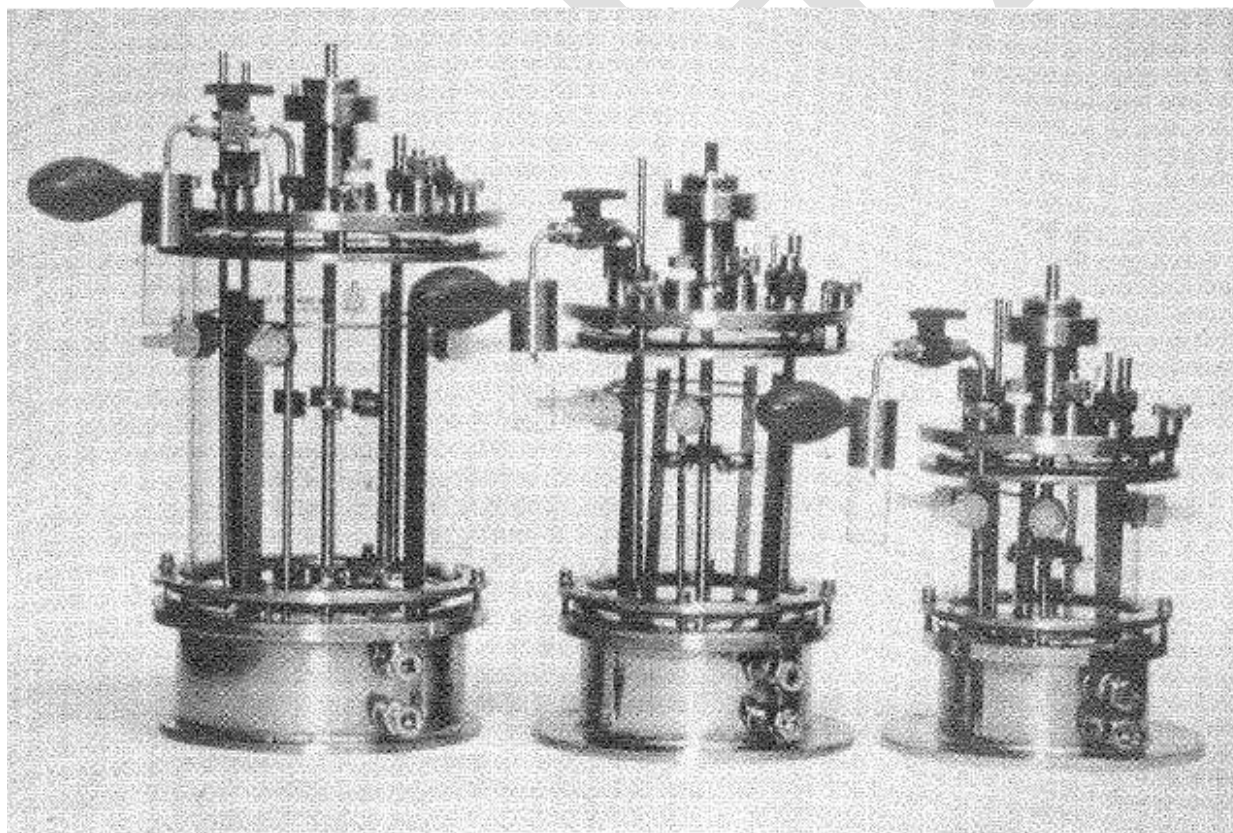
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1. A glass vessel with a round or flat bottom and a top flanged carrying plate. The large glass containers originally used were borosilicate battery jars (Brown and Peterson, 1950). All vessels of this type have to be sterilized by autoclaving.

Cowan and Thomas (1988) state that the largest practical diameter for glass fermenters is 60 cm.

2. A glass cylinder with stainless-steel top and bottom plates. These fermenters may be sterilized *in situ*, but 30 cm diameter is the upper size limit to safely withstand working pressures (Solomons, 1969). Vessels with two stainless steel plates cost approximately 50% more than those with just a top plate.

At pilot and large scale, when all fermenters are sterilized *in situ*, any materials used will have to be assessed on their ability to withstand pressure sterilization and corrosion and on their potential toxicity and cost. Walker and Holdsworth (1958), Solomons (1969) and Cowan and Thomas (1988) have discussed the suitability of various materials used in the construction of fermenters. Pilot-scale and industrial scale vessels are normally constructed of stainless steel or at least have a stainless-steel cladding to limit corrosion.



Glass Fermentors of different capacities

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The American Iron and Steel Institute (AISI) states that steels containing less than 4% chromium are classified as steel alloys and those containing more than 4% are classified as stainless steels. Mild steel coated with glass or phenolic epoxy materials has occasionally been used.

Walker and Holdsworth (1958) stated that the extent of vessel corrosion varied considerably and did not appear to be entirely predictable. Although stainless steel is often quoted as the only satisfactory material, it has been reported that mild-steel vessels were very satisfactory after 12-years use for penicillin fermentations (Walker and Holdsworth, 1958) and mild steel clad with stainless steel has been used for at least 25 years for acetone-butanol production (Spivey, 1978). Pitting to a depth of 7 mm was found in a mild-steel fermenter after 7-years use for streptomycin production (Walker and Holdsworth, 1958). The corrosion resistance of stainless steel is thought to depend on the existence of a thin hydrous oxide film on the surface of the metal. The composition of this film varies with different steel alloys and different manufacturing process treatments such as rolling, pickling or heat treatment. The film is stabilized by chromium and is considered to be continuous, non-porous, insoluble and self healing. If damaged, the film will repair itself when exposed to air or an oxidizing agent (Cubberly *et al.*, 1980).

The minimum amount of chromium needed to resist corrosion will depend on the corroding agent in a particular environment, such as acids, alkalis, gases, soil, salt or fresh water. Increasing the chromium content enhances resistance to corrosion, but only grades of steel containing at least 10 to 13% chromium develop an effective film. The inclusion of nickel in high percent chromium steels enhances their resistance and improves their engineering properties. The presence of molybdenum improves the resistance of stainless steel to solutions of halogen salts and pitting by chloride ions in brine or sea water. Corrosion resistance can also be improved by tungsten, silicone and other elements (Cubberly *et al.*, 1980; Duurkoop, 1992). AISI grade 316 steels which contain 18% chromium, 10% nickel and 2-2.5% molybdenum are now commonly used in fermenter construction.

TEMPERATURE CONTROL

Normally in the design and construction of a fermenter there must be adequate provision for temperature control which will affect the design of the vessel body. Heat will be produced by microbial activity and mechanical agitation and if the heat generated by these two processes is not ideal for the particular manufacturing process then heat may have to be added to, or removed from, the system. On a laboratory scale little heat is normally generated and extra heat has to be provided by placing the fermenter in a thermostatically controlled bath, or by the use of internal heating coils or a heating jacket through which water is circulated or by a silicone heating jacket. The silicone jacket consists of a double silicone rubber mat with heating wires between the two mats; it is wrapped around the vessel and held in place by Velcro strips (Applikon, 1989).

Once a certain size has been exceeded, the surface area covered by the jacket becomes too small to remove the heat produced by the fermentation. When this situation occurs internal coils must be used and cold water is circulated to achieve the correct temperature (Jackson, 1990). Different types of fermentation will influence the maximum size of vessel that can be used with jackets alone.

AERATION AND AGITATION

The primary purpose of aeration is to provide microorganisms in submerged culture with sufficient oxygen for metabolic requirements, while agitation should ensure that a uniform suspension of microbial cells is achieved in a homogeneous nutrient medium. The type of aeration-agitation system used in a particular fermenter depends on the characteristics of the fermentation process under consideration. Although fine aerators without mechanical agitation have the advantage of lower equipment and power costs, they may be dispensed with only when aeration is sufficient, i.e. in processes where broths of low viscosity and low total solids are used (Arnold and Steel, 1958). Thus, mechanical agitation is usually required in fungal and actinomycete fermentations. Non-agitated fermentations are normally carried out in vessels of a height/diameter ratio of 5:1. In vessels aeration is sufficient to produce high turbulence, but a tall column of liquid does require energy input in the production of the compressed air (Muller and Kieslich, 1966; Solomons, 1980). The structural components of the fermenter involved in aeration and agitation are

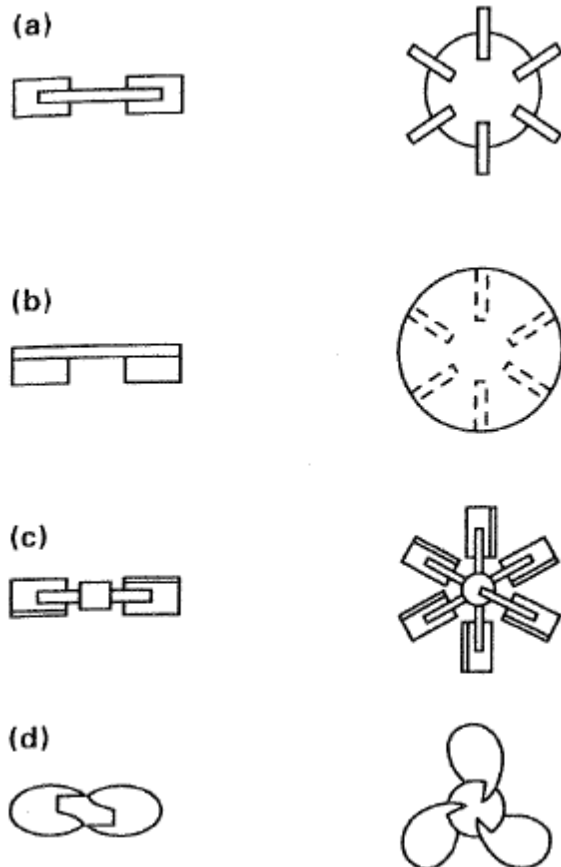
- (a) The agitator (impeller).
- (b) Stirrer glands and bearings.
- (c) Baffles.
- (d) The aeration system (sparger).

THE AGITATOR (IMPELLER)

The agitator is required to achieve a number of mixing objectives, e.g. bulk fluid and gas-phase mixing, air dispersion, oxygen transfer, heat transfer, suspension of solid particles and maintaining a uniform environment throughout the vessel contents. It should be possible to design a fermenter to achieve these conditions; this will require knowledge of the most appropriate agitator, air sparger, baffles, the best positions for nutrient feeds, acid or alkali for pH control and antifoam addition.

Agitators may be classified as disc turbines, vaned discs, open turbines of variable pitch and propellers. The disc turbine consists of a disc with a series of rectangular vanes set in a vertical plane around the circumference and the vaned disc has a series of rectangular vanes attached vertically to the underside. Air from the sparger hits the underside of the disc and is displaced

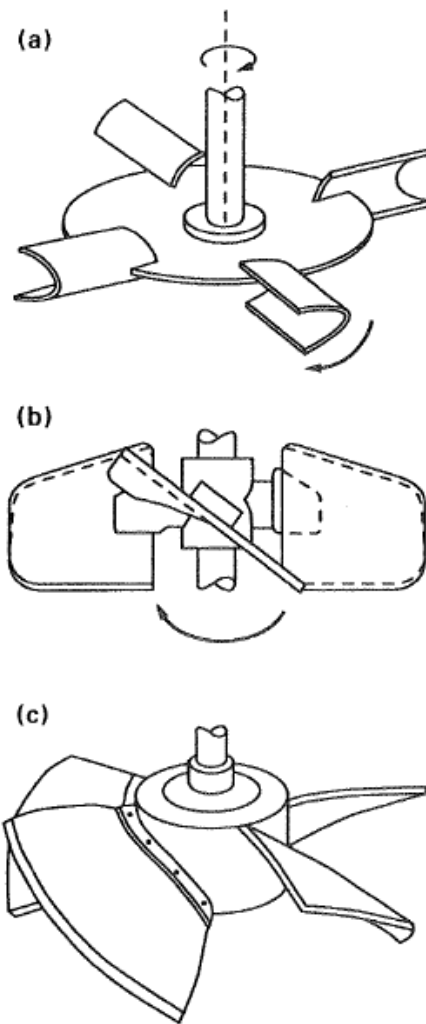
towards the vanes where the air bubbles are broken up into smaller bubbles. The vanes of a variable pitch open turbine and the blades of a marine propeller are attached directly to a boss on the agitator shaft. In this case the air bubbles do not initially hit any surface before dispersion by the vanes or blades.



Types of agitator - (a) disc turbine; (b) vaned disc; (c) open turbine, variable pitch; (d) marine propeller.

Four other modern agitator developments, the Scaba 6SRGT, the Prochem Maxflo T, the Lightning A315 and the Ekato Intermig, which are derived from open turbines, will also be discussed for energy conservation and use in high-viscosity broths. Since the 1940s a Rushton disc turbine of one-third the fermenter diameter has been considered the optimum design for use in many fermentation processes. It had been established experimentally that the disc turbine was most suitable in a fermenter since it could break up a fast air stream without itself becoming flooded in air bubbles (Finn, 1954). This flooding condition is indicated when the bulk flow pattern in the vessel normally associated with the agitator design (radial with the Rushton turbine) is lost and replaced by a centrally flowing air-broth plume up the middle of the vessel with a liquid flow as an annulus. The propeller and the open turbine flood when V , (superficial

velocity, i.e. volumetric air flow rate/cross-sectional area of fermenter exceeds 21 m h^{-1} whereas the flat blade turbine can tolerate a V , of up to 120 m h^{-1} before being flooded, when two sets are used on the same shaft. Besides being flooded at a lower V , than the disc turbine, the propeller is also less efficient in breaking up a stream of air bubbles and the flow it produces is axial rather than radial (Cooper *et al.*, 1944). The disc turbine was thought to be essential for forcing the sparged air into the agitator tip zone where bubble break up would occur.



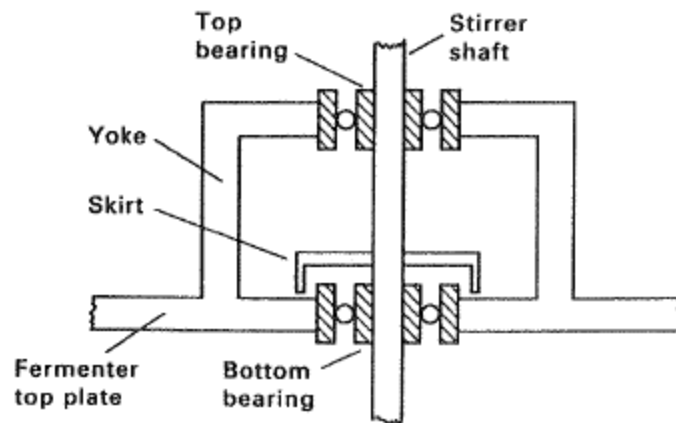
Different types of Agitator (a) Scaba agitator; (b) Lightnin' A315 agitator (four blades) and (c) Prochem Maxflo T agitator

In other studies it has been shown that bubble breakup occurs in the trailing vortices associated with all agitator types which give rise to gas-filled cavities and provided the agitator speed is high enough, good gas dispersion will occur in low-viscosity broths (Smith, 1985). It has been also shown that similar oxygen-transfer efficiencies are obtained at the same power input per unit volume, regardless of the agitator type.

STIRRER GLANDS AND BEARINGS

The satisfactory sealing of the stirrer shaft assembly top plate has been one of the most difficult problems to overcome in the construction of fermentation equipment which can be operated aseptically for long periods. A number of different designs have been developed to obtain aseptic seals. The stirrer shaft can enter the vessel from the top, side (Richards, 1968) or bottom of the vessel. Top entry is most commonly used, but bottom entry may be advantageous if more space is needed on the top plate for entry ports, and the shorter shaft permits higher stirrer speeds to be used by eliminating the problem of the shaft whipping at high speeds. Originally, bottom entry stirrers were considered undesirable as the bearings would be submerged. Chain *et al.* (1952) successfully operated vessels of this type, and they have since been used by many other workers. Mechanical seals can be used for bottom entry provided that they are routinely maintained and replaced at recommended intervals (Leaver and Hambleton, 1992). One of the earliest stirrer seals described was that used by Rivett, Johnson and Peterson (1950) in a laboratory fermenter. A porous bronze bearing for a 13-mm shaft was fitted in the centre of the fermenter top and another in a yoke directly above it.

The bearings were pressed into steel housings, which screwed into position in the yoke and the fermenter top. The lower bearing and housing were covered with a skirt-like shield having a 6.5 mm overhang which rotated with the shaft and prevented air-borne contaminants from settling on the bearing and working their way through it into the fermenter.



Simple stirrer seal

BAFFLES

Four baffles are normally incorporated into agitated vessels of all sizes to prevent a vortex and to improve aeration efficiency. In vessels over 3-dm³ diameter six or eight baffles may be used (Scragg, 1991). Baffles are metal strips roughly one-tenth of the vessel diameter and attached radially to the wall. The agitation effect is only slightly increased with wider baffles, but drops

sharply with narrower baffles (Winkler, 1990). Walker and Holdsworth (1958) recommended that baffles should be installed so that a gap existed between them and the vessel wall, so that there was a scouring action around and behind the baffles thus minimizing microbial growth on the baffles and the fermenter walls. Extra cooling coils may be attached to baffles to improve the cooling capacity of a fermenter without unduly affecting the geometry.

THE AERATION SYSTEM (SPARGER)

A sparger may be defined as a device for introducing air into the liquid in a fermenter. Three basic types of sparger have been used and may be described as the porous sparger, the orifice sparger (a perforated pipe) and the nozzle sparger (an open or partially closed pipe). A combined sparger-agitator may be used in laboratory fermenters and is discussed briefly in a later section.

POROUS SPARGER

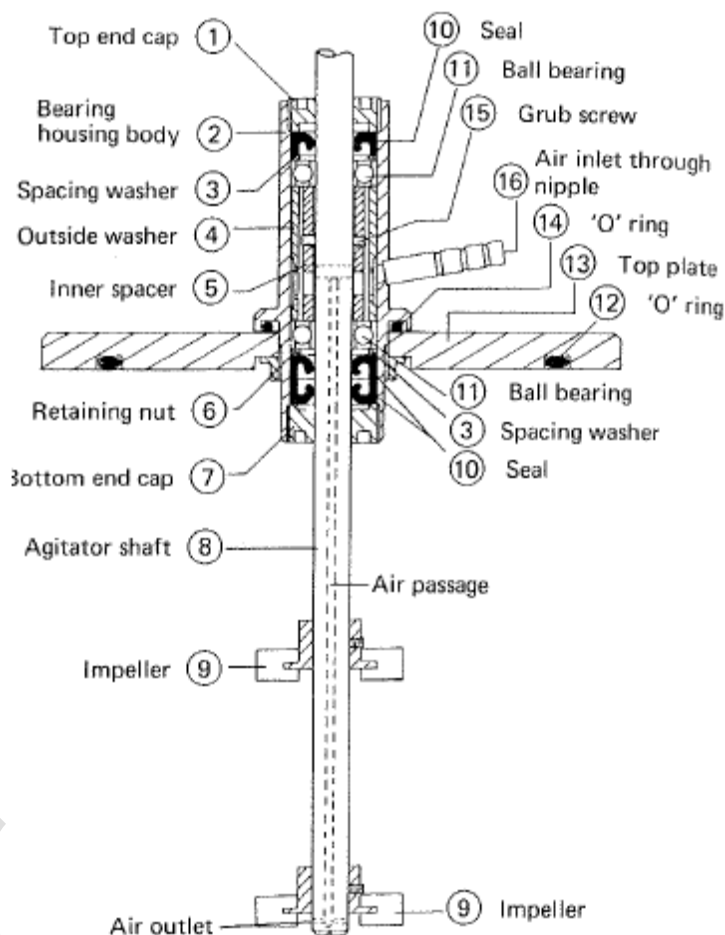
The porous sparger of sintered glass, ceramics or metal, has been used primarily on a laboratory scale in non-agitated vessels. The bubble size produced from such spargers is always 10 to 100 times larger than the pore size of the aerator block (Finn, 1954). The throughput of air is low because of the pressure drop across the sparger and there is also the problem of the fine holes becoming blocked by growth of the microbial culture.

ORIFICE SPARGER

Various arrangements of perforated pipes have been tried in different types of fermentation vessel with or without impellers. In small stirred fermenters the perforated pipes were arranged below the impeller in the form of crosses or rings (ring sparger), approximately three-quarters of the impeller diameter. In most designs the air holes were drilled on the under surfaces of the tubes making up the ring or cross. Walker and Holdsworth (1958) commented that in production vessels, sparger holes should be at least 6 mm (1/4 inch) diameter because of the tendency of smaller holes to block and to minimize the pressure drop.

In low viscosity fermentations sparged at 1 vvm (volume of air - 1 volume of medium - 1 minute⁻¹) with a power input of 1 W kg⁻¹, Nienow *et al.* (1988) found that the power often falls to below 50% of its unaerated value when using a single Rushton disc turbine which is one-third the diameter of the vessel and a ring sparger smaller than the diameter of the agitator. If the ring sparger were placed close to the disc turbine and its diameter was 1.2 times that of the disc turbine, a number of benefits could be obtained (Nienow *et al.*, 1988). A 50% higher aeration rate could be obtained before flooding occurred, the power drawn was 75% of the unaerated value, and a higher *K_La* could be obtained at the same agitator speed and aeration rate. These advantages were lost at viscosities of about 100 mPas. Orifice spargers without agitation have been used to a limited extent in yeast manufacture (Thaysen, 1945), effluent treatment (Abson and Todhunter,

1967) and later in the production of single-cell protein in the air-lift fermenter which are discussed in a later section of this chapter (Taylor and Senior, 1978; Smith, 1980).



Agitator-air sparger hybrid

FERMENTER TYPES

The Waldhof-type fermenter

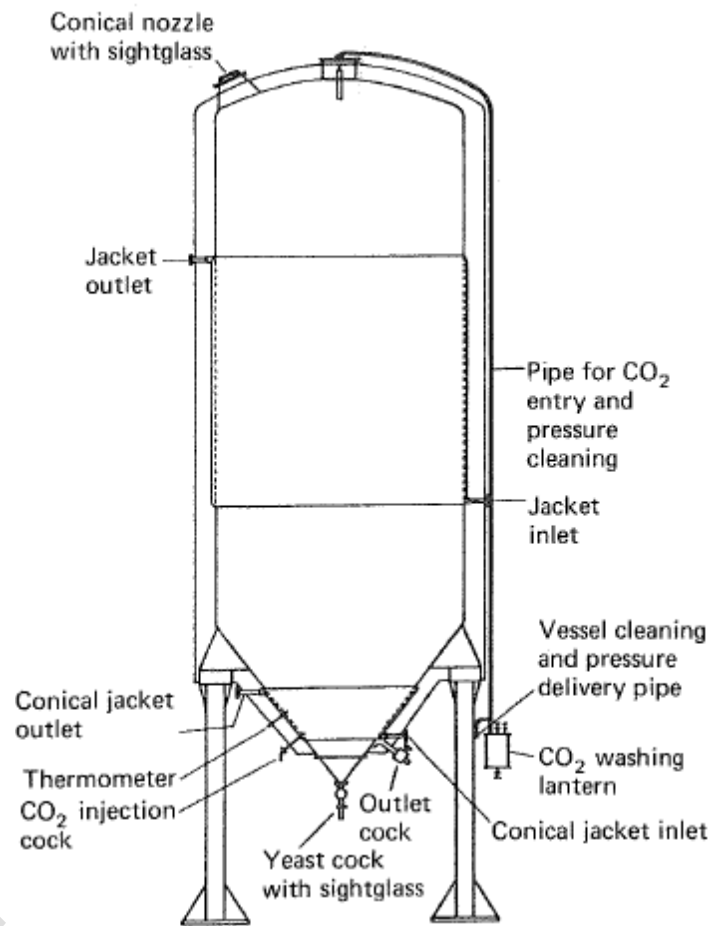
The investigations on yeast growth in Sulphite wasteliquor in Germany, Japan and the United States of America led to the development of the Waldhof-type fermenter (Inskeep *et al.*, 1951; Watanabe, 1976). Inskeep *et al.* (1951) have given a description of a production vessel based on a modification of the original design of Zellstoffabrik Waldhof. The fermenter was of carbon steel, clad in stainless steel, 7.9 m in diameter and 4.3-m high with a centre draught tube 1.2m in diameter. A draught tube was held by tie rods attached to the fermenter walls. The operating volume was 225,000 dm³ of emulsion (broth and air) or 100,000 dm³ of broth without air. Non-sterile air was introduced into the fermenter through a rotating pin-wheel type of aerator,

composed of open-ended tubes rotating at 300 rpm. The broth passed down the draught tube from the outer compartment and reduced the foaming.

