Instruction Hours / week: L: 4 T: 0 P: 0 Marks: Internal: 40 Extended

External: 60 Total: 100 End Semester Exam: 3 Hours

Semester – I

Course Objectives

This course enables the students to learn

- The concept of algebraic structures, lattices and its special categories which plays an important role in the field of computers.
- The fundamental concepts in graph theory, with a sense of some its modern applications.

Course Outcomes (COs)

On successful completion of this course, students will be able to

- 1. Develop new algebraic structures.
- 2. Think critically and analytically by modeling problems form social and natural sciences with the help of theory of graphs.
- 3. Work effectively in groups on a project that requires an understanding of graph theory.

UNIT I

ALGEBRAIC STRUCTURES

Introduction- Algebraic Systems: Examples and General Properties: Definition and examples -Some Simple Algebraic Systems and General properties - Homomorphism and isomorphism congruence relation - Semigroups and Monoids: Definitions and Examples - Homomorphism of Semigroups and Monoids.

UNIT II

LATTICES

Lattices as Partially Ordered Sets: Definition and Examples - Principle of duality - Some Properties of Lattices - Lattices as Algebraic Systems – Sublattices - Direct product, and Homomorphism.

UNIT III

BOOLEAN AND SOME SPECIAL LATTICES

Complete, Complemented and Distributive Lattices - Boolean Algebra: Definition and Examples - Subalgebra - Direct product and Homomorphism - Join irreducible - Atoms and anti atoms.

UNIT IV

GRAPH THEORY

Definition of a graph - applications, Incidence and degree - Isolated and pendant vertices - Null graph, Path and Circuits: Isomorphism - Subgraphs, Walks -Paths and circuits - Connected graphs, disconnected graphs – components - Euler graph.

UNIT V TREES

Trees and its properties - minimally connected graph - Pendant vertices in a tree - distance and centers in a tree - rooted and binary tree. Levels in binary tree - height of a tree - Spanning trees - rank and nullity.

SUGGESTED READINGS

- 1. Tremblay J. P. and Manohar, R., (2017). Discrete Mathematical Structures with Applications to Computer Science, McGraw-Hill Book Co.
- 2. Deo N., (2007). Graph Theory with Applications to Engineering and Computer Sciences, Prentice Hall of India.
- 3. Liu C.L., (2012). Elements of Discrete Mathematics, Fourth edition McGraw-Hill Publishing Company Ltd, New Delhi.
- 4. Wiitala S., (2003),Discrete Mathematics- A Unified Approach, McGraw-Hill Book Co, New Delhi.
- 5. Seymour Lepschutz, (2007),Discrete Mathematics, Schaum Series, McGraw-Hill Publishing Company Ltd, New Delhi.



KARPAGAM ACADEMY OF HIGHER EDUCATION

(Deemed to be University Established Under Section 3 of UGC Act 1956) Pollachi Main Road, Eachanari (Post) Coimbatore -641 021

SUBJECT: ADVANCED DISCRETE MATHEMATICS	SEMESTER: I	LTPC	
SUBJECT CODE: 19MMP105A		4004	

	Lecture		
S.No	Duratio	Topics to be covered	Support Materials
	n (Hr)	-	
		UNIT-I	
1	1	Algebraic structures :Introduction and	S1: Chap: 3: Pg. No :270-271
		basic concepts ;Definition,	
		General properties and Examples.	
2	1	Continuation of Algebraic structures	
		General properties and Examples	S1:Chap :3:pg.No:272-274
3	1	Some Simple Algebraic Systems and	S1: Chap: 3: Pg. No:274-276
		General properties:	
		Homomorphism and isomorphism	
4	1	Continuation of Homomorphism and	S1:Chap:3:pg.No:277-279
		isomorphism	
5	1	Congruence Relation	S1: Chap: 3: Pg. No: 279-282
6	1	Continuation of Congruence Relation	S1: Chap: 3: Pg. No: 279-282
7	1	Semigroups and Monoids :	S1: Chap: 3: Pg. No : 282-286
		Definitions and Problems.	
8	1	Continuation of Problems on	S1: Chap: 3: Pg. No: 284-286
		Semigroups and Monoids	
9	1	Homomorphism of Semigroups and	S1: Chap: 3: Pg. No:287-292
		Monoids – Problems.	
10	1	Continuation of Problems on	S1: Chap: 3: Pg. No:290-292
		Homomorphism of Semigroups and	
		Monoids	
11	1	Recapitulation and discussion of	
		possible questions on unit I	
Total		11 HOURS	
		UNIT-II	l

1	1	Introduction of Lattices	S1: Chap: 4: Pg. No: 378-
		Lattices as Partial Ordered Sets:	386
		Definition and Examples	
2	1	Principle of duality	S5: Chap: 15: Pg. No: 478-
2	1	Continuetion of Driveinto of the liter	4/9 S5. Chara 15. Da Nac 490
5	1	Continuation of Principle of duality	484 484
4	1	Properties of Lattices	S1: Chap: 4: Pg. No: 382-385
5	1	Continuation of Properties of Lattices	S4: Chap: 6: Pg. No:413-415
6	1	Lattices as Algebraic Systems	S1: Chap: 4: Pg. No: 385-386
7	1	Continuation of Lattices as Algebraic Systems	S4: Chap: 6: Pg. No:416-419
8	1	Sublattices , Direct product, and Homomorphism- Problems	S1: Chap: 4: Pg. No: 387-391
9	1	Recapitulation and discussion of	
		possible questions on unit-II	
Total		9 HOURS	
		UNIT-III	
1	1	Introduction of Some special Lattices	S1: Chap: 4: Pg. No: 392-394
2	1	Complete, Complemented and	S1: Chap: 4: Pg. No:395-399