The tower fermenter

It is difficult to formulate a single definition which encompasses all the types of tower fermenter. Their main common feature appears to be their height:diameter ratio or aspect ratio. Such a definition has been given by Greenshields *et al.* (1971) who described a tower fermenter as an elongated non-mechanically stirred fermenter with an aspect ratio of at least 6:1 for the tubular section or 10:1 overall, through which there is a unidirectional flow of gases. Several different types of tower fermenter exist and these will be examined in broad groups based on their design. The simplest types of fermenter are those that consist of a tube which is air sparged at the base (bubble columns). This type of fermenter was first described for citric acid production on a laboratory scale (Snell and Schweiger, 1949). This batch fermenter was in the form of a glass column having a height:diameter ratio of 16:1 with a volume of 3 dm³. Humid sterile air was supplied through a sinter at the base. Steel *et al.* (1955) reported an increase in scale to 36 dm³ for a fermenter of this type. Pfizer Ltd has always used non-agitated tower vessels for a range of mycelial fermentation processes including citric acid and tetracyclines (Solomons, 1980; Carrington *et al.*, 1992). Recently Pfizer Ltd sold their citric acid interests to Arthur Daniels Midland who are operating such vessels up to 23 m high (Burnett, 1993).

Perforated plates positioned at intervals in the tower to maintain maximum yeast production. The settling zone which could be of various designs, was to provide a zone free of rising gas so that the cells could settle and return to the main body of the tower and the clear beer could be removed. This design must be considered as an intermediate between single- and multistage systems. Towers of up to 20,000 dm³ capacity and capable of producing up to 90,000 dm³ day⁻¹ have been installed. Greenshields and Smith (1971) commented that it was difficult to predict the upper operating limits for these fermenters. Experiments with particular yeast strains in pilot-size towers were essential to establish optimum full-scale operating conditions.

**Tower Fermenter**

Air-lift fermenters

An air-lift fermenter is essentially a gas-tight baffled riser tube (liquid ascending) connected to a downcomer tube (liquid descending). Air or gas mixtures are introduced into the base of the riser by a sparger during normal operating conditions. The driving force for circulation of medium in the vessel is produced by the difference in density between the liquid column in the riser (excess air bubbles in the medium) and the liquid column in the downcomer (depleted in air bubbles after release at the top of the loop). Circulation times in loops of 45-m height may be 120 seconds. More details on liquid circulation and mixing characteristics are discussed by Chen (1990). This type of vessel can be used for continuous culture. The first patent for this vessel was obtained by Scholler and Seidel (1940). It would be uneconomical to use a mechanically stirred fermenter to produce SCP (single-cell protein) from methanol as a carbon substrate, as heat removal would be needed in external cooling loops because of the high rate of aeration and agitation required to operate the process. To overcome these problems, particularly that of cooling the medium when mechanical agitation is used, air-lift fermenters with outer or inner loops were chosen.

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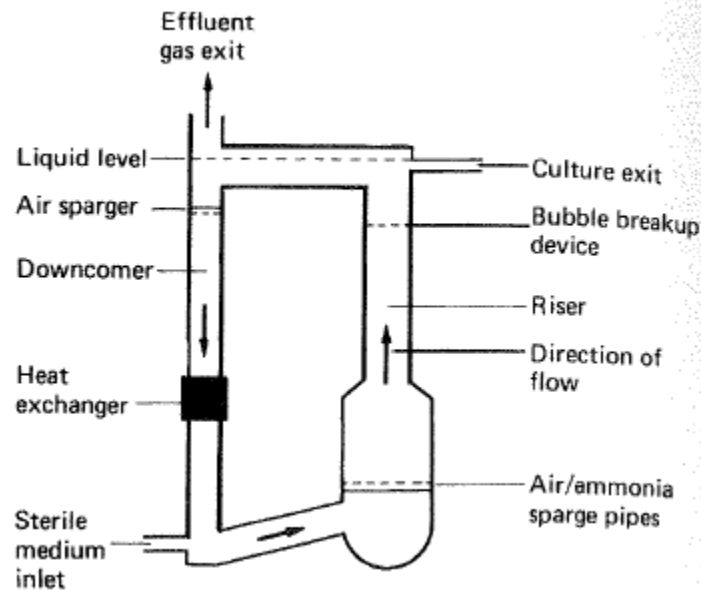
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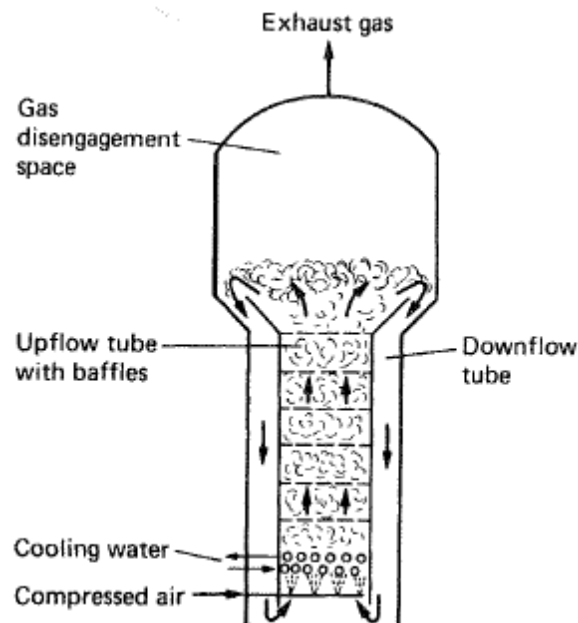
UNIT: I

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development work for operational processes for SCP has been done by ICI in Great Britain (Taylor and Senior, 1978; Smith, 1980), Hoechst AG-Uhde GmbH in Germany and Mitsubishi Gas Chemical Co. Inc. in Japan.



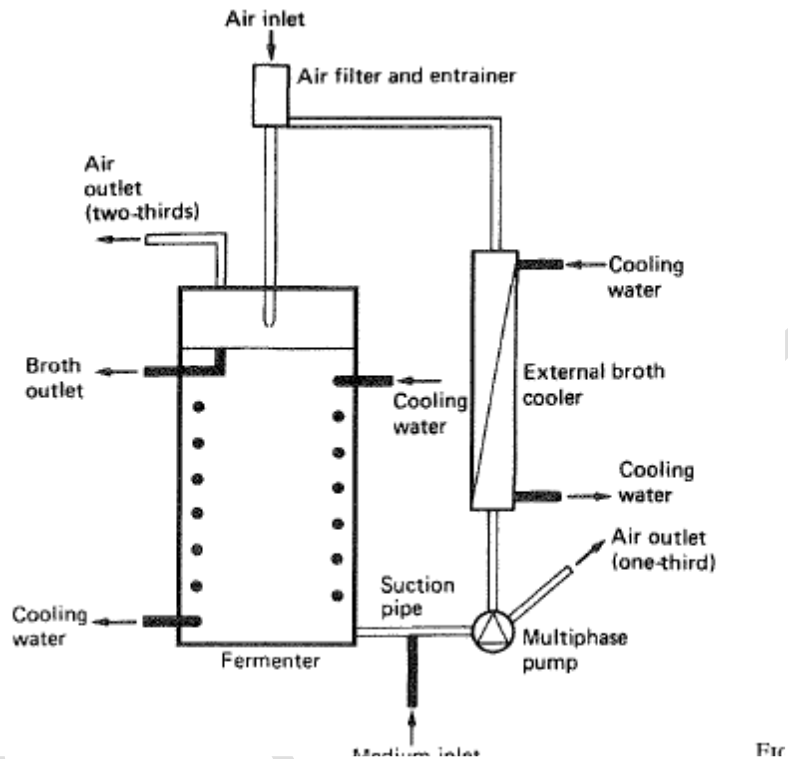
Air-lift fermenter with outer loop



Air-lift fermenter with inner loop

The deep-jet fermenter

Some designs of continuous culture fermenter achieve the necessary mechanical power input with a pump to circulate the liquid medium from the fermenter through a gas entrainer and back to the fermenter (Fig. 7.51; Hamer, 1979; Meyrath and Bayer, 1979). Two basic construction principles have been used for the gas entrainer nozzles. The injector and the ejector. In an injector a jet of medium is surrounded by a jet of compressed air.



Deep Jet fermentor

Rotating-disc fermenters

Rotating-disc contactors have been used in effluent treatment. They utilize a growing microbial film on slow rotating discs to oxidize the effluent. Anderson and Blain (1980) have used the same principle to construct small fermenters of up to 40-dm³ working volume. A range of filamentous fungi, including species of *Aspergillus*, *Rhizopus*, *Mucor* and *Penicillium*, could be grown on the polypropylene discs. It has been possible to obtain yields of 80 g dm⁻³ of citric acid from *A. niger* using this design of fermenter.

INSTRUMENTATION AND CONTROL

The success of a fermentation depends upon the existence of defined environmental conditions for biomass and product formation. To achieve this goal it is important to understand what is happening to a fermentation process and how to control it to obtain optimal operating conditions. Thus, temperature, pH, degree of agitation, oxygen concentration in the medium and other factors may have to be kept constant during the process. The provision of such conditions requires careful monitoring (data acquisition and analysis) of the fermentation so that any deviation from the specified optimum might be corrected by a control system. Criteria which are monitored frequently are listed in Table, along with the control processes with which they are associated. As well as aiding the maintenance of constant conditions, the monitoring of a process may provide information on the progress of the fermentation. Such information may indicate the optimum time to harvest or that the fermentation is progressing abnormally which may be indicative of contamination or strain degeneration. Thus, monitoring equipment produces information indicating fermentation progress as well as being linked to a suitable control system. In initial studies the number of functions which are to be controlled may be restricted in order to gain more knowledge about a particular fermentation. Thus, the pH may be measured and recorded but not maintained at a specified pH or the dissolved oxygen concentration may be determined but no attempt will be made to prevent oxygen depletion. Also, it is important to consider the need for a sensor and its associated control system to interface with a computer (to be discussed in a later section). This chapter will consider the general types of control systems which are available, specific monitoring and control systems and the role of computers. It is apparent from the Table that a considerable number of process variables may need to be monitored during a fermentation. Methods for measuring these variables, the sensors or other equipment available and possible control procedures are outlined below. There are three main classes of sensor:

1. Sensors which penetrate into the interior of the fermenter, e.g. pH electrodes, dissolved-oxygen electrodes.
2. Sensors which operate on samples which are continuously withdrawn from the fermenter, e.g. exhaust-gas analysers.
3. Sensors which do not come into contact with the fermentation broth or gases, e.g. tachometers, load cells. It is also possible to characterize a sensor in relation to its application for process control:
 1. *In-line sensor*. The sensor is an integrated part of the fermentation equipment and the measured value obtained from it is used directly for process control.
 2. *On-line sensor*. Although the sensor is an integral part of the fermentation equipment, the measured value cannot be used directly for control.

Table: Process sensors and their possible control functions

Category	Sensor	Possible control function
Physical	Temperature	Heat / cool
	Pressure	
	Agitator shaft power	
	rpm	
	Foam	Foam control
	Weight	Change flow rate
Chemical	Flow rate	Change flow rate
	pH	Acid or alkali addition, carbon source feed rate
	Redox	Additives to change redox potential
	Oxygen	Change feed rate
	Exit-gas analysis	Change feed rate
	Medium analysis	Change in medium composition

An operator must enter measured values into the control system if the data is to be used in process control.

3. *Off-line sensor.* The sensor is not part of the fermentation equipment. The measured value cannot be used directly for process control. An operator is needed for the actual measurement (e.g. medium analysis or dry weight sample) and for entering the measured values into the control system for process control.

When evaluating sensors to use in measurement and control it is important to consider response time, gain, sensitivity, accuracy, ease and speed of calibration, stability, reliability, output signal (continuous or discontinuous), materials of construction, robustness, sterilization, maintenance, availability to purchase and cost.

Possible Question

Two Marks

1. Define fermentation
2. What is meant by sparger?
3. What are impellers
4. Draw the basic schema of a fermentor.
5. What is the criteria for fermentation.

Eight Marks

1. Write about aeration and agitation in a bioreactor.
2. Comment on packed bed and trickle flow reactors.
3. Explain the basic design of a fermenter with neat diagram
4. Discuss on cyclone and photo bioreactors.
5. Explain about the computer control of fermentation process
6. Notes on tube and fluidized bed reactors.
7. Comment on configuration of bioreactor.

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UNIT I

Microbes are grown in especially designed vessels called-----, containing special media for its growth
Fermentation tank should be provided with ports for ----- addition.

-----are used in side of fermentors to avoid vortex formation

)-----tanks are used in production of all and lactic acid fermentation

The -----in stainless steel fermentor gives resistance to halogen salts, Iodine and sea water

-----are used to regulate the addition of medium, nutrients, defoamers.

The fermentor vessel -----should be increased with scale.

-----between top plate and vessel is very important to maintain airtight / aseptic condition.

-----device is used for giving air into fermentor

-----type of bubbles facilitate high oxygen transfer than -----bubbles

The ideal aspect ratio for a fermentor is

The number of baffles used in fermentor of diameter 3dm3 is

)-----spargers are used widely large scale fermentation process

-----removes enough moisture from the gas leaving fermentor and prevent excess fluid loss.

In high quality bioreactor, all the processes in fermentation are controlled by

In-line, on-line and off-line are types of -----

Example of In-line sensors are

-----sensors don't form integral part of fermentor.

)-----is generated due to mixing by agitator and microbes action on substrates during fermentation

-----are semiconductors of Iron, Nickel oxides exhibiting large change in resistance with small change c

Stainless steel ----- sensors are used for temperature measurements in fermentation system

Gas flow rate is measured by -----

The liquid flow rate is measured by using

Which gauge is used for measuring pressure under aseptic condition

-----is used for measuring the speed of agitator

Peristaltic pump is mainly used for addition of -----and-----

Dissolved oxygen in fermentation process medium measured by using -----

If initially foam has started forming interior -----has been used to control foam

Oxygen diffuses from tubing into medium is measured by

pH denotes the presence of -----in aqueous solution

The voltage difference between two electrodes is used to determine -----of unknown solution

-----, -----and----- are three distinct areas of computer function.

-----system controls the addition of liquid from reservoir to fermentator

Computers were employed in fermentation early -----

Computers were initially restricted in fermentation industry because of

The computer functions in fermentation process were postulated by -----in 1972

The signals produced during fermentation process is converted to -----form.

-----serves as junction point for inputs from computers and output signals from computer to fermentor cor

The -----signal from fermentors are not understood by the computer.

The interface converts the -----signal to -----

The accuracy of computer control depends upon the number of -----it sends to the computer

For variables which are not measurable, concept of -----are used in fermentation industry
In fermentation, it is very important to find the -----or----- of product from the given carbon
-----and-----developed methods to analyse the biomass and product concentration during ferment
The capacity of the batch fermentors _____
Large fermentors range from _____
Clogging problems occur in _____
Sparger size ranges from _____
The first pilot fermenter was erected in India at Hindustan Antibiotic Ltd, Pune in the ----- year.
----- type of bioreactor is used for vinegar production.
----- is used for sep and other algal protein production.
----- is used as a enzyme bioreactor.
----- are provided to maintain constant temperature inside the bioreactor
The impeller should be ----- of the vessel diameter.
Range of fermentation tank used in enzyme production.
----- fermenter is called as elongated non-mechanically stirred fermenter
_____ fermentor is a gas tight baffled rise tube connected to a down comer tube.
Multiple air lift fermenter Is designed by _____
The inoculum level introduced into a production tank is usually _____.
----- fermenter is called as elongated non-mechanically stirred fermenter
_____ fermentor is a gas tight baffled rise tube connected to a down comer tube.

Option A

Fermentors
contamination
spargers
stainless steel
chromium
syringe pumps
diameter
baffles
sparger
larger, smaller
03:01
2 TO 4
porous
baffles
agitator
foamers
Ion-specific sensors
in-line
energy
mercury in-glass thermometers
Pt 100
thermometers
thermometers
peristaltic
wattmeter
medium and inoculums
galvanic electrode
antifoamers
galvanic electrode
hydrogen ion
temperature
logging of process data
analog control
1940
high cost
Neeri
analogue
addition reservoir
voltage
analogue to digital
units

indirect sensors
gases or distribution
Hump and Honey
10 – 12 litre
2000 – 5,000 gallons
Bacteria
1/64 – 1/32 inch
1920
packed tower
packed tower
packed tower
baffles
1\1
1500 30,000
Tower
Tower
Bakker etal
0.5-5%
Tower
Tower

Option B

Batch cookers
medium
bearing glands
glass
molybdenum
peristaltic pumps
thickness
sealing
baffles
smaller, larger
04:01
4 TO6
orifice
heat exchange
)aeration
agitator glands
mass spectrophotometer
on-line
heat
electrical resistance
PI 100
rotameters
thermal mass flowmeter
diaphragm
torsion dynamometer
acid and base
pH electrode
mechanical foam breaker
paramagnetic gas analyzer
hydroxyl ion
pH
data analysis
direct control
1950
complexity
Nyili
digital
interface
current
digital to analogue
alarms

gateway sensors
transport or energy
Humphery and Cooney
12 – 15 litre
5000 – 10,000 gallons
Algae
1/32 – 1/18 inch
1930
photo bioreactor
photo bioreactor
photo bioreactor
cooling coils
none of the above
1000 – 30,000 c
Airlift
Air lift
Okabe etal
5 – 25%
Airlift
Air lift

Option C

swap medium
inoculums
rotameter
copper
nickel
feed pumps
height
sparger
shaft
medium, large
05:01
6 TO 8
nozzle
cooler
process controller
shaft
antifoam probe
off-line
resistance
thermistors
Pb 100
pistonmeters
pistonmeters
diaguls

buffers and antifoamers
thermometers
water
platinum electrode
carboxyl ions
moisture
process control
direct digital control
1960
reduction of man power
Needham
data
tele-type
pulse
voltage to pulse
bits

by pass sensors
productivity or conversion yield
Hughes and Humphery
20 -40 litre
10,000 gallons
Mycebial
1/48 – 1/32 inch
1940
pulsed column
pulsed column
pulsed column
stirrer gland
1\4
gallons
Cylindraconical
Cylinder conical
Bacon etal
20 – 40%
Cylindraconical
Cylinder conical

Option D

conical flasks
foam
baffles
wooden
tungsten
pressure pumps
design
clamp
bearings box
very small, very medium
06:01
8 TO 10
combined sparger agitator
exit gas cooler
cooler
sensors
medium addition probe
fermentor
current
electrical impedance
Ps 100
torsion dynamometer
torsion dynamometer
bourbon tube
tachometer
salts and growth factors
thermistors
controlling agitator/aeration speed
thermistors
carbonyl ion
dissolved oxygen
all
human control
1970
high power
Natel
process
virtual display unit
meter
pulse to voltage
data

direct sensors
concentration or consumable
Hyhes and Cooney
6 – 8 litre
none of the above.
Mycorhiza
1/24 – 1/12 inch
1950
bubble column
bubble column
bubble column
sparger
1\3
none of the above
Deep jet
Deep jet
Dawsa
50%
Deep jet
Deep jet

Answer Key

Fermentors

inoculums

baffles

wooden

molybdenum

feed pumps

thickness

sealing

sparger

smaller, larger

05:01

6 TO 8

nozzle

exit gas cooler

process controller

sensors

antifoam probe

off-line

heat

thermistors

Pt 100

rotameters

thermal mass flowmeter

diaphragm

all

acid and base

galvanic electrode

mechanical foam breaker

paramagnetic gas analyzer

hydrogen ion

pH

all

direct digital control

1960

high cost

Nyili

analogue

interface

voltage

analogue to digital

bits

gateway sensors

productivity or conversion yield

Humphery and Cooney

10 – 12 litre

2000 – 5,000 gallons

Bacteria

1/64 – 1/32 inch

1950

packed tower

photo bioreactor

pulsed column

cooling coils

1\3

1500 30,000

Tower

Air lift

Bakker et al

5 – 25%

Tower

Air lift

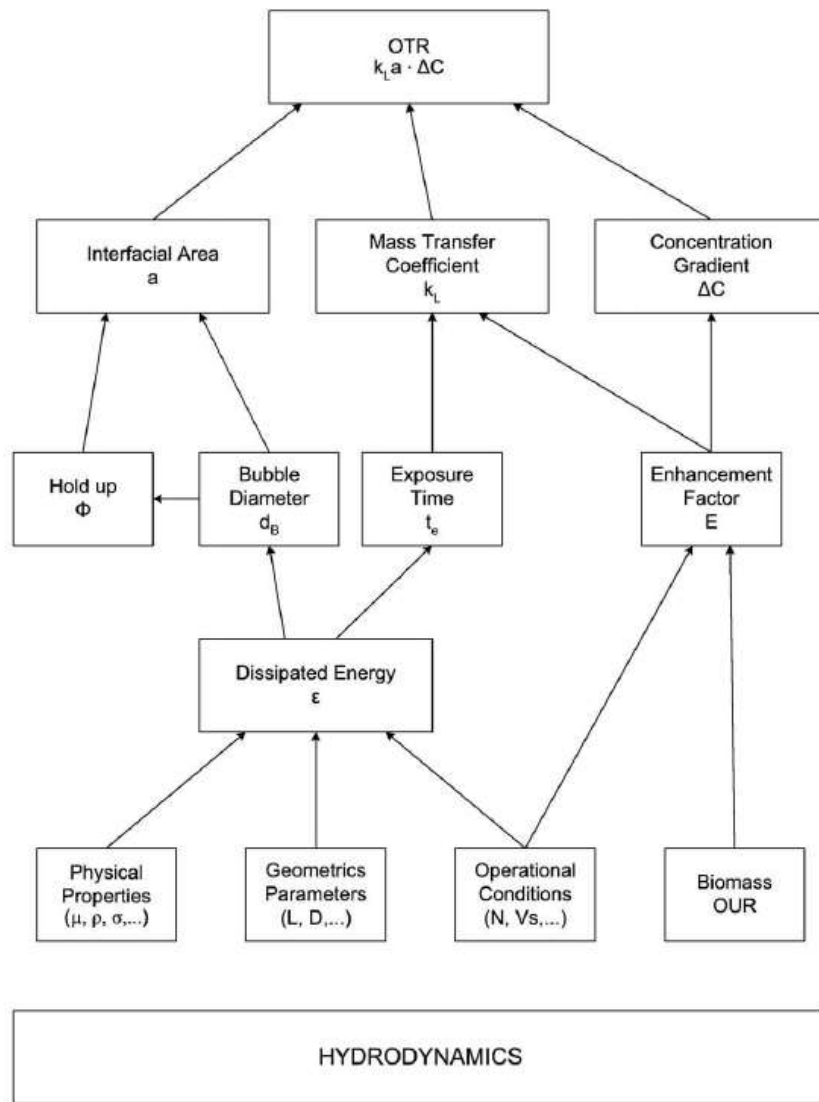
Unit II

Most industrial microbial processes are aerobic, and are mostly carried out in aqueous medium containing salts and organic substances; usually these broths are viscous, showing a non-Newtonian behavior. In these processes, oxygen is an important nutrient that is used by microorganisms for growth, maintenance and metabolite production, and scarcity of oxygen affects the process performance. Therefore, it is important to ensure an adequate delivery of oxygen from a gas stream to the culture broth. Consequently, accurate estimation of the oxygen transfer rate (OTR) at different scales and under different operational conditions has a relevant role for the prediction of the metabolic pathway for both growth and production of any wished metabolite in the aerobic culture. It is of critical importance for the selection, design and scale-up of bioreactors. Extensive literature on the oxygen transfer rate in bioreactors is nowadays available and a considerable part of it has been published in the last years. Substantial results on different aspects of oxygen transport have been reviewed in different works.

The oxygen mass transfer rate can be described as proportional to the concentration gradient, being the volumetric mass transfer coefficient, k_La (Eq. (4)) the proportionality constant. The maximum value of the concentration gradient is limited due to the low solubility of most gases associated to aerobic fermentation, notably oxygen. Therefore, the maximum mass transfer rate from the gas to the liquid in the bioreactor can be estimated by the product $k_La \cdot C^*$, being C^* the saturation concentration in the liquid phase. There are a great number of empirical equations to determine k_La , and efforts have recently been made for theoretical prediction of k_La values; most of these works having been developed for bubble columns and airlifts and a lesser number dealing with the transport in stirred tanks bioreactors. These prediction methods successfully predict the transport coefficient for bioreactors of different sizes and under different operational conditions. The bioprocesses are usually conducted under previously optimized conditions (temperature, pH, pressure, mixing, concentrations of biomass and nutrients), with an operational mode previously chosen (batch, fed-batch, resting cell, continuous).

The overall mass transfer rate is not easy to measure, because different phenomena are simultaneously taking place; also the relative importance of these phenomena changes with the scale, the type of bioreactor, etc. Therefore, the OTR is influenced by a high number of parameters (physical properties of gas and liquid, operational conditions, geometrical parameters of the bioreactor) and also by the presence of biomass, that is, the consumption of oxygen by the cells. Bioprocesses involve simultaneous transport and biochemical reactions of several chemical species. Sometimes, the transport of substrates to cells occurs at a rate considerably higher than the rate of the metabolic biochemical reactions; in this case, the overall rate of substrate conversion is governed only by the kinetics of the biochemical reactions. However, if mass transfer rate is lower than reaction rate, transport rate can be the step controlling the overall process rate and, moreover, the mass transfer rate may be influenced by the chemical rate of the bioprocess. When a species in gas phase is absorbed into a liquid and reacts there, the

concentration profiles of the absorbed species change due to the chemical reaction and the absorption rate may be enhanced). Oxygen absorption into a fermentation broth can be considered as the absorption of a gas into a liquid where it reacts, oxygen is consumed by the suspended microorganism, and therefore an enhancement of oxygen mass transfer rate can take place. The increase of the specific gas absorption rate per driving force unit and per interfacial area unit, due to the presence of the dispersed phase, can be characterized by an enhancement factor, E .



Oxygen transfer rate (OTR) description During aerobic bioprocess, the oxygen is transferred from a rising gas bubble into a liquid phase and ultimately to the site of oxidative phosphorylation inside the cell, which can be considered as a solid particle. The transport of oxygen from air bubbles to the cells can be represented by a number of steps and resistances, as schematized; the liquid film resistances around bubbles usually control the overall transfer rate. The simplest theory on gas-liquid mass transfer is the two film model (Whitman, 1923) and

usually the gas–liquid mass transfer rate is modeled according to this theory, describing the flux through each film as the product of the driving force by the mass transfer coefficient, according to:

$$J_0 = k_G (p_G - p_i) = k_L (C_i - C_L)$$

being J_0 the molar flux of oxygen ($\text{mol} \cdot \text{m}^{-2} \text{ s}^{-1}$) through the gas–liquid interface; k_G and k_L , are the local mass transfer coefficients; p_G is the oxygen partial pressure in the gas bubble; and C_L , the dissolved oxygen concentration in the bulk liquid; index i refers to values at the gas–liquid interface. Since the interfacial concentrations are not directly measurable and considering the overall mass transfer coefficient, it can be rewritten:

$$J_0 = K_G (p_G - p^*)$$

$$= K_L (C^* - C_L)$$

where

where p^* is the oxygen pressure in equilibrium with liquid phase;

C^* is the oxygen saturation concentration in the bulk liquid in equilibrium to the bulk gas phase, according to Henry's law ($p^* = H C^*$); K_G and K_L are the overall mass transfer coefficients. Combining Eqs. (1) and (2), the following relationship is obtained:

$$\frac{1}{K_L} = \frac{1}{H k_G} + \frac{1}{k_L}$$

where

Taking into account that oxygen is only slightly soluble in water (H is very large), it is commonly accepted that the greatest resistance for mass transfer is on the liquid side of the interface and the gas phase resistance can usually be neglected and thus the overall mass transport coefficient is equal to the local coefficient: $K_L = k_L$. The oxygen mass transfer rate per unit of reactor volume, R_{O_2} , is obtained multiplying the overall flux by the gas–liquid interfacial area per unit of liquid volume, a : $R_{O_2} = a J_0 = k_L a (C^* - C_L)$

where

Due to the difficulty of measuring k_L and a separately, usually the product $k_L a$ is measured and this parameter – called volumetric mass transfer coefficient – characterizes the transport from gas to liquid.

MASS TRANSFER

The determination of k_La in bioreactors is essential in order to establish aeration efficiency and to quantify the effects of the operating variables on the provision of dissolved oxygen. A number of methods have been developed to determine the oxygen transfer rate in bioreactors (Van't Riet, 1979). Some of these methods are applied to others compounds as well, but others are specific for oxygen transfer measurement. When selecting a method, several factors must be taken into account (Novak and Klekner, 1988).

- i. the aeration and homogenization systems used,
- ii. the bioreactor type and its mechanical design,
- iii. the composition of the fermentation medium and
- iv. the possible effect of the presence of microorganism.

The mass balance for the dissolved oxygen in the well-mixed liquid phase can be established as:

$$dC / dt = OTR - OUR$$

where dC/dt is the accumulation oxygen rate in the liquid phase, OTR represents the oxygen transfer rate from the gas to the liquid, described according to Eq. (4), and OUR is the oxygen uptake rate by the microorganisms; this last term can be expressed by the product $q_{O_2} \cdot CX$, being q_{O_2} the specific oxygen uptake rate of the microorganism employed and CX the biomass concentration. The most common methods applied to measuring the oxygen transfer rate in a microbial bioprocess can be classified depending on whether the measurement is realized in the absence of microorganisms or with dead cells or in the presence of biomass that consumes oxygen at the time of measurement.

THE COMPONENT PARTS OF A FERMENTATION PROCESS

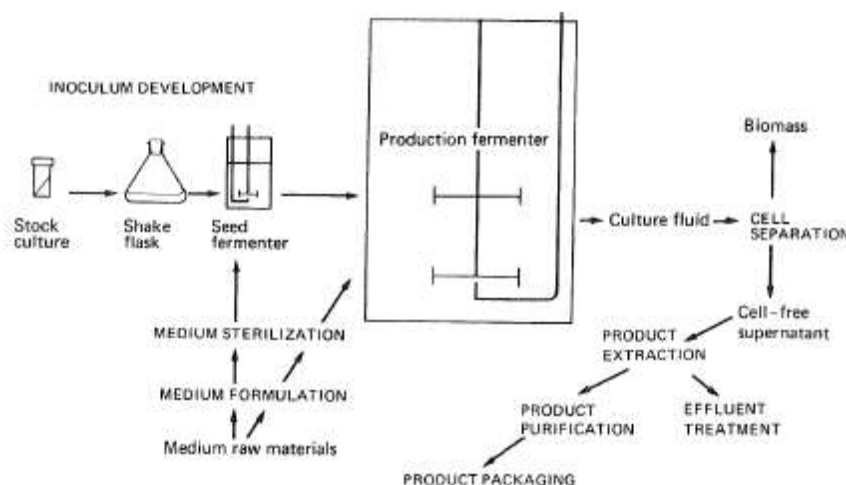
Regardless of the type of fermentation (with the possible exception of some transformation processes) an established process may be divided into six basic component parts:

- (i) The formulation of media to be used in culturing the process organism during the development of the inoculum and in the production fermenter.
- (ii) The sterilization of the medium, fermenters and ancillary equipment.
- (iii) The production of an active, pure culture in sufficient quantity to inoculate the production vessel.

(iv) The growth of the organism in the production fermenter under optimum conditions for product formation.

(v) The extraction of the product and its purification.

(vi) The disposal of effluents produced by the process.



Schematics of general fermentation process

However, one must also visualize the research and development programme which is designed to gradually improve the overall efficiency of the fermentation. Before a fermentation process is established a producer organism has to be isolated, modified such that it produces the desired product in commercial quantities, its cultural requirements determined and the plant designed accordingly. Also, the extraction process has to be established. The development programme would involve the continual improvement of the process organism, the culture medium and the extraction process.

STERILIZATION

A fermentation product is produced by the culture of certain organism, or organisms, in a nutrient medium. If the fermentation is invaded by a foreign microbe then the following consequences may occur:

(i) The medium would have to support the growth of both the production organism and the contaminant, resulting in a loss of productivity.

(ii) If the fermentation is a continuous one then the contaminant may 'outgrow' the production organism and displace it from the fermentation.

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(iii) The foreign organism may contaminate the final product, e.g. single-cell protein where the cells, separated from the broth, constitute the product.

(iv) The contaminant may produce compounds which make subsequent extraction of the final product difficult.

(v) The contaminant may degrade the desired product; this is common in bacterial contamination of antibiotic fermentations where the contaminant would have to be resistant to the normal inhibitory effects of the antibiotic and degradation of the antibiotic is a common resistance mechanism, e.g. the degradation of β -lactam antibiotics by β -lactamase-producing bacteria.

(vi) Contamination of a bacterial fermentation with phage could result in the lysis of the culture. Avoidance of contamination may be achieved by:

(i) Using a pure inoculum to start the fermentation

(ii) Sterilizing the medium to be employed.

(iii) Sterilizing the fermenter vessel.

(iv) Sterilizing all materials to be added to the fermentation during the process.

(v) Maintaining aseptic conditions during the fermentation.

The extent to which these procedures are adopted is determined by the likely probability of contamination and the nature of its consequences. Some fermentations are described as 'protected' - that is, the medium may be utilized by only a very limited range of microorganisms, or the growth of the process organism may result in the development of selective growth conditions, such as a reduction in pH. The brewing of beer falls into this category; hop resins tend to inhibit the growth of many micro-organisms and the growth of brewing yeasts tends to decrease the pH of the medium. Thus, brewing worts are boiled, but not necessarily sterilized, and the fermenters are thoroughly cleaned with disinfectant solution but are not necessarily sterile. Also, the precautions used in the development of inoculum for brewing are far less stringent than, for example, in an antibiotic fermentation. However, the vast majority of fermentations are not 'protected' and, if contaminated, would suffer some of the consequences previously listed.

MEDIUM STERILIZATION

Media may be sterilized by filtration, radiation, ultrasonic treatment, chemical treatment or heat. However, for practical reasons, steam is used almost universally for the sterilization of fermentation media. The major exception is the use of filtration for the sterilization of media for animal-cell culture - such media are completely soluble and contain heat labile components making filtration the method of choice. Filtration techniques will be considered later in this

chapter. Before the techniques of sterilization, which are used for the steam sterilization of culture media are discussed it is necessary to discuss the kinetics of sterilization. The destruction of micro-organisms by steam (moist heat) may be described as a first-order chemical reaction and, thus, may be represented by the following equation:

$$-dN/dt = kN$$

N is the number of viable organisms present,

t is the time of the sterilization treatment,

k is the reaction rate constant of the reaction, or the specific death rate.

It is important at this stage to appreciate that we are considering the total number of organisms present in the volume of medium to be sterilized, *not* the concentration - the minimum number of organisms to contaminate a batch is one, regardless of the volume of the batch. On integration of equation (5.1) the following expression is obtained:

$$N_t/N_0 = e^{-kt}$$

where N_0 is the number of viable organisms present at the start of the sterilization treatment,

N_t is the number of viable organisms present after a treatment period, t .

On taking natural logarithms, equation is reduced to:

$$\ln (N_t/N_0) = -kt$$

The graphical representations of equations (5.1) and (5.3) are illustrated in Fig. 5.1, from which it may be seen that viable organism number declines exponentially over the treatment period. A plot of the natural logarithm of N_t/N_0 against time yields a straight line, the slope of which equals $-k$. This kinetic description makes two predictions which appear anomalous:

- (i) An infinite time is required to achieve sterile conditions (i.e. $N_t = 0$).
- (ii) After a certain time there will be less than one viable cell present.

Thus, in this context, a value of N_t of less than one is considered in terms of the probability of an organism surviving the treatment. For example, if it were predicted that a particular treatment period reduced the population to 0.1 of a viable organism, this implies that the probability of one organism surviving the treatment is one in ten. This may be better expressed in practical terms as a risk of one batch in ten becoming contaminated. This aspect of contamination will be considered later. The relationship displayed in Fig. 5.1 would be observed only with the sterilization of a pure culture in one physiological form, under ideal sterilization conditions.

The value of k is not only species dependent, but dependent on the physiological form of the cell; for example, the endospores of the genus *Bacillus* are far more heat resistant than the vegetative cells. Richards (1968) produced a series of graphs illustrating the deviation from theory which may be experienced in practice. Figures 5.2a, 5.2b and 5.2c illustrate the effect of the time of heat treatment on the survival of a population of bacterial endospores. The deviation from an immediate exponential decline in viable spore number is due to the heat activation of the spores, that is the induction of spore germination by the heat and moisture of the initial period of the sterilization process. In Fig. 5.2a the activation of spores is significantly more than their destruction during the early stages of the process and, therefore, viable numbers increase before the observation of exponential decline. In Fig. 5.2b activation is balanced by spore death and in Fig. 5.2c activation is less than spore death.

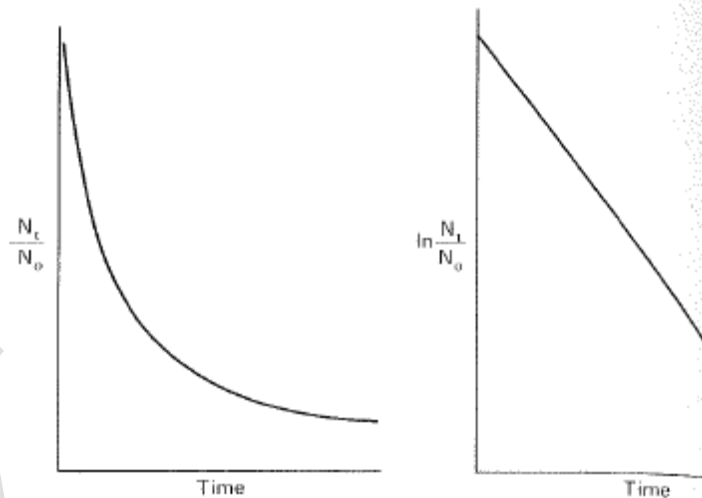


FIG. 5.1. Plots of the proportion of survivors and the natural logarithm of the proportion of survivors in a population of micro-organisms subjected to a lethal temperature over a time period.

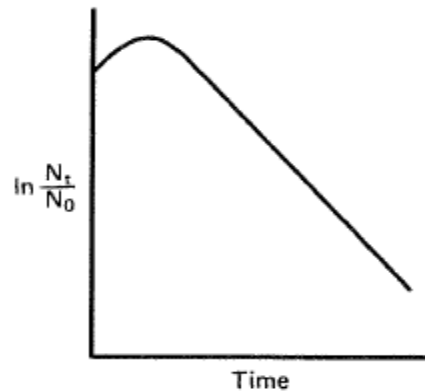


FIG. 5.2a. Initial population increase resulting from the heat activation of spores in the early stages of a sterilization process (Richards, 1968).

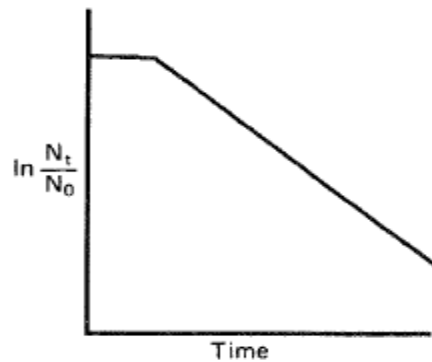


FIG. 5.2b. An initial stationary period observed during a sterilization treatment due to the death of spores being completely compensated by the heat activation of spores (Richards, 1968).

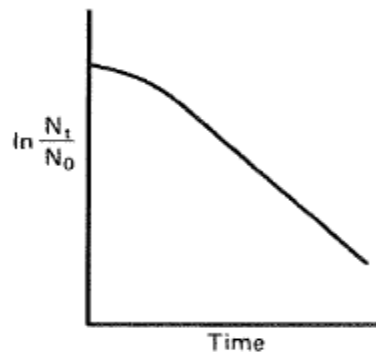


FIG. 5.2c. Initial population decline at a sub-maximum rate during a sterilization treatment due to the death of spores being compensated by the heat activation of spores (Richards, 1968).

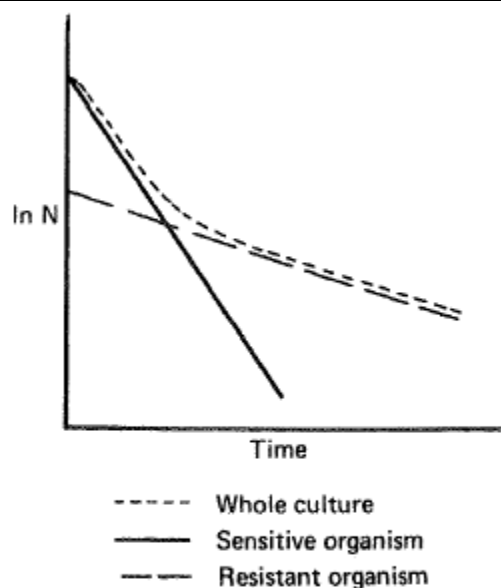


FIG. 5.3a. The effect of a sterilization treatment on a mixed culture consisting of a high proportion of a very sensitive organism (Richards, 1968).

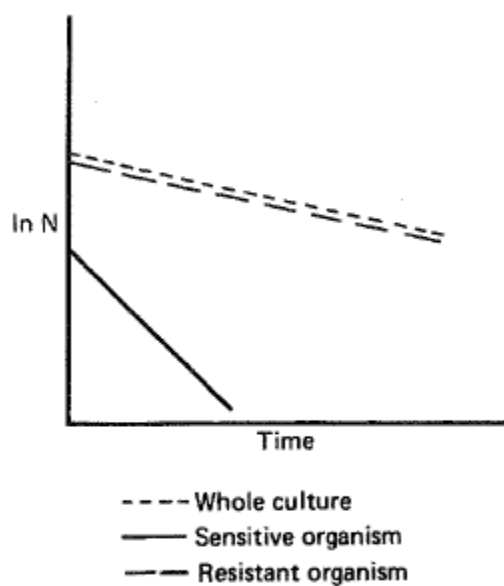


FIG. 5.3b. The effect of a sterilization treatment on a mixed culture consisting of a high proportion of a relatively resistant organism (Richards, 1968).

Possible Questions

Two marks

1. Define heat and mass transfer
2. Give the formula for transfer of heat in a fermentor
3. What is the mode of oxygen transfer in a media?
4. Comment on the relationship between critical oxygen concentration and microbial growth.
5. How is meant by scale up process? Give one example.

Eight marks

1. Discuss on the measurement and control of fermentation process.
2. What are the salient features of fermentor?
3. Give an account on oxygen transfer and critical oxygen concentration during fermentation.
4. Describe the sterilization process of fermentors.
5. Describe the sterilization process of medium.
6. Detailed notes on how oxygen is transferred from medium to the microbial cell.
7. Notes on gas-liquid exchange in fermentor.
8. How scale-up process is done in industrial fermentation?

DEPARTMENT OF MICROBIOLOGY, KARPAGAM ACADEMY OF HIGHER EDUCATION
BIOPROCESS ENGINEERING- 17MBP205C

UNIT I

UNIT II

-----method is the most preferred method compared to other agents for mass sterilization.
-----is the least expensive and reliable method for fermentor design and operation
Sterilization is necessary in fermentation as it may
The methods for sterilization involves both -----and-----
Sterilization also help in -----and-----of complex substrates used in fermentation.
Sterilization has disadvantage of destruction of heat -----compounds in medium.
There is always a -----relationship between time and temperature in sterilization of production medium
During sterilization of fermentor tanks, it is very important to sterilize the-----attached to it
Ancillary equipments in fermentors means the -----
The sterilization temperature of the fermentation requirement is
After sterilization, all parts of fermentor are kept sterile by maintaining at -----pressure
There should be no permanent direct connection below -----and-----parts of the fermentor system
High quality valves such as-----should be used where joints are needed connecting to fermentor
Sugar containing medium can't be sterilized by prolonged heating because sugars undergo
-----media gives lower yields and poor growth of microorganisms in fermentation
In continuous sterilization, the fermentation medium is passed through -----aiding in sterilization
-----are simplest method employed for sterilizing production medium.
Jackets are used around fermentors to aid in -----and-----of production media.
In -----, the medium to be sterilized to maintained at particular temperature and time.
By steam injection method the high temperature steam is passed into the production medium where holdin
Continuous sterilization is highly advantageous
-----media require very long sterilization time period.
The technique of air filtration in fermentation industries was developed by-----
The technique developed by Bourdillon et al was studied by -----and-----
In air filtration technique slab of slag wool used was of -----inches thick,-----lb/ft³ thick and less than
Cobalt increases the growth of _____.
Temperature of _____ in the production tank is satisfactory during fermentation.
Stabilization of pH is practiced by reducing the pH and adding reducing agents _____
Sterilization of air is done by passing it through columns filled with _____
_____ are the antifoam agents used to suppress the foam formation.
Mass transfer occurs in mixtures containing -----
Mass is transferred from one location to another under the influence of a ----- in the system.
Concentration of oxygen at the surface of air bubbles is----- compared with the rest of the fluid,
(----- is the movement of component molecules in a mixture under the influence of a concentration
(-----occurs in the direction required to destroy the concentration difference.
According to ----- molecules A will diffuse away from the region of high concentration until equilibrium
According to -----, mass flux is proportional to the concentration gradient.
The only mechanism for intra particle mass transfer is -----
The -----is a useful model for mass transfer between phase.
The ----- of solute from one phase to another involves transport from bulk of one phase to the interface,

The majority of fermentation processes are -----

The solubility of oxygen in pure water is -----at 4 °C

The solubility of sucrose in pure water is -----at 4°C

The----- of an industrial fermentation process is normally satisfied by aerating and agitating the fermenter

The productivity of many fermentations is limited by -----.

Henry's law describes the solubility of O₂ in nutrient solution in relation to the O₂ partial pressure in the gas phase

The effect of dissolved oxygen concentration on the specific oxygen uptake rate follows the ----- type of relationship

The specific oxygen uptake rate increases with increase in the dissolved oxygen concentration up to a certain critical concentration

Critical dissolved oxygen concentrations for *E.coli* is -----mMoles/dm³

Critical dissolved oxygen concentrations for *Saccharomyces* sp is -----mMoles/dm³

Critical dissolved oxygen concentrations for *Penicillium chrysogenum* is -----mMoles/dm³

At ----- cultures may be aerated by means of the *shake-flask technique* where the culture is grown in a flask

In ----- fermentations broth or culture is aerated by *stirrers or agitators*.

In ----- bioreactors are so designed that adequate supply of oxygen is obtained without agitation

In ----- bioreactors are so designed that adequate supply of oxygen is obtained without agitation

In 1950, ----- represented the transfer of oxygen from air to the cell, during a fermentation, as occurring in three steps

The transfer of oxygen from an air bubble into solution is the -----step in the oxygen transfer process

The transfer of the dissolved oxygen through the fermentation medium to the microbial cell is the -----step

The uptake of the dissolved oxygen by the cell is the -----step in the oxygen transfer process.

Maximum -----production may be achieved by satisfying the organism's maximum specific oxygen consumption rate

Critical dissolved oxygen concentrations for *Azotobacter* sp is -----mMoles/dm³

Option A

Option A

steam

chemicals

contaminants are not affected

destruction and encourages microbes

breakdown and solubility

sensitive

linear

raw material

seed tank

120°C – 15 min

positive

medium and air

plastic

reaction with contaminants

cooled

heat exchange

continuous fermentors

batch and continuous sterilization

heat exchange

1-3 minutes

saves production time and plant space

synthetic

Bourbon et al

and Cherry

3,15,4

Streptomyces olivaceus

75° F

Sodium citrate

Activated charcoal

Soyabean oil

local concentration variation

concentration gradient

high

Molecular diffusion

Molecular diffusion

Diffusion theory

Diffusion theory

passive diffusion

single film theory

Mass transfer

aerobic

2 mg/L

200 g/L

Mass transfer

Mass transfer

Ford's law

Diffusion theory

specific oxygen concentration

0.004

0.004

0.004

pilot scale

Pilot- and industrial-scale

bubble columns

AIR LIFT

Bartholomew et al

first

first

first

waste

0.004

Option B

Option B

UV light

passing of fire flames

affect the yield of fermentation products

destruction and removal of microbes

buildup and toxicity

resistant

inverse

vitamins

fermentation medium

120°C – 20 min

negative

sterile and non-sterile

β -hydroxybutyrate

charring

overcooled

holding coil

batch cookers

maintaining the pH and foam

holding coil

2-4 minutes

poor quality of medium

complex

Bourdillon et al

Terjesen and Cherry

3,16,6

S. griseus

80° F

Sodium sulphite

Dry charcoal

Cord-linee oil

same concentration

concentration defecient

low

passive diffusion

passive diffusion

passive diffusion

Molecular diffusion

molecular diffusion

two film theory

liquid transfer

anaerobic

8 mg/L

400 g/L

oxygen demand

nitrogen availability

Henry's Law

Henry's Law

critical oxygen concentration

0.008

0.008

0.008

laboratory-scale

pilot scale

CSTR

CSTR

Belquiren et al

second

second

second

biomass

0.008

Option C

Option C

ethidium bromide

moist heat

not remove pathogens

alters pH and growth inactivation

precipitation and agglomeration

soluble

non-linear

ancillary equipments

extra connection

115°C – 15min

no

mixing and air

silica gel

caramelization

undercooled

cooler

filtration

heating and cooling

cooler

1-5 minutes

high steam costs

cruder

Billy et al

Stanbury and Cherry

3,17,6

S. oryzae

85° F

Ammonium sulphate

Liquid paraffin

Palm oil

different solute

concentration reference

very low

active diffusion

active diffusion

active diffusion

Fick's Law of diffusion

microbial diffusion

no film theory

oxygen transfer

facultative anaerobic

4mg/L

600 g/L

nutrient availability

oxygen availability

Raman's law

Michaelis-Menten

microbial oxygen concentration

0.022

0.022

0.022

industrial scale

industrial scale

PACKED BED

PACKED BED

Barbitol et al

third

third

third

toxic

0.022

Option D

Option D

chlorine

dry heat

value the fermentation

removes and microbes growth

charring and precipitation

insoluble

reverse

valves

antifoamers

115°C – 20min

zero

probes and medium

rubber

reaction with phosphates

contaminant

heat exchange, holding coil and cooler

radiation

circulation of air and steering

heat exchange, holding coil and cooler

2-5 minutes

high sterilizing temperature and longer holding time

semi-synthetic

Cherey et al

Wahsman and Cherry

3,17,7

P. notatum

63° F

Sodium thiosulphate

Melted charcoal

Cedar-wood oil

different solvent

concentration base

medium

microbial diffusion

microbial diffusion

Molecular diffusion

Molecular diffusion

active diffusion

multiple film theory

ion transfer

microaerophilic

1 mg/L

800 g/L

nitrogen availability

oxygen demand

Libert's law

film theory

integrated oxygen concentration

0.018

0.018

0.018

semi-industrial scale

laboratory-scale

FLUIDISED BED

FLUIDISED BED

Batingulo et al

fourth

fourth

fourth

fourth

0.018

Answer Key

Answer Key

steam

moist heat

affect the yield of fermentation products

destruction and removal of microbes

breakdown and solubility

sensitive

linear

ancillary equipments

extra connection

120°C – 20 min

positive

sterile and non-sterile

rubber

caramelization

overcooled

heat exchange, holding coil and cooler

batch cookers

heating and cooling

holding coil

1-5 minutes

saves production time and plant space

cruder

Bourdillon et al

Terjesen and Cherry

3,17,6

Streptomyces olivaceus

80° F

Sodium sulphite

Activated charcoal

Soyabean oil

local concentration variation

concentration gradient

high

Molecular diffusion

Molecular diffusion

Diffusion theory

Fick's Law of diffusion

molecular diffusion

two film theory

Mass transfer

aerobic

8 mg/L

600 g/L

oxygen demand

oxygen availability

Henry's Law

Michaelis-Menten

critical oxygen concentration

0.008

0.004

0.022

laboratory-scale

Pilot- and industrial-scale

bubble columns

AIR LIFT

Bartholomew et al

first

second

third

biomass

0.018

Unit III**MEDIA FOR INDUSTRIAL FERMENTATIONS**

All micro-organisms require water, sources of energy, carbon, nitrogen, mineral elements and possibly vitamins plus oxygen if aerobic. On a small scale it is relatively simple to devise a medium containing pure compounds, but the resulting medium, although supporting satisfactory growth, may be unsuitable for use in a large scale process. On a large scale one must normally use sources of nutrients to create a medium which will meet as many as possible of the following criteria:

1. It will produce the maximum yield of product or biomass per gram of substrate used.
2. It will produce the maximum concentration of product or biomass.
3. It will permit the maximum rate of product formation.
4. There will be the minimum yield of undesired products.
5. It will be of a consistent quality and be readily available throughout the year.
6. It will cause minimal problems during media making and sterilization.
7. It will cause minimal problems in other aspects of the production process particularly aeration and agitation, extraction, purification and waste treatment.

The use of cane molasses, beet molasses, cereal grains, starch, glucose, sucrose and lactose as carbon sources, and ammonium salts, urea, nitrates, corn steep liquor, soya bean meal, slaughter-house waste and fermentation residues as nitrogen sources, have tended to meet most of the above criteria for production media because they are cheap substrates. However, other more expensive pure substrates may be chosen if the overall cost of the complete process can be reduced because it is possible to use simpler procedures.

It must be remembered that the medium selected will affect the design of fermenter to be used. For example, the decision to use methanol and ammonia in the single cell protein process developed by ICI necessitated the design of a novel fermenter design. The microbial oxidation of hydrocarbons is a highly aerobic and exothermic process. Thus, the production fermenter had to have a very high oxygen transfer capacity coupled with excellent cooling facilities. ICI solved these problems by developing an air lift fermenter. Equally, if a fermenter is already available this will obviously influence the composition of the medium. The optimum concentrations of available nitrogen for griseofulvin production showed some variation with the type of fermenter used.

The problem of developing a process from the laboratory to the pilot scale, and subsequently to the industrial scale, must also be considered. A laboratory medium may not be ideal in a large fermenter with a low gas-transfer pattern. A medium with a high viscosity will also need a higher power input for effective stirring. Besides meeting requirements for growth and product formation, the medium may also influence pH variation, foam formation, the oxidation-reduction potential, and the morphological form of the organism. It may also be necessary to provide precursors or metabolic inhibitors. The medium will also affect product recovery and effluent treatment. Historically, undefined complex natural materials have been used in fermentation processes because they are much cheaper than pure substrates. However, there is often considerable batch variation because of variable concentrations of the component parts and impurities in natural materials which cause unpredictable biomass and/or product yields. As a consequence of these variations in composition, small yield improvements are difficult to detect. Undefined media often make product recovery and effluent treatment more problematical because not all the components of a complex nutrient source will be consumed by the organism.

TABLE 4.1. Some examples of fermentation media

Itaconic acid (Nubel and Ratajak, 1962)		Clavulanic acid (Box, 1980)	
Cane molasses (as sugar)	150 g dm ⁻³	Glycerol	1%
ZnSO ₄	1.0 g dm ⁻³	Soybean flour	1.5%
ZnSO ₄ · 7H ₂ O	3.0 g dm ⁻³	KH ₂ PO ₄	0.1%
CuSO ₄ · 5H ₂ O	0.01 g dm ⁻³	10% Pluronic L81 antifoam in soya bean oil	0.2% (v/v)
Amylase (Underkofler, 1966)		Oxytetracycline (Anonymous, 1980)	
Ground soybean meal	1.85%	Starch	12% + 4%
Autolysed Brewers yeast fractions	1.50%	Technical amylase	(Additional feeding)
Distillers dried solubles	0.76%	Yeast (dry wt.)	0.1%
NZ-amine (enzymatic casein hydrolysate)	0.65%	CaCO ₃	1.5%
Lactose	4.75%	Ammonium sulphate	2%
MgSO ₄ · 7H ₂ O	0.04%	Lactic acid	1.5%
Hodag KG-1 antifoam	0.05%	Lard oil	0.13%
Avermectin (Stapley and Woodruff, 1982)		Total inorganic salts	2%
Cerelease	45 g		0.01%
Peptonized milk	24 g	Gibberellic acid (Calam and Nixon, 1960)	
Autolysed yeast	2.5 g	Glucose monohydrate	20 g dm ⁻³
Polyglycol P-2000	2.5 cm ³	MgSO ₄	1 g dm ⁻³
Distilled water	1 dm ³	NH ₄ H ₂ PO ₄	2 g dm ⁻³
pH	7.0	KH ₂ PO ₄	5 g dm ⁻³
Endotoxin from <i>Bacillus thuringiensis</i> (Holmberg <i>et al.</i> , 1980)		FeSO ₄ · 7H ₂ O	0.01 g dm ⁻³
Molasses	0-4%	MnSO ₄ · 4H ₂ O	0.01 g dm ⁻³
Soy flour	2-6%	ZnSO ₄ · 7H ₂ O	0.01 g dm ⁻³
KH ₂ PO ₄	0.5%	CuSO ₄ · 5H ₂ O	0.01 g dm ⁻³
KH ₂ PO ₄	0.5%	Corn steep liquor (as dry solids)	7.5 g dm ⁻³
MgSO ₄ · 7H ₂ O	0.005%	Glutamic acid (Gore <i>et al.</i> , 1968)	
MnSO ₄ · 4H ₂ O	0.003%	Dextrose	270 g dm ⁻³
FeSO ₄ · 7H ₂ O	0.001%	NH ₄ H ₂ PO ₄	2 g dm ⁻³
CaCl ₂	0.005%	(NH ₄) ₂ HPO ₄	2 g dm ⁻³
Na(NH ₄) ₂ PO ₄ · 4H ₂ O	0.15%	K ₂ SO ₄	2 g dm ⁻³
Lysine (Nakayama, 1972a)		MgSO ₄ · 7H ₂ O	0.5 g dm ⁻³
Cane blackstrap molasses	20%	MnSO ₄ · H ₂ O	0.04 g dm ⁻³
Soybean meal hydrolysate (as weight of meal before hydrolysis with 6N H ₂ SO ₄ and neutralized with ammonia water)	1.8%	FeSO ₄ · 7H ₂ O	0.02 g dm ⁻³
CaCO ₃ or MgSO ₄ added to buffer medium		Polyglycol 2000	0.3 g dm ⁻³
Antifoam agent		Biotin	12 µg dm ⁻³
		Penicillin	11 µg dm ⁻³
		Penicillin (Perlman, 1970)	
		Glucose or molasses (by continuous feed)	10% of total
		Corn-steep liquor	4-5% of total
		Phenylacetic acid (by continuous feed)	0.5-0.8% of total
		Lard oil (or vegetable oil) antifoam by continuous addition	0.5% of total
		pH to 6.5 to 7.5 by acid or alkali addition	

TABLE 4.2. *Element composition of bacteria, yeasts and fungi (% by dry weight)*

Element	Bacteria (Luria, 1960; Herbert, 1976; Aiba <i>et al.</i> , 1973)	Yeasts (Aiba <i>et al.</i> , 1973; Herbert, 1976)	Fungi (Lilly, 1965; Aiba <i>et al.</i> , 1973)
Carbon	50-53	45-50	40-63
Hydrogen	7	7	
Nitrogen	12-15	7.5-11	7-10
Phosphorus	2.0-3.0	0.8-2.6	0.4-4.5
Sulphur	0.2-1.0	0.01-0.24	0.1-0.5
Potassium	1.0-4.5	1.0-4.0	0.2-2.5
Sodium	0.5-1.0	0.01-0.1	0.02-0.5
Calcium	0.01-1.1	0.1-0.3	0.1-1.4
Magnesium	0.1-0.5	0.1-0.5	0.1-0.5
Chloride	0.5	—	—
Iron	0.02-0.2	0.01-0.5	0.1-0.2

Somenutrients are frequently added in substantial excess of that required, e.g. P, K; however, others are often near limiting values, e.g. Zn, Cu. The concentration of P is deliberately raised in many media to increase the buffering capacity. These points emphasize the need for considerable attention to be given to medium design. Some micro-organisms cannot synthesize specific nutrients, e.g. amino acids, vitamins or nucleotides. Once a specific growth factor has been identified it can be incorporated into a medium in adequate amounts as a pure compound or as a component of a complex mixture.

The carbon substrate has a dual role in biosynthesis and energy generation. The carbon requirement for biomass production under aerobic conditions may be estimated from the cellular yield coefficient (Y) which is defined as:

Quantity of cell dry matter produced / Quantity of carbon substrate utilized

An adequate supply of the carbon source is essential for a product-forming fermentation process. In a critical study, analyses are made to determine the observed conversion of the carbon source to product compares with the theoretical maximum yield. This may be difficult because of limited knowledge of the biosynthetic pathways. Theoretical yields for penicillin G biosynthesis on the basis of material and energy balances using an abiosynthetic pathway based on reaction stoichiometry. The other major nutrient which will be required is oxygen which is provided by aerating the culture. The design of a medium will influence the oxygen demand of a culture in that the more reduced carbon sources will result in a higher oxygen demand. The amount of oxygen required may be determined stoichiometrically.

WATER

Water is the major component of all fermentation media, and is needed in many of the ancillary services such as heating, cooling, cleaning and rinsing. Clean water of consistent composition is therefore required in large quantities from reliable permanent sources. When assessing the suitability of a water supply it is important to consider pH, dissolved salts and effluent contamination. The mineral content of the water is very important in brewing, and most critical in the mashing process, and historically influenced the siting of breweries and the types of beer produced. Hard waters containing high CaSO_4 concentrations are better for the English Burton bitter beers and Pilsen type lagers, while waters with a high carbonate content are better for the darker beers such as stouts. Nowadays, the water may be treated by deionization or other techniques and salts added, or the pH adjusted, to favour different beers so that breweries are not so dependent on the local water source.

ENERGY SOURCES

Energy for growth comes from either the oxidation of medium components or from light. Most industrial micro-organisms are chemo-organotrophs, therefore the commonest source of energy will be the carbon source such as carbohydrates, lipids and proteins. Some micro-organisms can also use hydrocarbons or methanol as carbon and energy sources.

CARBON SOURCES

Factors influencing the choice of carbon source It is now recognized that the rate at which the carbon source is metabolized can often influence the formation of biomass or production of primary or secondary metabolites. Fast growth due to high concentrations of rapidly metabolized sugars is often associated with low productivity of secondary metabolites. At one time the problem was overcome by using the less readily metabolized sugars such as lactose, but many processes now use semi-continuous or continuous feed of glucose or sucrose. Alternatively, carbon catabolite regulation might be overcome by genetic modification of the producer organism.

TABLE 4.4: Carbon catabolite regulation of metabolite biosynthesis

Metabolite	Micro-organism	Interfering carbon source	Reference
Griseofulvin	<i>Penicillium griseofulvum</i>	Glucose	Rhodes (1963); Rhodes <i>et al.</i> (1955)
Penicillin	<i>P. chrysogenum</i>	Glucose	Piet and Rhigelato (1967)
Cephalosporin	<i>Cephalosporium acremonium</i>	Glucose	Mataumura <i>et al.</i> (1978)
Aurantia	<i>Bacillus aurantinus</i>	Glycerol	Nishikiori <i>et al.</i> (1978)
α -Amylase	<i>B. licheniformis</i>	Glucose	Priest and Sharp (1989)
Bacitracin	<i>B. licheniformis</i>	Glucose	Weinberg (1967)
Paromycin	<i>Streptomyces alboniger</i>	Glucose	Sankaran and Fogell (1975)
Actinomycin	<i>S. antibioticus</i>	Glucose	Marshall <i>et al.</i> (1968)
Cepharmycin C	<i>S. clavuligerus</i>	Glycerol	Aharonowitz and Demain (1978)
Neomycin	<i>S. fradiae</i>	Glucose	Majumdar and Majumdar (1965)
Cycloserine	<i>S. graphulius</i>	Glycerol	Svensson <i>et al.</i> (1983)
Streptomycin	<i>S. griseus</i>	Glucose	Inamine <i>et al.</i> (1969)
Kanamycin	<i>S. kanamyceticus</i>	Glucose	Basek and Majumdar (1973)
Novobiocin	<i>S. niveus</i>	Citrate	Kominek (1972)
Sinomycin	<i>S. stoyanensis</i>	Glucose	Kimura (1967)

The main product of a fermentation process will often determine the choice of carbon source, particularly if the product results from the direct dissimilation of it. In fermentations such as ethanol or single-cell protein production where raw materials are 60 to 77% of the production cost, the selling price of the product will be determined largely by the cost of the carbon source. It is often part of a company development programme to test a range of alternative carbon sources to determine the yield of product and its influence on the process and the cost of producing biomass and/or metabolite. This enables a company to use alternative substrates, depending on price and availability in different locations, and remain competitive. The purity of the carbon source may also affect the choice of substrate. For example, metallic ions must be removed from carbohydrate sources used in some citric acid processes.

The method of media preparation, particularly sterilization, may affect the suitability of carbohydrates for individual fermentation processes. It is often best to sterilize sugars separately because they may react with ammonium ions and amino acids to form black nitrogen containing compounds which will partially inhibit the growth of many micro-organisms. Starch suffers from the handicap that when heated in the sterilization process it gelatinizes, giving rise to very viscous liquids, so that only concentrations of up to 2% can be used without modification.

The choice of substrate may also be influenced by government legislation. Within the European Economic Community (EEC), the use of beet sugar and molasses is encouraged, and the minimum price controlled. The quantity of imported cane sugar and molasses is carefully monitored and their imported prices set so that they will not be competitive with beet sugar. If the world market sugar price is very low then the EEC fermentation industry will be at a disadvantage unless it receives realistic subsidies.

EXAMPLES OF COMMONLY USED CARBON SOURCES

CARBOHYDRATES

It is common practice to use carbohydrates as the carbon source in microbial fermentation processes. The most widely available carbohydrate is starch obtained from maize grain. It is also obtained from other cereals, potatoes and cassava. Maize and other cereals may also be used directly in a partially ground state, e.g. maize chips. Starch may also be readily hydrolysed by dilute acids and enzymes to give a variety of glucose preparations (solids and syrups). Hydrolysed cassava starch is used as a major carbon source for glutamic acid production in Japan. Syrups produced by acid hydrolysis may also contain toxic products which may make them unsuitable for particular processes. Barley grains may be partially germinated and heat-treated to give the material known as malt, which contains a variety of sugars besides starch.

Malt is the main substrate for brewing beer and lager in many countries. Malt extracts may also be prepared from malted grain. Sucrose is obtained from sugar cane and sugar beet. It is commonly used in fermentation media in a very impure form as beet or cane molasses which are the residues left after crystallization of sugar solutions in sugar refining. Molasses is used in the production of high-volume flow-value products such as ethanol, SCP, organic and amino acids and some microbial gums. The use of lactose and crude lactose (milk whey powder) in media formulations is now extremely limited since the introduction of continuous-feeding processes utilizing glucose.

Corn steep liquor is a by-product after starch extraction from maize. Although primarily used as a nitrogen source, it does contain lactic acid, small amounts of reducing sugars and complex polysaccharides. Certain other materials of plant origin, usually included as nitrogen sources, such as soyabean meal and Pharmamedia, contain small but significant amounts of carbohydrate.

OILS AND FATS

Oils were first used as carriers for antifoams in antibiotic processes. Vegetable oils (olive, maize, cotton seed, linseed, soya bean, etc.) may also be used as carbon substrates, particularly for their content of the fatty acids, oleic, linoleic and linolenic acid, because costs are competitive with those of carbohydrates. In an analysis of commodity prices for sugar, soya bean oil and tallow between 1978 and 1985, it would have been cheaper on an available energy basis to use sugar during 1978 to mid 1979 and late 1983 to 1985, whereas oil would have been the chosen substrate in the intervening period. A typical oil contains approximately 2.4 times the energy of glucose on a per weight basis. Oils also have a volume advantage as it would take 1.24 dm³ of soya bean oil to add 10 kcal of energy to a fermenter, whereas it would take 5 dm³ of glucose or sucrose assuming that they are being added as 50% w/w solutions. Ideally, in any fermentation process, the maximum working capacity of a vessel should be used. Oil-based fed-batch fermentations permit this procedure to operate more successfully than those using carbohydrate feeds where a large spare capacity must be catered for to allow for response to a

sudden reduction in the residual nutrient level. Oils also have antifoam properties which may make downstream processing simpler, but normally they are not used solely for this purpose.

HYDROCARBONS AND THEIR DERIVATIVES

There has been considerable interest in hydrocarbons. Development work has been done using n-alkanes for production of organic acids, amino acids, vitamins and co-factors, nucleic acids, antibiotics, enzymes and proteins. Methane, methanol and n-alkanes have all been used as substrates for biomass production. On a weight basis n-alkanes have approximately twice the carbon and three times the energy content of the same weight of sugar. Although petroleum-type products are initially impure they can be refined to obtain very pure products in bulk quantities which would reduce the amount of effluent treatment and downstream processing. At this time the view was also held that hydrocarbons would not be subject to the same fluctuations in cost as agriculturally derived feedstocks because it would be a stable priced commodity and might be used to provide a substrate.

NITROGEN SOURCES

Most industrially used micro-organisms can utilize inorganic or organic sources of nitrogen. Inorganic nitrogen may be supplied as ammonia gas, ammonium salts or nitrates. Ammonia has been used for pH control and as the major nitrogen source in a defined medium for the commercial production of human serum albumin by *Saccharomyces cerevisiae*. Ammonium salts such as ammonium sulphate will usually produce acid conditions as the ammonium ion is utilized and the free acid will be liberated. On the other hand nitrates will normally cause an alkaline drift as they are metabolized. Ammonium nitrate will first cause an acid drift as the ammonium ion is utilized, and nitrate assimilation is repressed. Organic nitrogen may be supplied as amino acid, protein or urea. In many instances growth will be faster with a supply of organic nitrogen, and a few microorganisms have an absolute requirement for amino acids. It might be thought that the main industrial need for pure amino acids would be in the deliberate addition to amino acid requiring mutants used in amino acid production. However, amino acids are more commonly added as complex organic nitrogen sources which are non-homogeneous, cheaper and readily available. In lysine production, methionine and threonine are obtained from soybean hydrolysate since it would be too expensive to use the pure amino acids.

FACTORS INFLUENCING THE CHOICE OF NITROGEN SOURCE

Control mechanisms exist by which nitrate reductase, an enzyme involved in the conversion of nitrate to ammonium ion, is repressed in the presence of ammonia. For this reason ammonia or ammonium ion is the preferred nitrogen source. In fungi that have been investigated, ammonium ion represses uptake of amino acids by general and specific amino acid permeases. In *Aspergillus nidulans*, ammonia also regulates the production of alkaline and neutral proteases).

Therefore, in mixtures of nitrogen sources, individual nitrogen components may influence metabolic regulation so that there is preferential assimilation of one component until its concentration has diminished.

MINERALS

All micro-organisms require certain mineral elements for growth and metabolism. In many media, magnesium, phosphorus, potassium, sulphur, calcium and chlorine are essential components, and because of the concentrations required, they must be added as distinct components. Others such as cobalt, copper, iron, manganese, molybdenum and zinc are also essential but are usually present as impurities in other major ingredients. There is obviously a need for batch analysis of media components to ensure that this assumption can be justified, otherwise there may be deficiencies or excesses in different batches of media. As a consequence of product composition analysis, as outlined earlier in this chapter, it is possible to estimate the amount of a specific mineral for medium design, e.g. sulphur in penicillins and cephalosporins, chlorine in chlortetracycline.

TABLE 4.10. *The range of typical concentrations of mineral components (g dm⁻³)*

Component	Range
*KH ₂ PO ₄	1.0–4.0 (part may be as buffer)
MgSO ₄ ·7H ₂ O	0.25–3.0
KCl	0.5–12.0
CaCO ₃	5.0–17.0
FeSO ₄ ·4H ₂ O	0.01–0.1
ZnSO ₄ ·8H ₂ O	0.1–1.0
MnSO ₄ ·H ₂ O	0.01–0.1
CuSO ₄ ·5H ₂ O	0.003–0.01
Na ₂ MoO ₄ ·2H ₂ O	0.01–0.1

*Complex media derived from plant and animal materials normally contain a considerable concentration of inorganic phosphate.

CHELATORS

Many media cannot be prepared or autoclaved without the formation of a visible precipitate of insoluble metal phosphates. When the medium was autoclaved, a white precipitate of metal formed, containing all the iron and most of the calcium, manganese and zinc present in the medium. The problem of insoluble metal phosphate(s) may be eliminated by incorporating low concentrations of chelating agents such as ethylene diamine tetraacetic acid (EDTA), citric acid,

polyphosphates, etc., in a medium. These chelating agents preferentially complex with the metal ions in a medium. The ions then may be gradually utilized by the organism. The precipitate was eliminated from Mandel and Weber's medium by the addition of EDTA at 25 mg dm⁻³. It is important to check that a chelating agent does not cause inhibition of growth of the micro-organism which is being cultured. In many media, particularly those commonly used in large scale processes, there may not be a need to add a chelating agent as complex ingredients such as yeast extracts or proteose peptones will complex with metal ions and ensure gradual release of them during growth.

GROWTH FACTORS

Some micro-organisms cannot synthesize a full complement of cell components and therefore require preformed compounds called growth factors. The growth factors most commonly required are vitamins, but there may also be a need for specific amino acids, fatty acids or sterols. Many of the natural carbon and nitrogen sources used in media formulations contain all or some of the required growth factors. When there is a vitamin deficiency it can often be eliminated by careful blending of materials. It is important to remember that if only one vitamin is required it may be occasionally more economical to add the pure vitamin, instead of using a larger bulk of a cheaper multiple vitamin source. Calcium pantothenate has been used in one medium formulation for vinegar production. In processes used for the production of glutamic acid, limited concentrations of biotin must be present in the medium.

Possible questions

Two marks

1. How are media sterilized for fermentation?
2. Mention the essential carbon and nitrogen sources for the microbial growth.
3. Write short notes on the role of metals and minerals for fermentation process.
4. Use of oils and fats acts as antifoaming agent. Justify.
5. What are the advantages and disadvantages in using molasses for media formulation.

Eight marks

1. How is air sterilized in fermentation process?
2. What are the criteria for selecting a media for fermentation?
3. Define chelators and their importance in the media fermentation?
4. Explain in detail about different types of raw materials used for formulating media?
5. Explain about microbial growth kinetics according to the media used.

KARPAGAM ACADEMY OF HIGHER EDUCATION

CLASS: I M.Sc MB

COURSE NAME: BIOPROCESS ENGINEERING

COURSE CODE: 17MBP205C

UNIT: III

BATCH-2017-2019

KAHE

DEPARTMENT OF MICROBIOLOGY, KARPAGAM ACADEMY OF HIGHER EDUCATION
BIOPROCESS ENGINEERING- 17MBP205C
UNIT III

In Pencillin fermentation the precursor added is -----

Buffering agents used in media formulation is

Foaming during fermentation process creates

The antifoaming agent used in pencillin fermentation is

The citric acid fermentation *Aspergillus niger* culture is grown at -----pH values prevent contamination.
-----media is mainly used in fermentation process.

Which is the common raw material source used in fermentation process

-----is rich in biotin, panthothenic acid, thiamine, phosphorus and sulphur.

In Beet molasses -----is limiting compared to cane molasses

-----require biotin for growth in production.

In India there is very large utilization of cane blackstrap molasses in -----industry

The -----contain 17% sugar, 1%acid and 0.3%ash

In grapes the nitrogen content should be -----as it may result in undesirable fermentation.
-----is 6.6-7.1% in cheddar whey

Cheese whey is an important raw material in -----production

.-----and-----are the main sources of starch

Wheat, maize and rice are example of -----

Cellulose are carbohydrates made of repeating units of -----

In -----industry the digestion process of wood with calcium bisulfate under heat and pressure to give
Sulfite waste liquor contains -----sugars

Wood molasses syrup contain -----of fermentable sugars

The untreated cellulosic wastes have been used in production of

During manufacture of starch, gluten from corn-----is formed by steeping of corn.

The clean, yellow, fine powder prepared from embryo of cotton seed is called as -----

Pharmamedia is used as production media for -----production.

Initially fermentation industries used corn steep liquor for -----production.

Soya bean meal is used as production medium for -----

Vegetable oils are used as

ATCC is ----- founded in 1925

The specific gravity of oil used in presence of cultures is

.-----is the simplest and common method of maintaining microbial cultures.

The Drying-up of medium encourages good -----of *Streptomyces sp*

.-----and-----are usually maintained in liquid medium

The mineral oil overlay method was first used by Bwell and Weston in -----

Temperature of liquid nitrogen is

The first commercial production of lactic acid in the US was in _____

Lyophilization is the most satisfactory method of long term preservation of microorganisms
----- is perhaps the most popular form of suspended metabolism.

Hwang in ----- year recommends precooling to 7 degree Celsius.

----- stressed the importance of the elimination of air and moisture from lyophilized cultures prior to

The catabolism of sugars is an ----- process

The largest mechanical stirred fermentation vessels developed during stage 3 were in the range of _____
 -----culture where a portion of the culture is harvested at regular intervals and replaced by an equal vo
 ----- culture where medium is fed to the culture resulting in an increases in volume
 ----- is a culture system which contain a initial limited amount of nutrient
 _____ properties are temperature, concentration, pressure and specific heat.
 _____ properties are mass, volume, entropy and energy.
 -----is performed in order to mix the three phases with in the fermenter
 -----phase dissolved nutrients and metabolism are present
 In ----- phase o₂ and co₂ are present
 In ----- phase cells and solid substrates are present
 Transfer in to liquid from the gaseous phase is enhanced by -----
 -----culture is a open system where fresh medium is continuously added
 Aim of ATCC is to _____
 Oil should be autoclaved at _____
 Lyophilization refers to _____
 Dessicant used in lyophilizer are
 _____ is used in storage at very low temp
 The soil culture tubes are kept in refrigerator at above _____ temperature
 De Becze and Liebmann in the year _____ used the first large scale fermentor for the

phenyl acetic acid
mono and dihydropotassium phosphates
oxidation
lard oil
low
synthetic
food waste
cane molasses
biotin
Bacteria
textile
rust
high
total solids
lactic acid
molasses and cereals
molasses
 α -glucose
cheese, cheese whey
1%
60-80%
ethanol
sulfite waste liquor
corn-steep liquor
penicillin
mushroom
penicillin
animal feed
American type culture collection
0.821-0.860
serial subculture
growth
Bacteriophages and *Actinomycetes*
1945
-130°C
1881
long term
overlying cultures with mineral oil
1966
Meryman
Oxidative

75000-80000dm³

Fed batch

Batch

Fed batch

Intensive

Intensive

Aeration

Gaseous

Gaseous

Gaseous

Agitation

Batch

Prevent contamination

15 lb/in² for 2 hr

Short term preservation of microorganisms

Phosphorus

glycerol

5-8⁰ C

1941

benzyl alcohol
triiodosodium phosphates
reduction
lard oil with osetadecanol
high
semi-synthetic
agricultural waste
beet molasses
pyridoxine
yeasts
animal fodder
just
medium
protein
aspartic acid
cheese whey and tubers
cereals
 β -glucose
starch, starch liquor
2%
65-85%
single cell protein
corn steep liquor
soya bean meal
streptomycin
penicillin
streptomycin
antifoams
African type culture collection
)0.865-0.890
lyophilisation
sporulation
Bacteriophages and *clostridium*
1947
-150°C
1882
short term
lyophilization
1969
Dewald
Non oxidative

80,000-150,000dm³

Batch

Fed batch

Batch

Extensive

Extensive

Agitation

Liquid

Liquid

Solid

Aeration

Continuous

To maintain antibiotics

30 lb/in² for 2 hr

long term preservation of Microorganisms

silica gel

mineral oil

4-6⁰ C

1944

Benzene
Tetraiodosodium phosphates
contamination
decanol
medium
non-synthetic
industrial toxic waste
fruit molasses
thiamine
viruses
alcohol
must
low
lactose
glutamic acid
cereals, roots and tubers
roots
 α -galactose
paper pulp, sulfite waste liquor
3%
70-90%
fuel
wood molasses syrup
Pharmamedia
tetracycline
vitamin
tetracycline
mushroom production media
Auxenic type culture collection
0.752-0.812
cryopreservation
storage
Viruses and *Acetobacter*
1949
-176°C
1880
both a and b
nitrogen storage
1974
leogetring
Reoxidised

150,000-175,000dm³

Semi continuous

Continuous

Semi continuous

Physical

Physical

Reaction

Solid

Aeration

Liquid

Fermentation

Fed batch

Preserve cultures

45 lb/in² for 2 hr

killing of Microorganisms

Magnesium

Paraffin wax

2-4⁰ C

1948

pyridine
monophosphates
production
mustard oil with decanol
very high
differential
Biofuel waste
cheese molasses
pantothenic acid
phages
dyes
bust
no
fat
citric acid
cereals and cornsteep liquor
tubers
 β -galactose
wood, molasses
4%
75-95%
vitamins
distillers soluble
distiller's soluble
griseofulvin
organic acid
griseofulvin
pH adjustment
Australian type culture collection
0.718-0.835
dessication
collection
Bacteriophages and *Streptomyces*
1950
-196°C
1883
none of the above
none of the above
1980
Louis Pasteur.
Deoxidation

10,000-50,000dm³

Continuous

Semi continuous

Continuous

Chemical

Chemical

Fermentation

Semisolid

Agitation

Semisolid

Precipitation

Airlift

None of the above

60 lb/in² for 2 hr

None of the above

Copper

All the above

6-8⁰ C

1940

phenyl acetic acid
mono and dihydropotassium phosphates
contamination
lard oil with osetadecanol
low
non-synthetic
agricultural waste
cane molasses
biotin
yeasts
alcohol
must
low
total solids
lactic acid
cereals, roots and tubers
cereals
 β -glucose
paper pulp, sulfite waste liquor
2%
65-85%
single cell protein
corn steep liquor
Pharmamedia
tetracycline
penicillin
streptomycin
antifoams
American type culture collection
0.865-0.890
serial subculture
sporulation
Bacteriophages and *clostridium*
1947
-196°C
1881
long term
lyophilization
1966
Dewald
Oxidative

80,000-150,000dm³

Semi continuous

Fed batch

Batch

Intensive

Extensive

Agitation

Liquid

Gaseous

Solid

Agitation

Continuous

Preserve cultures

15 lb/in² for 2 hr

long term preservation of Microorganisms

silica gel

glycerol

5-8⁰ C

1944

Unit IV**DOWNSTREAMING PROCESS**

The choice of recovery process is based on the following criteria:

1. The intracellular or extracellular location of the product.
2. The concentration of the product in the fermentation broth.
3. The physical and chemical properties of the desired product (as an aid to selecting separation procedures).
4. The intended use of the product.
5. The minimal acceptable standard of purity.
6. The magnitude of bio-hazard of the product or broth.
7. The impurities in the fermenter broth.
8. The marketable price for the product.

The main objective of the first stage for the recovery of an extracellular product is the removal of large solid particles and microbial cells usually by centrifugation or filtration. In the next stage, the broth is fractionated or extracted into major fractions using ultrafiltration, reverse osmosis, adsorption/ion-exchange/gel filtration or affinity chromatography, liquid-liquid extraction, two phase aqueous extraction or precipitation. Afterwards, the product-containing fraction is purified by fractional precipitation, further more precise chromatographic techniques and crystallization to obtain a product which is highly concentrated and essentially free from impurities. Other products are isolated using modifications of this flow-stream. Attempts to simplify this outline extraction procedure for antibiotic recovery using 'whole broth' processing have met with limited success. The technique of 'whole broth' processing involves initial removal of large particles, which is then followed by passage of the broth (including cells) through, for example, well mixed ion-exchange columns or counter-current liquid-liquid extraction units to extract the product directly.

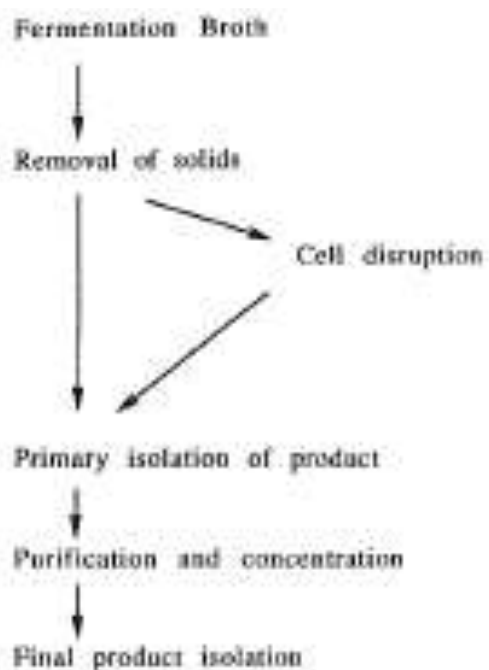


FIG. 10.1. Stages in the recovery of product from a harvested fermentation broth.

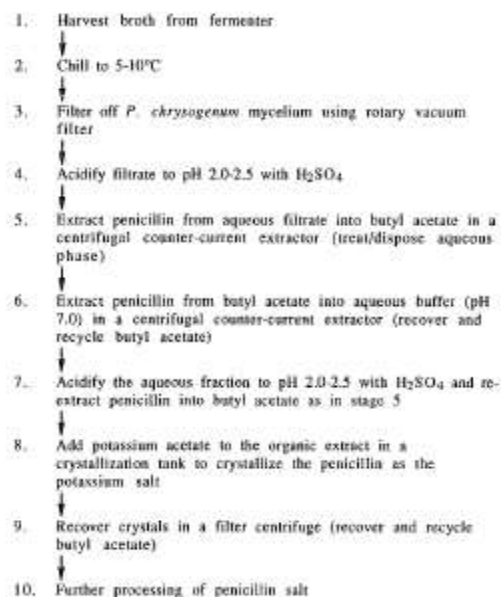


FIG. 10.2. Recovery and partial purification of penicillin G.

It may be possible to modify the handling characteristics of the broth so that it can be handled simpler equipment making use of a number of techniques:

1. Selection of a micro-organism which produce pigments or undesirable metabolites.
2. Modification of the fermentation reduce the production of metabolites.
3. Precise timing of harvesting.
4. pH control after harvesting.
5. Temperature treatment after harvesting.
6. Addition of flocculating agents.
7. Use of enzymes to attack cell walls.

It must be remembered that the fermentation product recovery are integral parts of an overall process. Because of the interactions between the two, stage should be developed independently, as this result in problems and unnecessary expense. The parameters to consider included time of harvest, pigment production, ionic strength and culture medium constituents. Large volumes of supernatants containing extracellular enzymes need immediate processing while harvesting times and enzyme yields might not be predictable. This can make recovery programmes difficult to plan. Pigment production might make some recovery procedures difficult, when the pigment binds to the same resin as the enzyme. Changes in fermentation conditions may reduce pigment

formation. Certain antifoams remain in the supernatant and affect ultrafiltration or ion-exchange resins used in recovery stages. Trials may be needed to find the most suitable antifoam. The ionic strength of the production medium may be too high, resulting in the harvested supernatant needing dilution with demineralized water before it can be processed. Such a negative procedure should be avoided if possible by unified research and development programmes. Media formulation is dominated by production requirements, but the protein content of complex media should be critically examined in view of subsequent enzyme recovery. When considering water recycle in biomass production. They stated that the interaction between the different unit operations in a recycle process made it imperative that commercial plant design and operation should be viewed in an integrated fashion.

Flow sheets for recovery of penicillin, cephamycin C, citric acid and micrococcal nuclease are given in Figures to illustrate the range of techniques used in microbiological recovery processes. A series of comprehensive flow sheets for alcohols, organic acids, antibiotics, carotenoids, polysaccharides, intra- and extra-cellular enzymes, single-cell proteins and vitamin. Other reviews on separation and purification are available for penicillin, amino acids, enzymes, single-cell protein and polysaccharides. In the selection of processes for the recovery of biological products it should always be understood that recovery and production are interlinked, and that good recovery starts in the fermentation by the selection of, amongst other factors, the correct media and time of harvesting.



FIG. 10.3. Purification of cephamycin C: sequential ion exchange process (Omstead *et al.*, 1985).

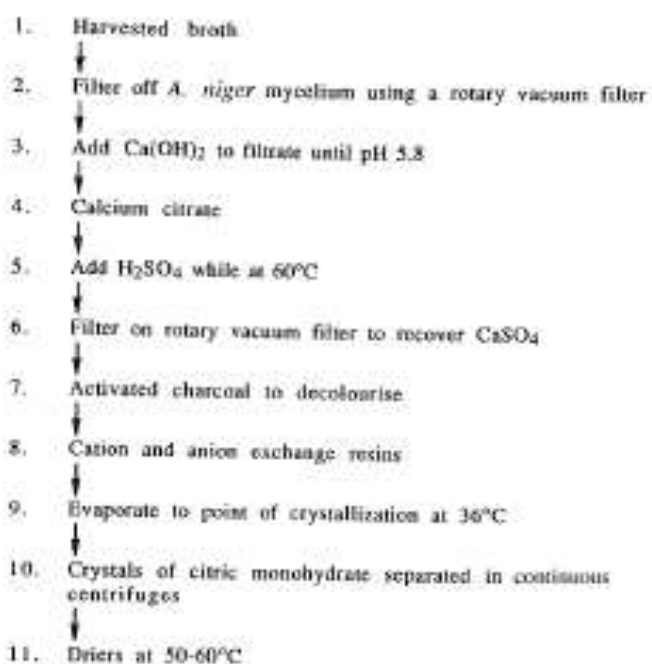


FIG. 10.4. Recovery and purification of citric acid (Sodesk *et al.*, 1981).

The recovery and purification of many compounds may be achieved by a number of alternative routes. The decision to follow a particular route involves comparing the following factors to determine the most appropriate under a given set of circumstances:

- Capital costs.
- Processing costs.
- Throughput requirements.
- Yield potential.
- Product quality.
- Technical expertise available.
- Conformance to regulatory requirements.
- Waste treatment needs.
- Continuous or batch processing.
- Automation.
- Personnel health and safety

The major problem currently faced in product recovery is the large-scale purification of biologically active molecules. For a process to be economically viable large-scale production is required, and therefore large-scale separation, recovery, and purification. This then requires the transfer of small-scale preparative/analytical technologies (e.g. chromatographic techniques) to the production scale whilst maintaining efficiency of the process, bio-activity of the product and purity of the product so that it conforms with safety legislation and regulatory requirements.

REMOVAL OF MICROBIAL CELLS AND OTHER SOLID MATTER

Microbial cells and other insoluble materials are normally separated from the harvested broth by filtration or centrifugation. Because of the small size of many microbial cells it will be necessary to consider the use of filter aids to improve filtration rates, while heat and flocculation treatments are employed as techniques for increasing sedimentation rates in centrifugation. The methods of cell and cell debris separation described in the following sections have been practiced for many years. Some potential developments in cell recovery. These include the use of electrophoresis and di-electrophoresis to exploit the charged properties of microbial cells, ultrasonic treatment to improve flocculation characteristics and magnetic separations. All these techniques suffer from high cost and scale-up difficulties and currently are not appropriate technologies. Of more current interest is the use of two-phase liquid extraction. Though still most appropriately used for separation of selected soluble components, it is easy to scale up and uses conditions which are gentle on the product.

FOAM SEPARATION

Foam separation depends on using methods which exploit differences in surface activity of materials. The material may be whole cells or molecular such as a protein or colloidal, and is selectively adsorbed or attached to the surface of gas bubbles rising through a liquid, to be concentrated or separated and finally removed by skimming. It may be possible to make some materials surface active by the application of surfactants such as long-chain fatty acids, and quaternary ammonium compounds. Materials surface active and collected are termed whereas the surfactants are termed collectors when developing this method of separation, the variables which may need experimental investigation are pH, air-flow rates, surfactants collagen collector ratios.

It was shown that up to 90% of the cells were removed in 1 minute and 99% in 10 minutes. The technique also proved successful for *Chiarella* sp. and *Chlamydomonas* sp. In other work with *E. coli*, Grieves and Wang (1966) were able to achieve cell enrichment ratios of between 10 and 1×10^6 using ethyl-hexadecyl-dimethyl ammonium bromide.

PRECIPITATION

Precipitation may be conducted at various stages of the product recovery process. It is a particularly useful process in that it allows enrichment and concentration in one step, thereby reducing the volume of material for further processing. It is possible to obtain some products (or to remove certain impurities) directly from the broth by precipitation, or to use the technique after a crude cell lysate has been obtained.

Typical agents used in precipitation render the compound of interest insoluble and these include

- (a) Acids and bases to change the pH of a solution until the isoelectric point of the compound is reached and pH equals pI, when there is then no overall charge on the molecule and its solubility is decreased.
- (b) Salts such as ammonium and sodium sulphate are used for the recovery and fractionation of proteins. The salt removes water from the surface of the protein revealing hydrophobic patches which come together causing the protein to precipitate. The most hydrophobic proteins will precipitate first, thus allowing fractionation to take place.
- (c) Organic solvents. Dextran can be precipitated out of a broth by the addition of methanol. Chilled ethanol and acetone can be used in the precipitation of proteins mainly due to changes in the dielectric properties of the solution.
- (d) Non-ionic polymers such as polyethylene glycol (PEG) can be used in the precipitation of proteins and are similar in behaviour to organic solvents.

(e) Polyelectrolytes can be used in the precipitation of a range of compounds, in addition to their use in cell aggregation.

(f) Protein binding dyes (triazine dyes) bind to and precipitate certain classes of protein.

(g) Affinity precipitants are an area of much current interest in that they are able to bind to, and precipitate, compounds selectively.

FILTRATION

Filtration is one of the most common processes used at all scales of operation to separate suspended particles from a liquid or gas, using a porous medium which retains the particles but allows the liquid or gas to pass through. Gas filtration has been discussed in detail elsewhere. It is possible to carry out filtration under a variety of conditions, but a number of factors will obviously influence the choice of the most suitable type of equipment to meet the specified requirements at minimum overall cost, including:

1. The properties of the filtrate, particularly its viscosity and density.
2. The nature of the solid particles, particularly their size and shape, the size distribution and packing characteristics.
3. The solids: liquid ratio.
4. The need for recovery of the solid or liquid fraction or both.
5. The scale of operation.
6. The need for batch or continuous operation.
7. The need for aseptic conditions.
8. The need for pressure or vacuum suction to ensure an adequate flow rate of the liquid.

PLATE AND FRAME FILTERS

A plate and frame filter is a pressure filter in which the simplest form consists of plates and frames arranged alternately. The plates are covered with filter cloths or filter pads. The plates and frames are assembled on a horizontal framework and held together by means of a hand screw or hydraulic ram so that there is no leakage between the plates and frames which form a series of liquid-tight compartments. The slurry is fed to the filter frame through the continuous channel formed by the holes in the corners of the plates and frames. The filtrate passes through the filter cloth or pad, runs down grooves in the filter plates and is then discharged through outlet taps to a channel. Sometimes, if aseptic conditions are required, the outlets may lead directly into a

pipe. The solids are retained within the frame and filtration is stopped when the frames are completely filled or when the flow of filtrate becomes uneconomically low.

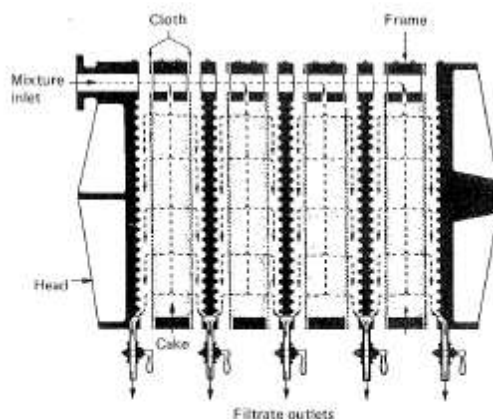


FIG. 10.8. Flush plate and frame filter assembly. The cloth is shown away from the plates to indicate flow of filtrate in the grooves between pyramids (Purchas, 1971).

PRESSURE LEAF FILTERS

There are a number of intermittent batch filters usually called by their trade names. These filters incorporate a number of leaves, each consisting of a metal framework of grooved plates which is covered with a fine wire mesh, or occasionally a filter cloth and often precoated with a layer of cellulose fibres. The process slurry is fed into the filter which is operated under pressure or by suction with a vacuum pump. Because the filters are totally enclosed it is possible to sterilize them with steam. This type of filter is particularly suitable for 'polishing' large volumes of liquids with low solids content or small batch filtrations of valuable solids.

(i) Vertical metal-leaf filter

This filter consists of a number of vertical porous metal leaves mounted on a hollow shaft in a cylindrical pressure vessel. The solids from the slurry gradually build up on the surface of the leaves and the filtrate is removed from the plates via the horizontal hollow shaft. In some designs the hollow shaft can be slowly rotated during filtration. Solids are normally removed at the end of a cycle by blowing air through the shaft and into the filter leaves.

(ii) Horizontal metal-leaf filter

In this filter the metal leaves are mounted on a vertical hollow shaft within a pressure vessel. Often, only the upper surfaces of the leaves are porous. Filtration is continued until the cake fills the space between the disc-shaped leaves or when the operational pressure has become

excessive. At the end of a process cycle, the solid cake can be discharged by releasing the pressure and spinning the shaft with a drive motor.

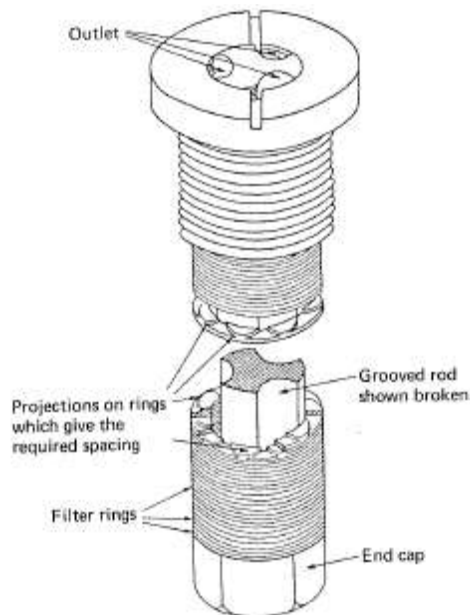


FIG. 10.9a. Metafilter pack (Coulson and Richardson, 1991).

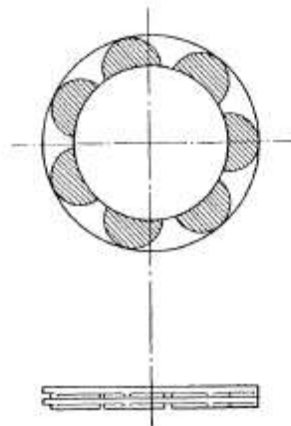


FIG. 10.9b. Rings for metafilter (Coulson and Richardson, 1991).

ROTARY VACUUM FILTERS

Large rotary vacuum filters are commonly used in industries which produce large volumes of liquid needing continuous processing. The filter consists of a rotating, hollow, segmented drum covered with a metal filter which is partially immersed in a containing the broth to be filtered. Slurry is fed on to the outside of the revolving drum and vacuum pressure is applied internally so that the filtrate is drawn through the filter, into the drum and finally to a collecting vessel. The interior of the drum is divided into a series of compartments, to which the vacuum

pressure is normally applied for most of each revolution as the drum slowly revolves (~ 1 rpm). However, just before discharge of the filter cake, air pressure may be applied internally to help ease the filter cake off the drum. A number of spray jets may be carefully positioned so that water can be applied to rinse the cake. This washing is carefully controlled so that dilution of the filtrate is minimal.

It should be noted that the driving force for filtration (pressure differential across the filter) is limited to one atmosphere (100 kN m⁻²) and in practice it is significantly less than this. In contrast, pressure filters can be operated at many atmospheres pressure. A number of perforated vacuum drum filters are manufactured, which differ in the mechanism of cake discharge from the drum:

- (i) String discharge.
- (ii) Scraper discharge.
- (iii) Scraper discharge with precoating of the drum.

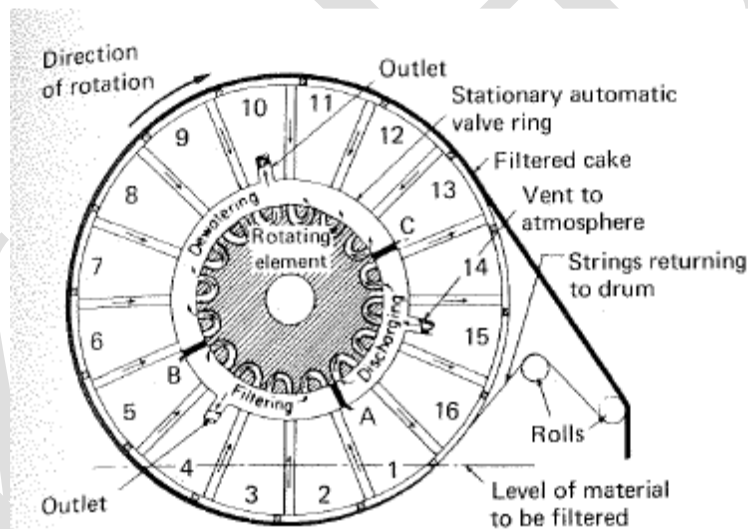


FIG. 10.10. Diagram of string-discharge filter operation. Sections 1 to 4 are filtering; sections 5 to 12 are dewatering; and section 13 is discharging the cake with the string discharge. Sections 14, 15 and 16 are ready to start a new cycle. A, B and C represent dividing members in the annular ring (Miller *et al.*, 1973).

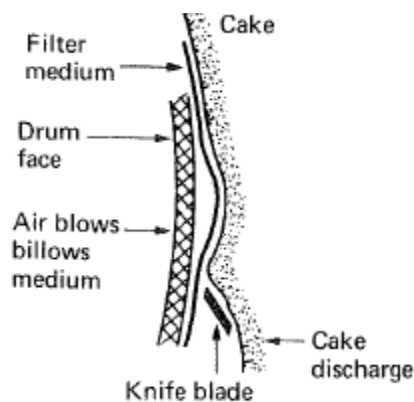


FIG. 10.12. Cake discharge on a drum using a scraper (Talcott *et al.*, 1980).

CENTRIFUGATION

Micro-organisms and other similar sized particles can be removed from a broth by using a centrifuge when filtration is not a satisfactory separation method. Although a centrifuge may be expensive when compared with a filter it may be essential when:

1. Filtration is slow and difficult.
2. The cells or other suspended matter must be obtained free of filter aids.
3. Continuous separation to a high standard of hygiene is required. Non-continuous centrifuges are of extremely limited capacity and therefore not suitable for large-scale separation. The centrifuges used in harvesting fermentation broths are all operated on a continuous or semi-continuous basis. Some centrifuges can be used for separating two immiscible liquids yielding a heavy phase and light phase liquid, as well as a solids fraction. They may also be used for the breaking of emulsions. According to Stoke's law, the rate of sedimentation of spherical particles suspended in a fluid of Newtonian viscosity characteristics is proportional to the square of the diameter of the particles, thus the rate of sedimentation of a particle under gravitational force is:

$$V_g = \frac{d^2 g (\rho_p - \rho_L)}{18\mu}$$

where V_g = rate of sedimentation (m s^{-1})
 d = particle diameter (m)
 g = gravitational constant (m s^{-2})
 ρ_p = particle density (kg m^{-3})
 ρ_L = liquid density (kg m^{-3})
 μ = viscosity ($\text{kg m}^{-1} \text{s}^{-1}$)

This equation can then be modified for sedimentation in a centrifuge:

$$V_c = \frac{d\omega^2 r (\rho_F - \rho_L)}{18\mu}$$

where V_c = rate of sedimentation in the centrifuge
(m s⁻¹),

ω = angular velocity of the rotor (s⁻¹),

r = radial position of the particle (m).

Dividing equation (10.6) by equation (10.5) yields

$$\frac{\omega^2 r}{g}$$

This is a measure of the separating power of a centrifuge compared with gravity settling. It is often referred to as the relative centrifugal force and given the symbol Z. It is evident from this formula that factors influencing the rate of sedimentation over which one has little or no control are the difference in density between the cells and the liquid (increased temperature would lower media density but is of little practical use with fermentation broths), the diameter of the cells (could be increased by coagulation/flocculation) and the viscosity of the liquid. Ideally, the cells should have a large diameter, there should be a large density difference between cell and liquid and the liquid should have a low viscosity. In practice, the cells are usually very small, of low density and are often suspended in viscous media. Thus it can be seen that the angular velocity and diameter of the centrifuge are the major factors to be considered when attempting to maximize the rate of sedimentation (and therefore throughput) of fermentation broths.

TYPES OF CENTRIFUGES

A number of centrifuges will be described vary in their manner of liquid and solid discharge, unloading speed and their relative maximum capacities. When choosing a centrifuge for a specific process it is important to ensure that the centrifuge will be able to perform the separation at the planned production and operate reliably with minimum manpower. Large scale tests may therefore be necessary with fermentation broths or other materials to check that the correct centrifuge is chosen.

THE BASKET CENTRIFUGE (PERFORATED-BOWL BASKET CENTRIFUGE)

Basket centrifuges are useful for separating mould mycelia or crystalline compounds. The centrifuge is most commonly used with a perforated bowl lined with a filter bag of nylon, cotton, etc.. A continuous feed is used, and when the basket is filled with the filter cake it is possible to wash the cake before removing it. The bowl may suffer from blinding with soft biological materials so that high centrifugal forces cannot be used. These centrifuges are normally operated at speeds of up to 4000 rpm for feed rates of 50 to 300 dm³ min⁻¹ and have a solids

holding capacity of 30 to 500 dm³. The basket centrifuge may be considered to be a centrifugal filter.

THE TUBULAR-BOWL CENTRIFUGE

This is a centrifuge to consider using for particle size ranges of 0.1 to 200 μm and up to 10% solids in their going slurry. Figure 10.16a shows an arrangement used in a Sharples Super-Centrifuge. The main component of the centrifuge is a cylindrical bowl (or rotor), which may be of a variable design depending on application, suspended by a flexible shaft (B), driven by an overhead motor or air turbine (C). The inlet to the bowl is via a nozzle attached to the bottom bearing (D). The feed which may consist of solids and light and heavy liquid phases is introduced by the nozzle (E). During operation solids sediment on the bowl wall while the liquids separate into the heavy phase in zone (O) and the light phase in the central zone (H). The two liquid phases are kept separate in their exit from the bowl by an adjustable ring, with the heavy phase flowing over the lip of the ring. Rings of various sizes may be fitted for the separation of liquids of various relative densities. Thus the centrifuge may be altered to use for:

- (a) Light-phase/heavy-phase liquid separation.
- (b) Solids/light-liquid phase/heavy-liquid phase separation.
- (c) Solids/liquid separation (using a different rotor)

THE SOLID-BOWL SCROLL CENTRIFUGE (DECANTER CENTRIFUGE)

This type of centrifuge is used for continuous handling of fermentation broths, cell lysates and coarse materials such as sewage sludge. The slurry is fed through the spindle of an Archimedean screw within the horizontal rotating solids bowl. Typically the speed differential between the bowl and the screw is in the range 0.5 to 100 rpm. The solids settling on the walls of the bowl are scraped to the conical end of the bowl. The slope of the cone helps to remove excess liquid from the solids before discharge. The liquid phase is discharged from the opposite end of the bowl. The speed of this type of centrifuge is limited to around 5000 rpm in larger models because of the lack of balance within the bowl, with smaller models having bowl speeds of up to 10000 rpm. Bowl diameters are normally between 0.2 and 1.5 metres, with the length being up to five times the diameter. Feed rates range from around 200 dm³ h⁻¹ to 200 m³ h⁻¹ depending on scale of operation and material being processed. A number of variants on the basic design are available:

The Recovery and Purification of Fermentation Products

- (a) Cake washing facilities (screen bowl decanters).

(b) Vertical bowl decanters.

(c) Facility for in-place cleaning.

(d) Bio-hazard containment features; steam sterilization *in-situ*, two or three stage mechanical seals, control of aerosols, containment casings and the use of high pressure sterile gas in seals to prevent the release of micro-organisms

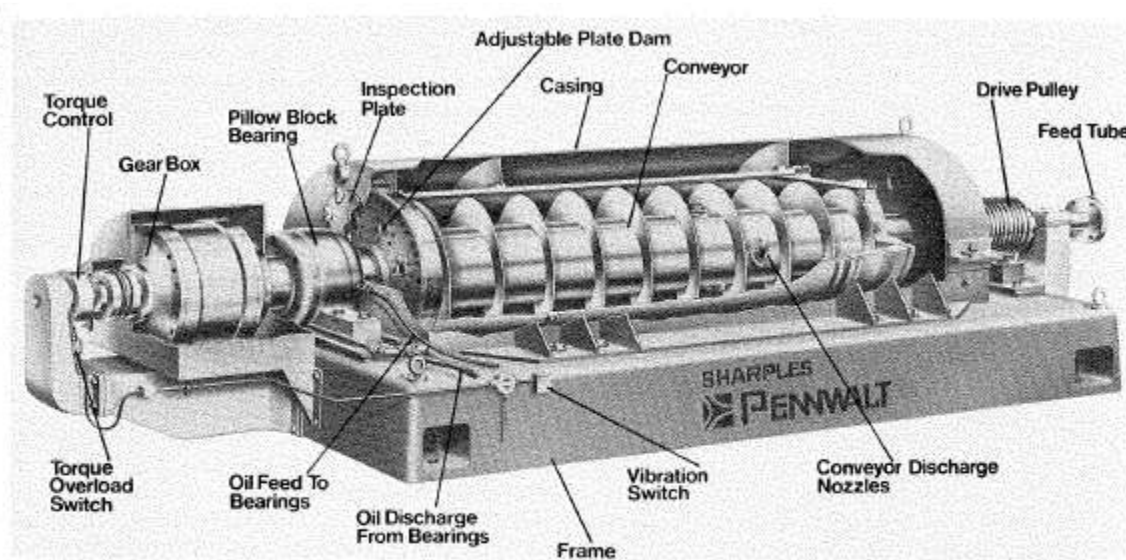


FIG. 10.17b. Cutaway view of a Sharples Super-D-Canter continuous solid-bowl centrifuge, Model P-5400 (Alfa Laval Sharples Ltd, Camberley, U.K.).

FREEZING-THAWING

Freezing and thawing of a microbial cell paste will inevitably cause ice crystals to form and their expansion followed by thawing will lead to some subsequent disruption of cells. It is slow, with limited release of cellular materials, and has not often been used as a technique on its own, although it is often used in combination with other techniques. f3-Glucosidase has been obtained from *S. cerevisiae* by this method. A sample of 360 g of frozen yeast paste was thawed at 50 for 10 hours. This cycle was repeated twice before further processing.

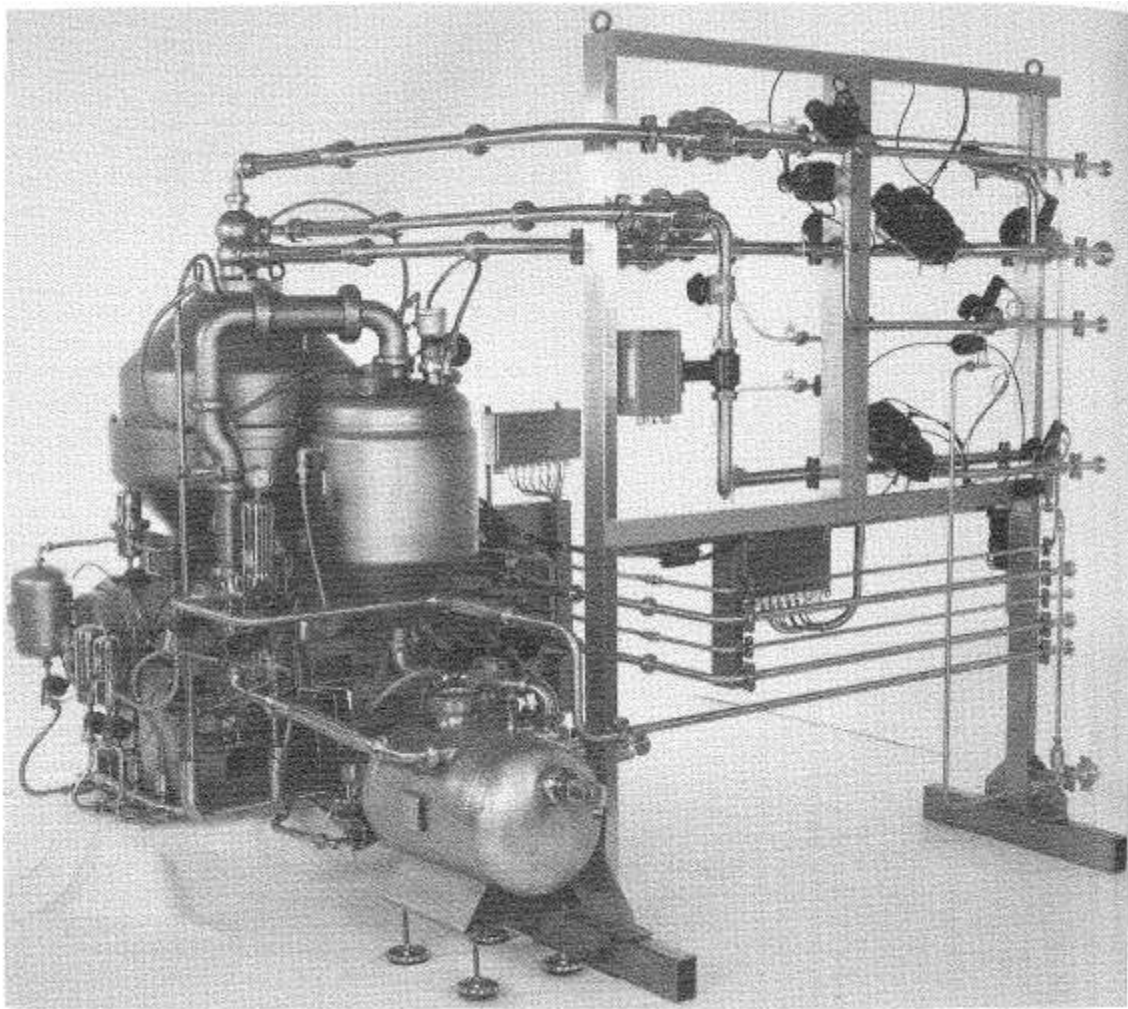


FIG. 10.20. Alfa Laval BTUX 510 disc stack centrifuge (Alfa Laval Sharples Ltd, Camberley, U.K.).

Possible Questions

Two Marks

1. Define filtration
2. What is meant by tangential cross flow filtration?
3. Write short notes on the role of centrifuge in separating the product.
4. Comment on crystallization.
5. What is meant by Freeze drying.

Eight Marks

1. Discuss about the batch and continuous fermentation.
2. Difference between solid state fermentation and submerged fermentation?
3. Brief on kinetics of batch and continuous fermentation.
4. Explain the yield of biomass and product and calculation of productivity.
5. What are the advantages and disadvantages of long term storage and culture revival?

DEPARTMENT OF MICROBIOLOGY, KARPAGAM ACADEMY OF HIGHER EDUCATION
BIOPROCESS ENGINEERING- 17MBP205C
UNIT IV

Fungus Mycelium should be suspended in _____ medium to maintain its structure.

The volume of inoculum used to cultivate bacteria are _____.

Organic acids are produced in _____

Antibiotics from soil are easily isolated from _____

Optimum Production of lysine takes place in medium containing _____ mg of biotin

Optimum Production of Ornithine occurs in a medium containing _____ mg of Arginine.

----- developed a fermentor for the production of acetone.

In ----- year Strauch patented a system in which the aeration tubes were introduced with water and

Carbon source used for production of tetracycline is _____

Mycellium undergoes autolysis with rise in _____

Example for non ionic detergents

Example for coagulating agent

_____ is an established and final purification of a diverse range of compounds.

----- filters are used to filter.

_____ is the organism used in the first truly large scale aseptic fermentation vessels.

_____ is to provide microorganisms in submerged culture with sufficient oxygen for metabolic requirements

_____ ensures uniform suspension of microbial cells.

-----device is used to introduce air in fermenter

Aeration and agitation of a liquid medium may lead to the formation of

----- are surface active agents reducing the Surface tension in the foam.

----- fermenter is called as elongated non-mechanically stirred fermenter

_____ fermentor is a gas tight baffled rise tube connected to a down comer tube.

Multiple air lift fermenter Is designed by

Silicon compound are example are of inert ----- agent

----- is the main compound in corn steep liquor

Impeller are used in the fermentor helps in

_____ or _____ is added to adjust pH if too acidic.

_____ chromatography separates according to the affinity of the protein, for the surface of the solid matrix

----- chromatography is a powerful and highly selective purification technique.

Microbial cells and other insoluble materials are normally separated from the harvested broth by _____ centrifugation

Salts such as ammonium and -----are used for the discovery of protein

Dextran can be precipitated out of a broth by the addition of _____.

----- method is used for large scale enzyme purification

Ultrasonication has frequency of -----khz

----- damage the cell membrane and lead to the release of intracellular components

_____ caused by a sudden change in salt concentration will cause disruption of a number of cell types.

_____ is the separation process where the solvent molecules are passed to flow through Semipermeable membrane

_____ of any product is often the last stage of a manufacturing process.

----- drier is mostly used for drying of biological materials

_____ device are the most economical available for handling large volumes.

----- drying is an important operation in the production of biological and pharmaceuticals

_____ is an established and final purification of a diverse range of compounds.

_____ are metal strips roughly one-tenth of the vessel diameter and attached radially to the wall

A combined sparger and agitator may be used in -----fermenter

-----were first used as carrier for antifoam in antibiotic processes

Which is the by-product after starch extraction from maize.

Chemically defined amino acid media devoid of protein are used in production of _____

_____ does not appear to play a nutritional role in the metabolism of fungi.

_____ is used to carry out microbiological process on batch basis.

Small lab fermentor is in the size range of

Larger fermentor range from _____ gallons.

pH control is achieved by _____ device.

_____ consists of circular discs to which blades are fitted with bolts.

Size of the holes in the sparger ranges from _____

Steady state condition can be achieved by operation on _____ principles.

CSTF is expanded as

The temperature of _____ in the production tanks is satisfactory during fermentation.

Industrial alcohol production can be carried out in very large fermentor upto _____ Gallons.

_____ chromatography separates according to the affinity of the protein, for the surface of the solid

----- chromatography is a powerful and highly selective purification technique.

Microbial cells and other insoluble materials are normally separated from the harvested broth by _____

----- stressed the importance of the elimination of air and moisture from lyophilized cultures prior

Option A

Dimethyl sulphoxide

0.1-2%

Crowded plate technique

giant colony technique

5 mg/litre

100 mg

Liebmann

1930

Molasses

pH value

Tween 80

Calcium phosphate

Drying

Polytetra fluoro ethylene

Clostridium acetobutylicum

Aeration

Aeration

Spargers

Acid

Antifoam

Tower

Tower

Bakker et al

Antibacterial

Lactic acid

Aeration

Ammonia

Adsorption

Adsorption

Filtration

NH₃SO₄

Methanol

Liquid shear

200

Osmotic shock

Osmotic shock

Ultra filtration

Filtration

Freeze

Freeze

Freeze

Drying
Sparger
Laboratory
Oils
Corn steep liquor
Acids
Chlorine
Batch fermentor
1-21+
5000-10000
Anti titrator
Impellers
1/64-1/32
Chemostatic
Continuous stirred tank fermentor
80°F
12500
Adsorption
Adsorption
Filtration
Meryman

Option B

Rose Bengal medium

0.5-5%

giant colony technique

Crowded plate technique

20 mg/litre

200 mg

Robert Koch

1934

Corn steep liquor

temp

Tween 20

Calcium carbonate

Crystallization

Poly vinyl chloride

C. perfringens

Agitation

Agitation

Impellers

Alkali

Baffles

Airlift

Air lift

Okabe et al

Antifoam

Amino acid

Antifoaming

Sodium hydroxide

Affinity

Affinity

Centrifugation

Na₂SO₄

Ethanol

Solid shear

2

Alkali treatment

Alkali

Reverse osmosis

Centrifugation

Spray

Spray

Drum

Crystallization

Baffler

Tower

Fats

Barley

Vitamin

Fluoride

Continuous fermentor

0.5-11+

100-1000

Aerator

Sparger

1/32- 1/64

Turbidostatic

Continuous solid tank fermentor

70°F

125

Affinity

Affinity

Centrifugation

Dewald

Option C

Sabouraud's dextrose agar medium

3-5%

Primary screening

Primary screening

15 mg/litre

300 mg

Weizmann

1940

Barley

Ionic conc

Tween 40

Calcium sulphate

Filtration

Glass and mineral fibres

S. cerevisiae

Impeller

Sparger

Baffles

Foam

Yeast

Cylindrical

Cylinder conical

Bacon et al

Anti fungal

Tartaric acid

Agitation

Both a or b

Ion exchange

Ion exchange

Filtration OR centrifugation

CaCl₂

Butanol

Ultrasonication

20

Detergent

Protease

Liquid membranes

Drying

Drum

Drum

Spray

Filtration
Magnetic devices
Airlift
Carbohydrate
Molasses
Vaccines
Copper
Fed batch fermentor
1-101+
1000-5000
Baffler
Baffler
1/32- 1/32
Both chemostat and turbidostat
Cell suspended tank fermentor
90°F
25000
Ion exchange
Ion exchange
filtration or centrifugation
leogetring

Option D

None of the above

06-Apr

Pour plate technique

Pour plate technique

25 mg/litre

400 mg

chain weizmann

1944

None of the above

none

none of the above

All the above

HPLC

All the above

E.coli

Baffler

Baffler

Turbines

Air

Cell

Deep jet

Deep jet

Dawsa

Antiprotozal

Lactose

Absorption

Sulphuric acid

Column

Column

Sedimentation

Ca

Alcohol

Freeze thawing

2000

Enzyme

SDS

pumping

Packing

Tray

Tray

Tray

HPLC

Impellers

Batch

Acids

Soybean oil

Antibiotics

Cadmium

Semi continuous fermentor

5-101+

10000-20000

Impeller.

Aerator

1/64 -1/64

Photostat

Continuous solid type fermentor

100°F

100000

Column

Column

Sedimentation

Louis Pasteur.

Answer Key

Dimethyl sulphoxide

0.5-5%

Primary screening

giant colony technique

20 mg/litre

200 mg

chain weizmann

1934

Molasses

pH value

Tween 20

Calcium phosphate

Crystallization

All the above

Clostridium acetobutylicum

Aeration

Agitation

Spargers

Foam

Antifoam

Tower

Air lift

Bakker et al

Antifoam

Lactic acid

Agitation

Both a or b

Adsorption

Affinity

Filtration OR centrifugation

Na₂SO₄

Methanol

Liquid shear

20

Osmotic shock

Osmotic shock

Reverse osmosis

Drying

Spray

Spray

Freeze

Crystallization
Baffler
Laboratory
Oils
Corn steep liquor
Vaccines
Chlorine
Batch fermentor
1-21+
5000-10000
Anti titrator
Impellers
1/64-1/32
Both chemostat and turbidostat
Continuous stirred tank fermentor
80°F
12500
Adsorption
Affinity
filtration or centrifugation
Dewald

Unit V**THE ISOLATION, PRESERVATION AND IMPROVEMENT OF INDUSTRIALLY IMPORTANT MICRO-ORGANISMS****Introduction**

Microbes are required for the production of fermentation products. They are very valuable for specific product. Not all the microbes will give one product produced efficiently by specific microbe.

The isolation of a desired organism for a fermentation process may be time consuming and very expensive procedure and it is therefore essential that it retain the desirable characteristics that led to its selection. In addition, the culture used for the fermentation process should remain viable and free from contamination. Thus, industrial cultures must be preserved and maintained in such way as to eliminate genetic change, protect against contamination, and retain viability.

Different techniques are used for maintenance and preservation of different organisms based on their properties. Selected method should also conserve the properties of the organisms.

Techniques for the Preservation of microbes broadly divided into two

1. Methods where organisms are in Continuous metabolic active state**2. Methods where organisms are in Suspended metabolic state****1. Continuous metabolic active state preservation technique**

In this technique, organisms preserved on nutrient medium by repeated sub-culturing. In this technique, any organisms are stored by using general nutrient medium. Here repeated sub-culturing is required due to depletion or drying of nutrient medium. This technique includes preservation by following methods.

1.1 Periodic transfer to fresh media

Organisms grown in general media on slant, incubated for particular period at particular temperature depending on the characteristics of the selected organisms, then it is stored in refrigerator. These cultures can be stored for certain interval of time depending on the organism and its growth conditions. After that time interval, again these organisms transferred to new fresh medium and stored in refrigerator.

1.2 Overlaying culture with mineral oil

Organisms are grown on agar slant then they are covered with sterile mineral oil to a depth of 1 cm. above the tip of the surface. This method is simple; one can remove some organisms in aseptic condition with the help of sterile wire loop and still preserving the initial culture. Some species preserved satisfactorily for 15 – 20 years by this method.

1.3 Storage in sterile soil

This method is widely used for preserving spore forming bacteria and fungi. In this method, organisms will remain in dormant stage in sterile soil. Soil sterilized then spore suspension added to it aseptically, this mixture dried at room temperature and stored in refrigerator. Viability of organisms found around 70 – 80 years.

1.4 Saline suspension

Normal Saline used to provide proper osmotic pressure to organism's otherwise high salt concentration is inhibitory for organisms. Organisms kept in screw cap bottles in normal saline, stored at room temperature, wherever required transfer made on agar slats, and incubated.

THE PRESERVATION OF INDUSTRIALLY IMPORTANT MICRO-ORGANISMS

The isolation of a suitable organism for a commercial process may be a long and very expensive procedure and it is therefore essential that it retains the desirable characteristics that led to its selection. Also, the culture used to initiate an industrial fermentation must be viable and free from contamination. Thus, industrial cultures must be stored in such way as to eliminate genetic change, protect against contamination and retain viability. An organism may be kept viable by repeated sub-culture into fresh medium, but, at each cell division, there is a small probability of mutations occurring and because repeated sub-culture involves very many such divisions, there is a high probability that strain degeneration would occur. Also, repeated sub-culture carries with it the risk of contamination. Thus, preservation techniques have been developed to maintain cultures in a state of 'suspended animation' by storing either at reduced temperature or in a dehydrated form.

Storage at reduced temperature

STORAGE ON AGAR SLOPES

Cultures grown on agar slopes may be stored in a refrigerator (5°) or a freezer (- 20°) and sub-cultured at approximately 6-monthly intervals. The time of subculture may be extended to 1 year if the slopes are covered with sterile medicinal grade mineral oil.

STORAGE UNDER LIQUID NITROGEN

The metabolic activities of micro-organisms may be reduced considerably by storage at the very low temperatures (-150° to -196°) which may be achieved using a liquid nitrogen refrigerator. Snell (1991) claimed that this approach is the most universally applicable of all preservation methods. Fungi, bacteriophage, viruses, algae, yeasts, animal and plant cells and tissue cultures have all been successfully preserved. The technique involves growing a culture to the maximum stationary phase, resuspending the cells in a cryoprotective agent (such as 10% glycerol) and freezing the suspension in sealed ampoules before storage under liquid nitrogen. Some loss of viability is suffered during the freezing and thawing stages but there is virtually no loss during the storage period. Thus, viability may be predictable even after a period of many years. Snell (1991) suggested that liquid nitrogen is the method of choice for the preservation of valuable stock cultures and may be the only suitable method for the long term preservation of cells that do not survive freeze-drying. Although the equipment is expensive the process is economical on labour. However, the method has the major disadvantage that liquid nitrogen evaporates and must be replenished regularly. If this is not done, or the apparatus fails, then the consequences are the loss of the collection.

Storage in a dehydrated form

DRIED CULTURES

Dried soil cultures have been used widely for culture preservation, particularly for sporulating mycelial organisms. Moist, sterile soil may be inoculated with a culture and incubated for several days for some growth to occur and then allowed to dry at room temperature for approximately 2 weeks. The dry soil may be stored in a dry atmosphere or, preferably, in a refrigerator. The technique has been used extensively for the storage of fungi and actinomycetes and Pridham *et al.* (1973) observed that of 1800 actinomycetes dried on soil about 50% were viable after 20-years storage. Malik (1991) described methods which extend the approach using substrates other than soil. Silica gel and porcelain beads are suggested alternatives and detailed methods are given for these simple, inexpensive techniques in Malik's discussion.

LYOPHILIZATION

Lyophilization, or freeze-drying, involves the freezing of a culture followed by its drying under vacuum. The technique involves growing the culture to the maximum stationary phase and resuspending the cells in a protective medium such as milk, serum or sodium glutamate. A few drops of the suspension are transferred to an ampoule, which is then frozen and subjected to a high vacuum until sublimation is complete, after which the ampoule is sealed. The ampoules may be stored in a refrigerator and the cells may remain viable for 10 years or more (Perlman and Kikuchi, 1977). Lyophilization is very convenient for service culture collections (Snell, 1991) because, once dried, the cultures need no further attention and the storage equipment (a refrigerator) is cheap and reliable. Also, the freeze dried ampoules may be dispatched as such, still in a state of 'suspended animation' whereas liquid nitrogen stored cultures begin to deteriorate.

However, freeze-dried cultures are tedious to open and revitalize and several sub-cultures may be needed before the cells regain their typical characteristics. Overall, the technique appears to be second only to liquid nitrogen storage and even when liquid nitrogen is used makes an excellent insurance against the possibility of the breakdown of the nitrogen freezer.

THE USE OF RECOMBINATION SYSTEMS FOR THE IMPROVEMENT OF INDUSTRIAL MICRO-ORGANISMS

Hopwood (1979) defined recombination, in its broadest sense, as "any process which helps to generate new combinations of genes that were originally present in different individuals". The use of recombination mechanisms for the improvement of industrial strains has increased significantly due to the developments in recombinant DNA technology and the necessity to develop new methods of strain improvement as the returns generated from mutation and selection programmes decreased. However, it should be appreciated that mutation and selection techniques are frequently used in association with recombination systems in a strain improvement programme. The parasexual cycle in the filamentous fungi has been applied to strain development as have protoplast fusion techniques in a wide range of micro-organisms.

THE APPLICATION OF THE PARASEXUAL CYCLE

Many industrially important fungi do not possess asexual stage and therefore it would appear difficult to achieve recombination in these organisms. However, Pontecorvo *et al.* (1953) demonstrated that nuclear fusion and gene segregation could take place outside, or in the absence of, the sexual organs. The process was termed the parasexual cycle and has been demonstrated in the imperfect fungi, *A. niger* and *P. chrysogenum*, as well as the sexual fungus *A. nidulans*. In order for parasexual recombination to take place in an imperfect fungus, nuclear fusion must occur between unlike nuclei in the vegetative hyphae of the organism. Thus, recombination may be achieved only in an organism in which at least two different types of nuclei coexist, i.e. a heterokaryon. The heterozygous diploid nucleus resulting from the fusion of the two different haploid nuclei may give rise to a diploid clone and, in rare cases, a diploid nucleus in the clone may undergo an abnormal mitosis resulting in mitotic segregation and the development of recombinant clones which may be either diploid or haploid. Recombinant clones may be detected by their display of recessive characteristics not expressed in the heterokaryon. Analysis of the recombinants normally demonstrates them to be segregant for only one, or a few linked, markers and culture of the segregants results in the development of clones displaying more recessive characters than the initial segregant. The process of recombination during the growth of the heterozygous diploid may occur in two ways: crossing over, which results in diploid recombinants and haploidization, which results in haploid recombinants. Mitotic crossing over is the result of an abnormal mitosis. The normal mitosis of a heterozygous cell is shown in Fig. 3.26. During mitosis, each pair of homologous chromosomes replicate to produce pairs of chromatids and a chromatid of one pair migrates to a pole of the cell with a chromatid of another pair. Division of the cell at the equator results in the production of two cells, both of which are heterozygous for all the genes on the chromosome. Crossing over involves the exchange of distal segments between chromatids of homologous chromosomes shown in Fig. 3.27. This process may result in production of daughter nuclei homozygous for one pair of chromosomes and in the expressions of any recessive alleles contained in that portion. The clone arising from the

partial homozygote will recombine and further mitotic crossing over in recombinant will result in the expression of more recessive alleles.

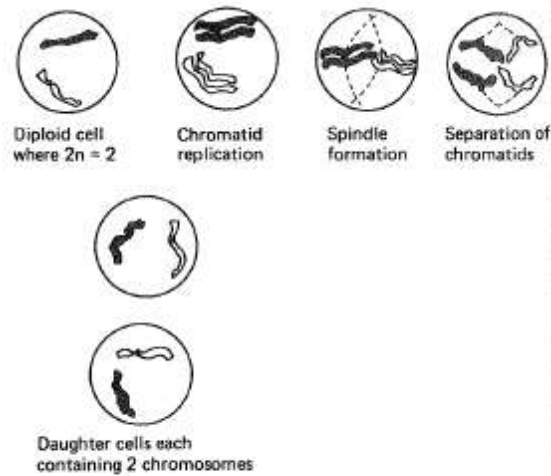


FIG. 3.26. Diagrammatic representation of the mitotic division of a eukaryotic cell containing two chromosomes. The nuclear membrane has not been portrayed in the figure.

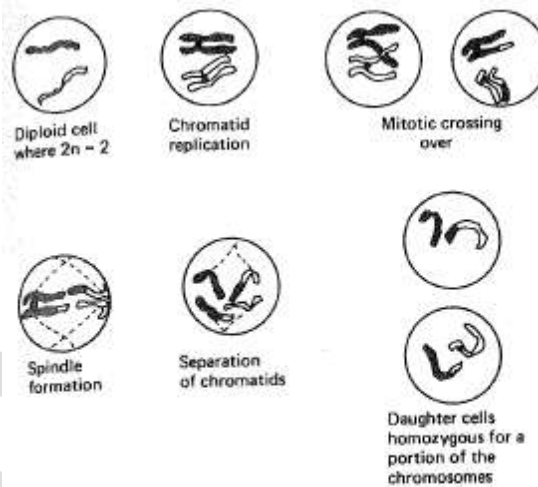


FIG. 3.27. Diagrammatic representation of mitosis including mitotic crossing over.

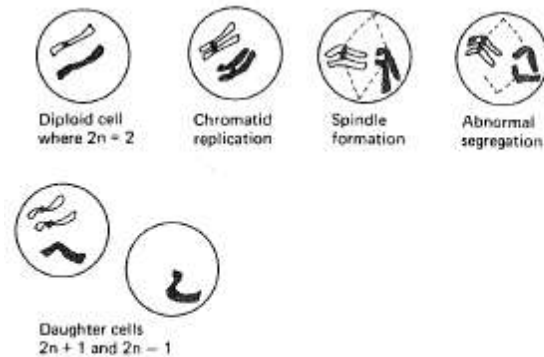


FIG. 3.28. Diagrammatic representation of mitosis involving haploidization.

THE APPLICATION OF PROTOPLAST FUSION TECHNIQUES

Protoplasts are cells devoid of their cell walls and may be prepared by subjecting cells to the action of wall degrading enzymes in isotonic solutions. Protoplasts may regenerate their cell walls and are then capable of growth as normal cells. Cell fusion, followed by nuclear fusion, may occur between protoplasts of strains which would otherwise not fuse and the resulting fused protoplast may regenerate a cell wall and grow as a normal cell. Thus, protoplasts may be used to overcome some recombination barriers. Protoplast fusion has been demonstrated in a large number of industrially important organisms including *Streptomyces* spp. (Hopwood *et al.*, 1977), *Bacillus* spp. (Fodor and Alföldi, 1976), corynebacteria (Karasawa *et al.*, 1986), filamentous fungi (Ferenczy *et al.*, 1974) and yeasts (Sipiczki and Ferenczy, 1977). Fusion of fungal protoplasts appears to be an excellent technique to obtain heterokaryons between strains where conventional techniques have failed, or, indeed, as the method of choice. Thus, this approach has allowed the use of the parasexual cycle for breeding purposes in situations where it had not been previously possible. This situation is illustrated by the work of Peberdy *et al.* (1977) who succeeded in obtaining heterokaryons between *P. chrysogenum* and *P. cyaneofulvum* and demonstrated the formation of diploids which gave rise to recombinants after treatment with *p*-fluorophenylalanine or benomyl. Although it has been claimed that *P. chrysogenum* and *P. cyaneofulvum* are not different species of *Penicillium* (Samson *et al.*, 1977), Peberdy *et al.* still demonstrated that protoplast fusion could be successful where conventional techniques had failed. A demonstration of the use of protoplast fusion for an industrial fungus is provided by the work of Hamlyn and Ball (1979) on the cephalosporin producer, *C. acremonium*. These workers compared the effectiveness of conventional techniques of obtaining nuclear fusion between strains of *C. acremonium* with the protoplast fusion technique. The results from conventional techniques suggested that nuclear fusion was difficult to achieve. Electron microscopic examination of fused protoplasts indicated that up to 1% underwent immediate nuclear fusion. Recombinants were obtained in both sister and divergent crosses. A cross between an asporulating, slow-growing strain with a sporulating fast-growing strain which only produced one-third of the cephalosporin level of the first strain eventually resulted in the isolation of a recombinant which combined the desirable properties of both strains, i.e. a strain which demonstrated good sporulation, a high growth rate and produced 40% more antibiotic than the higher-yielding parent. Chang *et al.* (1982) utilized protoplast fusion to combine the desirable

qualities of two strains of *Penicillium chrysogenum*. Protoplasts from two strains, differing in colony morphology and the abilities to produce penicillin V.

Protoplasts are also useful in the filamentous fungi for manipulations other than cell fusion. Rowlands (1992) suggested that they may be used in mutagenesis of non-sporulating fungi. Spores are the cells of choice for the mutagenesis of filamentous fungi but this is obviously impossible for non-sporulating strains. Mycelial fragments may be used but these will be multinucleate and very high mutagen doses are required. Although some protoplasts will be non-nucleate or multi-nucleate at least some will be uninucleate which will express any modified genes after mutation. Also, protoplasts will take up DNA in *in vitro* genetic manipulation experiments.

Protoplast fusion has also been applied to the improvement of amino acid producing strains. Karasawa *et al.* (1986) used the technique to improve the fermentation rates of lysine producers developed using repeated mutation and directed selection. Such strains were good lysine producers but showed low glucose consumption and growth rates, undesirable features which had been inadvertently introduced during the selection programme. A protoplast fusion was performed between the lysine producer and a fast growing strain and a fusant was isolated displaying the desirable characteristics of high lysine production and high glucose consumption rate resulting in a much faster fermentation.

TABLE 3.7. The use of protoplast fusion for the improvement of a penicillin V producer (Lein, 1986)

Characteristic	Parent A	Parent B	Best recombinant
Spores per slant ($\times 10^8$)	2.2	2.5	7.5
Germination frequency (%)	99	40	49
Colour of sporulating colonies	Green	Pale green	Deep green
Seed growth	Good	Poor	Good
Penicillin V yield (mg cm^{-3})	11.7	18.5	18.0
Phenylacetic oxidation	Yes	No	No

THE APPLICATION OF RECOMBINANT DNA TECHNIQUES

The transfer of DNA between different species of bacteria has been achieved experimentally using both *in vivo* and *in vitro* techniques (Atherton *et al.*, 1979). Thus, genetic material derived from one species may be incorporated into another where it may be expressed. *In vivo* techniques make use of phage particles which will pick up genetic information from the chromosome of one bacterial species, infect another bacterial species and in so doing introduce the genetic information from the first host. The information from the first host may then be expressed in the second host. Whereas, the *in vivo* techniques depend on vectors collecting information from one cell and incorporating it into another, the *in vitro* techniques involve the insertion of the information into the vector by *in vitro* manipulation followed by the insertion of the carrier and its associated 'extra' DNA into the recipient cell. Because the DNA is incorporated into the vector by *in vitro* methods the source of the DNA is not limited to that of the host organism of the

vector. Thus, DNA from human or animal cells may be introduced into the recipient cell. Atherton *et al.* (1979) listed the basic requirements for the *in vitro* transfer and expression of foreign

DNA in a host micro-organism as follows:

(j) A 'vector' DNA molecule (plasmid or phage) capable of entering the host cell and replicating within it. Ideally the vector should be small, easily prepared and must contain at least one site where integration of foreign DNA will not destroy an essential function.

(ij) A method of splicing foreign genetic information into the vector.

(iii) A method of introducing the vector foreign DNA recombinants into the host cell and selecting for their presence. Commonly used simple characteristics include drug resistance, immunity, plaque formation, or an inserted gene recognizable by its ability to complement a known auxotroph.

(iv) A method of assaying for the 'foreign' gene product of choice from the population of recombinants created.

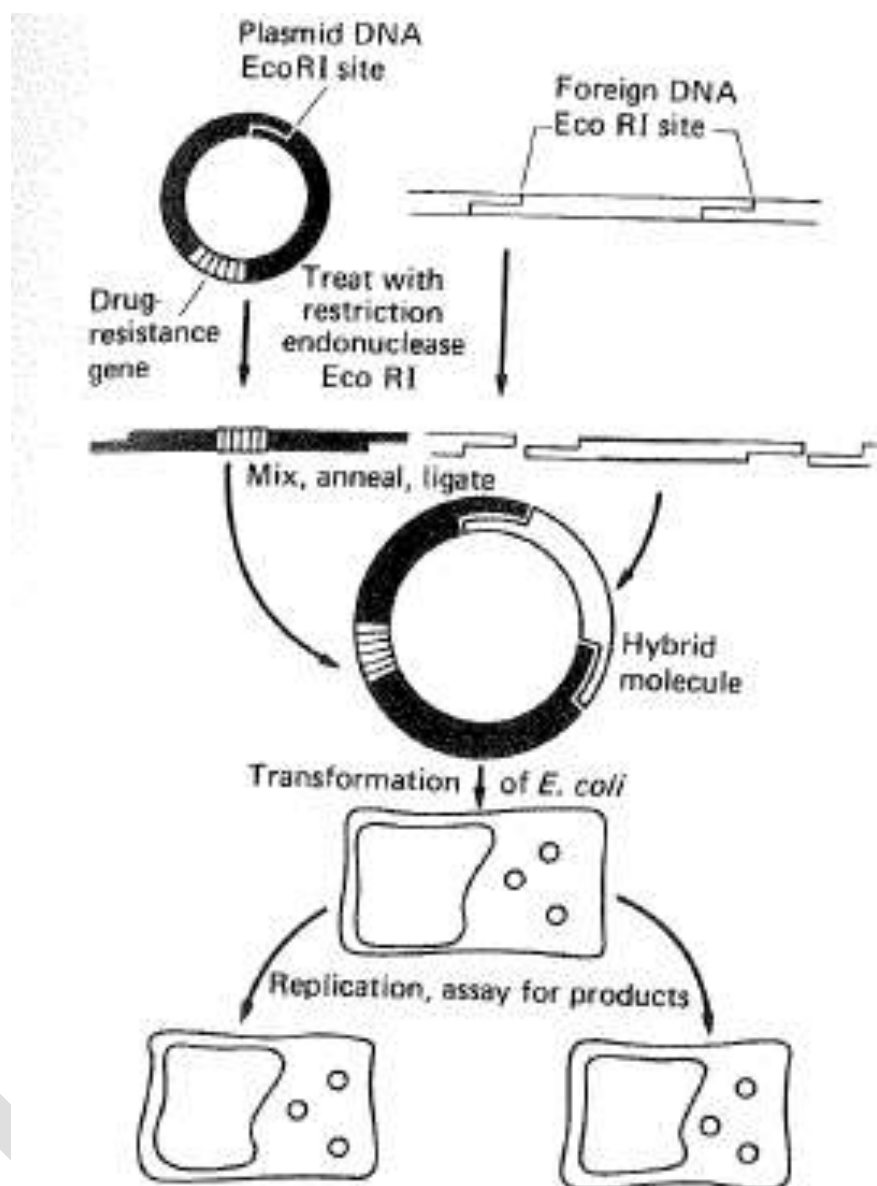


FIG. 3.29. A summary of the steps in *in vitro* genetic recombination. Both plasmid vector and foreign DNA are cut by the restriction endonuclease, EcoRI, producing linear double-stranded DNA fragments with single-stranded cohesive projections. EcoRI recognizes the oligonucleotide sequence $\begin{matrix} \text{GAATTC} \\ \text{CTTAAG} \end{matrix}$ and will cut any double-stranded DNA molecule to yield fragments with the same cohesive ends $\begin{matrix} \text{GAATTC} \\ \text{CTTAAG} \end{matrix}$. On mixing vector and foreign DNA, hybrids form into circular molecules which can be covalently joined using DNA ligase. Transformation of *E. coli* results in the low-frequency uptake of hybrid molecules whose presence can be detected by the ability of the plasmid to confer drug resistance on the host (Atherton *et al.*, 1979).

The use of recombinant DNA technology for the improvement of native microbial products

Recombinant DNA technology has been used widely for the improvement of native microbial products. Frequently, this has involved 'self cloning' work where a chromosomal gene is inserted into a plasmid and the plasmid incorporated into the original strain and maintained at a high copy number. Thus, this is not an example of recombination because the engineered strain is altered only in the number of copies of the gene and does not contain genes which were present originally in a different organism. However, the techniques employed in the construction of these strains are the same as those used in the construction of chimeric strains, so it is logical to consider this aspect here. The first application of gene amplification to industrial strains was for the improvement of enzyme production. Indeed, some regulatory mutants isolated by conventional means owed their productivity to their containing multiple copies of the relevant gene as well as the regulatory lesion.

Possible Questions**Two marks**

1. Write short notes on isolation of pure culture.
2. Write about the criteria for selecting industrial culture.
3. How are cultures preserved using oil.
4. What is the advantage of freeze drying.
5. How are industrial strains propagated?

Eight marks

1. Discuss about the batch and continuous fermentation.
2. Difference between solid state fermentation and submerged fermentation?
3. Brief on kinetics of batch and continuous fermentation.
4. Explain the yield of biomass and product and calculation of productivity.
5. How cultures are preserved?
6. Write about the role of rDNA technology in preserving culture.

DEPARTMENT OF MICROBIOLOGY, KARPAGAM ACADEMY OF HIGHER EDUCATION
BIOPROCESS ENGINEERING- 17MBP205C
UNIT V

Industrial microbiology deals with areas of microbiology involving economic aspects, where value is added. In history of industrial microbiology, the period of ignorance is from 1800 to 1850. The period of discovery in history of industrial microbiology is from 1850 to 1900. The period of industrial development in history of industrial microbiology is from 1900 to 1950. Bread was 1st baked around 3500 B.C. Wine is produced from grapes. The compound Microscope produced by Zaccharies Jensen had no provision for eyepiece. Anton von Leewanhoek was able to obtain magnification upto 300-diameters. In middle of last century fermentation was consider to be a anaerobic process. Fermentation was first described as chemical process by Louis Pasteur. In 1873, Louis Pasteur described that yeasts are involved in fermentation process for alcohol production. In fermentation, yeast converts sugar to alcohol and CO₂ who isolated the microbes associated fermentation. The optimum temperature condition for fermentation process was in range from 20-30°C. Pasteur identified the organisms involved in the transformation of sugar to alcohol. Lactic acid organism is a bacterium who made an important discovery that fermentation takes place in absence of oxygen. In 1861 Pasteur did experiments on anaerobic and aerobic fermentation. He requested Pasteur to study the problem of souring wine that threatened French wine industry. The process of sterilization of wine introduced by Pasteur is called pasteurization which method is most satisfactory method for long time preservation of microbes. The major antibiotics such as streptomycin and neomycin etc were isolated from Streptomyces. During 1910-1920, penicillin and streptomycin were produced by Industrial fermentation. During 1920-1930, vitamin B₁₂ was produced by industrial fermentation. During 1930-1940, first vitamin to be produced by industrial fermentation is Vitamin B₁₂. The acetone-butanol fermentation is also called as A-B fermentation process. The important quality of production strain is high yield. The screening techniques involves primary and secondary screening. Primary screening technology involves the isolation of new microbial species exhibiting the desired trait. Crowded plate technique is an example of primary screening. The primary screening technique which is employed for a detect and isolating antibiotic producing strain is spot assay. Enrichment culture technology was designed by soil microbiologist Frankland which technique is largely employed to identify the growth factor producing strain extracellularly. Neutral red, bromothymol blue dyes are added to partly buffered nutrient agar media to detect microorganisms. Example of enrichment substrate used is methylene blue which screening helps in segregation microbe that have real potential in fermentation industry. The suitable protective medium used at the 10% inositol in dissolved water. The fermentation product produced by the identified industrial strain should be pure. The selected industrial strain is identified by secondary screening. The process of lyophilization was first applied to microfungi on large scale by de Man and Hartley in 1942. The important criteria in handling the industrially productive strain is to prevent contamination.

Mutation is done by-----and-----methods

Phosphorous pentoxide silica gel/freezing trap are examples of-----

In multivalent regulatory mechanism of a branched biosynthetic pathway, -----end products inhibit t

The two categories of mutants are-----and-----

The wild strain of *Corynebacterium glutamicus* secretes both -----and-----

The mutant strain of *Corynebacterium glutamicus* produces upto -----g of lysine litre in medium

The oil used in oil overlay method is

The lysine biosynthesis, the end products lysine and threonine inhibit the enzyme.

An analogue of threonine is -----

The analogue is -----to sensitive mutant cells in the population.

An example of analogue resistant mutant is -----capable of excreting threonine upto 12.6g/l.

An example of revertant mutant is-----for the enzyme threonine deaminase.

.-----mutants are important in fermentation industry as produce high yields of particular enzymes

ATCC is ----- founded in 1925

Secondary metabolites are produced during _____.

Extra chromosomal elements which carry information for synthesis of products is called _____.

_____ is the industrially used promoter.

.-----and-----are usually maintained in liquid medium

Lyophilization is the most satisfactory method of long term preservation of microorganisms

----- is perhaps the most popular form of suspended metabolism.

Hwang in ----- year recommends precooling to 7 degree Celsius.

----- stressed the importance of the elimination of air and moisture from lyophilized cultures prior

Option A

from costly substrates

pre-1800

pre-1800

pre-1800

1000

malt

resolution power

150-300

biological

Pasteur

Pasteur

carbohydrate, alcohol, carbonic acid

Schwann

20-40

pyruvic acid

fungi

Schwann

butyric acid and acetic acid

Dumas and Napoleon III

pasteurization

mineral oil overlay

Bacillus sp

ethanol and glycerol

lactic acid

riboflavin

Watsmann

should be a high yielding strain

primary and secondary

desired color

primary

crowded plate technique

Pasteur

crowded plate technique

vitamins

nutrient broth

primary

Commonwealth Mycological Institute

old

optimized

Raper and Alexander

stability

physical and chemical
cryopreservation
single
autotropic mutants and mutants resistant to analogues
lysine and threonine
50
British Pharmacopoeia Medicinal Paraffin oil.
aspartate kinase
 α -amino, β -hydroxyvaleric acid
ecofriendly
Brevibacterium flavum
Hydrophiles
auxotrophic
American type culture collection
Lag phase
Protoplast
CaMV
Bacteriophages and *Actinomycetes*
long term
overlaying cultures with mineral oil
1966
Meryman

Option B

from cheaper and disposable substrates

1800-1900

1800-1900

1800-1900

2000

molasses

focusing

160-270

physical

Robert Koch

Schwann

fatty acids, alcohol, carbonic acid

Bertholet

30-50

lactic acid

bacteria

Pasteur

acetone and butanol

Dumas and Flemming

ultrafiltration

lyophilisation

Staphylococcus sp

lactic acid and amylases

acetic acid

vitamin B12

Walksman

unstable biochemical characteristics

secondary and tertiary

desired shape

secondary

auxanography

Koch

auxanography

growth factors

cellulose powder

secondary

American type culture collection

novel

priotized

Thomas and Alexander

contamination

chemical and political
desiccant
double
phototropic mutants and mutants resistant to analogues
lysine and methionine
60
American Pharmacopoeia Medicinal Paraffin oil.
homoserine phosphatase
 β -amino, β -hydroxyvaleric acid
toxic
Brevibacterium lactum
Hydromonas
mutants resistant to analogue
African type culture collection
Log phase
Chloroplast
TMV
Bacteriophages and *clostridium*
short term
lyophilization
1969
Dewald

Option C

from unavailable substrates

post-1900

post-1900

post-1900

3000

grapes

light facility

140-250

chemical

Liebig

Robert Koch

sugar, alcohol, carbonic acid

Pasteur

40-60

citric acid

virus

Koch

lactic acid and acetic acid

Napoleon III and Schwann

low temperature, high holding time

cryopreservation

Streptococcus sp

acetone and n-butanol

citric acid

vitamin C

Websmann

produce undesirable substances

primary and quaternary

desired quality

tertiary

enrichment culture technique

Ehrlich

enrichment culture technique

organic acids

peptone

tertiary

African type culture collection

gold

compared

Koch and Alexander

oxidation

physical and botanical

preservants

triple

auxenic mutants and mutants resistant to analogues

threonine and methionine

70

Australian Pharmacopoeia Medicinal Paraffin oil.

serine kinase

α -amino, β -hydroxyvaleric acid

nutrient

Brevibacterium aseptum

Hydrogenomonas

constitutive

Auxenic type culture collection

Trophophase

Plasmid

BMV

Viruses and *Acetobacter*

both a and b

nitrogen storage

1974

leogetring

Option D

from foreign countries

post-2000

post-2000

post-2000

4000

sugarcane

specimen holding

150-200

electrical

Anton van Leewenhoek

Berzelius

starch, alcohol, carbonic acid

Koch

20-30

stearic acid

protozoa

Bertholet

acetic acid and citric acid

Duman and Leewenhoek

high temperature, low holding time

periodic transfer

Streptomyces sp

acetone and lactic acid

glutamic acid

vitamin D

Weizmann

not easily cultivate

secondary and quaternary

desired property

quaternary

use of indicator dye

Beijerinck

use of indicator dye

amines

minimal media

quaternary

Indian Mycological Institute

critical

deselected

Koch and Thomas

reduction

chemical and zoological

mineral oil overlay

all

auxotrophic mutants and mutants sensitive to analogues

threonine and pectin

80

African Pharmacopoeia Medicinal Paraffin oil.

tryptophan synthase

) ϵ -amino, β -hydroxyvaleric acid

non-toxic

Brevibacterium glutans

Hydromonothrobis

mutants sensitive to analogues.

Australian type culture collection

Idiophase

Spheroplast

HMV

Bacteriophages and *Streptomyces*

none of the above

none of the above

1980

Louis Pasteur.

Answer Key

from cheaper and disposable substrates

pre-1800

1800-1900

post-1900

4000

grapes

focusing

160-270

chemical

Liebig

Schwann

sugar, alcohol, carbonic acid

Pasteur

30-50

lactic acid

bacteria

Pasteur

butyric acid and acetic acid

Dumas and Napoleon III

pasteurization

lyophilisation

Streptomyces sp

acetone and n-butanol

citric acid

riboflavin

Weizmann

should be a high yielding strain

primary and secondary

desired property

primary

crowded plate technique

Beijerinck

auxanography

organic acids

cellulose powder

secondary

Commonwealth Mycological Institute

novel

optimized

Raper and Alexander

contamination

physical and chemical
desiccant
all
autotropic mutants and mutants resistant to analogues
lysine and threonine
60
British Pharmacopoeia Medicinal Paraffin oil.
aspartate kinase
 α -amino, β -hydroxyvaleric acid
toxic
Brevibacterium flavum
Hydrogenomonas
constitutive
American type culture collection
Trophophase
Plasmid
CaMV
Bacteriophages and *clostridium*
long term
lyophilization
1966
Dewald

Reg. No. _____

[17MBP205C]

KARPAGAM ACADEMY OF HIGHER EDUCATION

(Deemed to be University Established Under Section 3 of UGC Act, 1956)

Eachanari Post, Coimbatore, Tamil Nadu, India – 641 021.

DEPARTMENT OF MICROBIOLOGY

M. Sc., DEGREE FIRST CIA EXAMINATION (January – 2018)

BIOPROCESS ENGINEERING

TIME: 2Hours

Total: 50 Marks

PART –A (Answer all the questions) 1 x 20 = 20 marks

1. _____ tanks are used in production of all and lactic acid fermentation.
(a) **Stainless steel** (b) Glass
(c) Copper (d) Wooden
2. Soya bean meal is used as production medium for _____.
(a) Penicillium (b) **Streptomycin**
(c) Griseofulvin (d) Vitamin B complex
3. _____ type of bubbles facilitate high oxygen transfer than _____ bubbles.
(a) Larger, smaller (b) **Smaller, larger**
(c) Medium, large (d) Small, medium
4. The ideal aspect ratio for a fermentor is _____.
(a) **3:1** (b) 4:1
(c) 5:1 (d) 6:1
5. In Penicillin fermentation the precursor added is _____.
(a) **Phenyl acetic acid** (b) Benzylalcohol
(c) Benzene (d) Pyridine
6. The specific gravity of oil used in presence of cultures is _____.
(a) 0.821-0.860 (b) **0.865-0.890**
(c) 0.752-0.812 (d) 0.718-0.835
7. Example of In-line sensors are _____.
(a) Ion-specific sensors (b) Mass spectrophotometer
(c) **Antifoam probe** (d) Medium addition probe
8. In _____ fermentors aspect ratio is 6:1 and used for citric acid production.
(a) **Tower** (b) Airlift
(c) Cyclone (d) Bubble column
9. The _____ are used to prevent shearing of microbes inside the fermentor
(a) Baffle (b) Impeller
(c) **Sparger** (d) Agitator
10. _____ bioreactors are used in waste water engineering.
(a) Packed bed (b) Airlift
(c) **Stirred tank** (d) Cyclone
11. Dissolved oxygen in fermentation process medium measured by using _____.
(a) **Galvanic electrode** (b) pH electrode
(c) Thermometers (d) Thermistors
12. Oxygen diffuses from tubing into medium is measured by _____.
(a) Galvanic electrode (b) **Paramagnetic gas analyzer**
(c) Platinum electrode (d) Thermistors

13. The clean, yellow, fine powder prepared from embryo of cotton seed is called as _____.
 (a) Corn-steep liquor (b) Soyabean meal
 (c) **Pharmamedia** (d) Distiller's soluble
14. The interface converts the _____ signal to _____.
 (a) **Analogue to digital** (b) Digital to analogue
 (c) Voltage to pulse (d) Pulse to voltage
15. _____ is the least expensive and reliable method for fermentor design and operation
 (a) Chemicals (b) Passing of fire flames
 (c) **Moist heat** (d) Dryheat
16. Ancillary equipment in fermentors means the _____.
 (a) Seed tank (b) Fermentation medium
 (c) **Extra connection** (d) Antifoamers
17. In continuous sterilization, the fermentation medium is passed through _____ aiding in sterilization.
 (a) Heat exchange (b) **Holding coil**
 (c) Cooler (d) Heat exchange, holding coil and cooler
18. The solubility of oxygen in pure water is _____ mg/L at 4°C.
 (a) 4 (b) 6
 (c) **8** (d) 10
19. Mass transfer occurs in mixtures containing _____.
 (a) Equal concentration (b) Concentration gradient
 (c) **Isothermal concentration** (d) Anisoisomers
20. The critical oxygen concentration of *E.coli* cells are _____.
 (a) 0.018 (b) **0.008**
 (c) 0.004 (d) 0.022

PART B (Answer all the questions)

3 x 2 = 6 marks

21. What is a bioreactor?
 Instrument – fermentation- metabolite production-small and/or large scale.
22. What are impellers?
 Stainless steel – fans – used for mixing the contents of the fermentation medium.
23. Define fermentation.
 Metabolic process – acid + gas production – sugar utilization.

PART C (Answer all the questions)

3 x 8 = 24 marks

24. a. With clear figure explain the design of a basic fermentor and bioreactor configuration.
 Definition of fermentor and bioreactor – criteria for constructing a fermentor – bioreactor configuration – stainless steel AWS – agitator – impeller – baffle – sparger – heating coil – cooling coil – media inlet – media out let – sample point – thermostat - probes – man hole – gas out let – dead space.
- (Or)
- b. Explain the computer control of fermentation process.
 Automated and manual control – thermostat – chemostat – turbidostat – critical control point – time based automated program control – ultra accurate monitor - digital interface – analog to digital conversion of data – automated cleaning and sterilization system .

25. a. Discuss about the oxygen transfer from medium to cells during fermentation process.

Metabolism of substrate by microbes – energy production – role of oxygen in growth – phases of growth - different source of oxygen – size : particle ratio and microbial growth – microbial cell shearing effect – oxygen transfer and bubble phenomenon – sparger effect on oxygen supply – biomass production based on oxygen input.

(Or)

b. Explain the mass transfer taking place in fermentation process.

Growth of microbes – media formulation and biomass gain – different substrate utilization - acid and base utilization – growth phases and increase in cell biomass – steps to increase mass transfer rate - substrate : cell biomass volume – relation between different types of fermentor design and mass transfer.

26.a. Notes on the types of carbon sources from agricultural wastes employed in fermentation medium.

Role of carbon in microbial growth – cheap and cost effective carbon sources – carbon sequestration in microbial cell – optimization of microbial growth based on carbon supplement – sugarcane molasses – corn steep liquor - bagasses.

(Or)

b. Describe the methods of preservation of microorganisms with its advantages and disadvantages.

Auxenic culture maintenance – mineral oil preservation – preservation using cryo preservation – deep freeze (-80°C) preservation – Liquid Nitrogen usage for preservation – criteria for long term preservation.

Reg. No. : -----
[16MBP205C]

KARPAGAM ACADEMY OF HIGHER EDUCATION
(Deemed to be University Established Under Section 3 of UGC Act 1956)
COIMBATORE – 641 021.

M.Sc. DEGREE EXAMINATION, APRIL 2017

MICROBIOLOGY
BIOPROCESS ENGINEERING

Time: 3 hours

Maximum: 60 marks
(20x 1=20 marks)

PART-A

(Multiple Choice Question No. 1 to 20 Online Exam)

1. In fermentation, yeast converts _____ to _____ and _____
(a) Carbohydrate, alcohol, carbonic acid (b) Fatty acids, alcohol, carbonic acid
(c) Sugar, alcohol, carbonic acid (d) Starch, alcohol, carbonic acid
2. In oil overlaying method paraffin oil is used in specific gravity of _____.
(a) 0.743 -0.780 (b) 0.801-0.825
(c) 0.901-0.925 (d) 0.865-0.890
3. The process of sterilization of wine introduced by Pasteur is called _____.
(a) Pasteurization (b) Ultrafiltration
(c) LTHT (d) HTLT
4. _____ technique is largely employed to identify the strain producing extracellular growth factor
(a) Crowded plate technique (b) Auxanography
(c) Indicator dye (d) Enrichment technique
5. In lysine biosynthesis, the end products lysine and threonine inhibit _____ enzyme.
(a) Aspartate kinase (b) Homoserine phosphatase
(c) Serine kinase (d) Tryptophan synthase
6. The primary screening technique which is employed for a detect and isolating antibiotic producing strain is _____.
(a) Crowded plate technique (b) Auxanography
(c) Enrichment culture technique (d) Use of indicator dye
7. Buffering agents used in media formulation is _____.
(a) Mono and dihydropotassium phosphates (b) Tri-iodosodium phosphates
(c) Tetraiodosodium phosphates (d) Monophosphates
8. Which is the common raw material source used in fermentation process?
(a) Food waste (b) Agricultural waste
(c) Industrial toxic waste (d) Biofuel waste
9. _____ culture where medium is fed to the culture resulting in an increases in volume
(a) Batch (b) Fed batch
(c) Continuous (d) Semi continuous
10. In _____ the solvent molecule are passed through a semi permeable membrane in opposite direction.
(a) ultra filtration (b) reverse osmosis
(c) liquid membranes (d) pumping
11. Steady state condition can be achieved by operation on _____ principles.
(a) Chemostatic (b) Turbidostatic
(c) Chemo-Turbidostat (d) Photostat
12. _____ of any product is often the last stage of a manufacturing process.
(a) Filtration (b) Centrifugation
(c) Drying (d) Packing

13. _____ require biotin for growth in production medium.
 (a) Bacteria (b) Yeasts
 (c) Viruses (d) Phages
14. Griseofulvin is produced by _____.
 (a) *Penicillium patulum* (b) *Aspergillus*
 (c) *Pencilium chrysogenum* (d) *Mucor*
15. The production of amino acids through fermentation process was initiated by _____.
 (a) Kinoshita *et al* (b) Wells *et al*
 (c) Adamson *et al* (d) Dubey *et al*
16. Sulfite waste liquor contains _____ sugars.
 (a) 1% (b) 2%
 (c) 3% (d) 4%
17. The solubility of oxygen in pure water is _____ mg/l at 4 °C.
 (a) 2 (b) 8
 (c) 4 (d) 1
18. Critical dissolved oxygen concentrations for *E. coli* is _____ mM/dm³
 (a) 0.004 (b) 0.008
 (c) 0.022 (d) 0.018
19. In _____ bioreactor are so designed that adequate supply of oxygen is obtained without agitation.
 (a) Air lift (b) CSTR
 (c) Packed bed (d) Fluidized bed
20. The transfer of oxygen from an air bubble into solution is the _____ step in the oxygen transfer process.
 (a) First (b) Second
 (c) Third (d) Fourth

PART-B

(5x 6=30 marks)

(Answer all questions)

21. a. Elaborate on the basic design of a fermentor.
 (Or)
 b. With clear figure explain the principle and application of air lift reactors.
22. a. Explain the oxygen transfer mechanism from the medium to the cell.
 (Or)
 b. Discuss on importance of sterilization of fermentors, medium.
23. a. What is media formulation and ideal characteristics of fermentation medium?
 (Or)
 b. Write about the growth kinetics of batch fermentation.
24. a. What are the methods involved in cell disintegration?
 (Or)
 b. Brief on methods of filters used and flocculation techniques.
25. a. Explain in detail about the primary screening methods.
 (Or)
 b. Write short notes on mutation methods used in strain improvement.

PART-C

(1x 10=10 marks)

(Compulsory question)

26. Discuss on the preservation methods used for preserving microbial cultures with its advantages and disadvantages.

***** (All the Best) *****

Set II

Reg. No. : -----
[5MBP205C]

KARPAGAM ACADEMY OF HIGHER EDUCATION
(Deemed to be University Established Under Section 3 of UGC Act 1956)
COIMBATORE – 641 021.
M.Sc. DEGREE EXAMINATION, APRIL 2015
MICROBIOLOGY
BIOPROCESS ENGINEERING

Time: 3 hours

Maximum: 60 marks
(20x 1=20 marks)

PART-A

(Multiple Choice Question No. 1 to 20 Online Exam)

1. Fermentation was first described as chemical process by _____.
(a) Pasteur (b) Robert Koch
(c) Liebig (d) Anton van Leuwenhoek
2. In 1873, _____ described that yeasts are involved in fermentation process for alcohol production.
(a) Pasteur (b) Schwann
(c) Robert Koch (d) Berzelius
3. Enrichment culture technology was designed by soil microbiologist _____.
(a) Pasteur (b) Koch
(c) Ehrlich (d) Beijerinck
4. The suitable protective medium used at the _____ is 10% inositol in dissolved water.
(a) Commonwealth Mycological Institute (b) American type culture collection
(c) African type culture collection (d) Indian Mycological Institute
5. The mutant strain of *Corynebacterium glutamicus* produces upto _____ g/L of lysine.
(a) 50 (b) 60
(c) 70 (d) 80
6. ATCC is the abbreviation of _____ founded in 1925.
(a) American type culture collection (b) African type culture collection
(c) Auxenic type culture collection (d) Australian type culture collection
7. Membrane permeability is altered by changing the concentration of _____.
(a) Fat (b) Carbohydrate
(c) Phospholipids (d) Protein
8. Protoplast fusion is mainly used to improve _____ industrial microbes
(a) Algae (b) Fungi
(c) Only yeast cells (d) Bacteria
9. _____ is a culture system which contain a initial limited amount of nutrient
(a) Fed batch (b) Batch
(c) Semi continuous (d) Continuous
10. In Pencillin fementation the precursor added is _____.
(a) phenyl acetic acid (b) benzyl alcohol
(c) Benzene (d) pyridine
11. Cellulose are carbohydrates made of repeating units of _____.
(a) α -glucose (b) β -glucose
(c) α -galactose (d) β -galactose
12. _____ culture where medium is fed to the culture resulting in an increases in volume.
(a) Fed batch (b) Batch
(c) Semi continuous (d) Continuous

13. In _____ bioreactor adequate supply of oxygen is obtained without agitation.
 (a) Bubble columns (b) CSTR
 (c) Packed bed (d) Fluidized bed
14. _____ industrial fermentation process is normally satisfied by aerating and agitating the fermentation broth.
 (a) Mass transfer (b) Oxygen demand
 (c) Carbon availability (d) Nitrogen availability
15. Critical dissolved oxygen concentrations for *Saccharomyces* sp is _____ mMoles/dm³
 (a) 0.004 (b) 0.008
 (c) 0.022 (d) 0.018
16. Mass transfer occurs in mixtures containing _____.
 (a) Local concentration variation (b) Same concentration
 (c) Different solute (d) Different solvent
17. An example of anionic surfactants is _____.
 (a) Antiseptics (b) Shampoo
 (c) Disinfectants (d) Emulsifiers
18. The ideal aspect ratio for a fermentor is _____.
 (a) 3:1 (b) 4:1
 (c) 5:1 (d) 6:1
19. Riboflavin fermentation employs media containing _____.
 (a) Protein and lipid (b) Organic acid and inorganic acid
 (c) Sugar and lipid (d) Protein
20. In _____ process the medium to be sterilized to maintained at particular temperature and time.
 (a) Heat exchange (b) Holding coil
 (c) Heat exchange, holding coil and cooler (d) Cooler

PART-B

(5x 6=30 marks)

(Answer all questions)

21. a. How computers are used for control of fermentation process?
 (Or)
 b. With clear figure explain the principle and application of packed bed reactors?
22. a. What is meant by scaling up of industrial process?
 (Or)
 b. Explain the mass transfer mechanism.
23. a. Discuss on the types of carbon sources in fermentation medium.
 (Or)
 b. Write about the growth kinetics of batch fermentation.
24. a. Discuss on any one of the purification techniques used in down streaming process.
 (Or)
 b. Write a note on lyophilization with diagram.
25. a. Explain the secondary screening for strain isolation.
 (Or)
 b. Write notes on protoplast fusion technique used in strain improvement.

PART-C

(1x 10=10 marks)

(Compulsory question)

26. Describe on the sterilization methods employed for fermentation medium, fermentor and air with clear figures.

***** (All the Best) *****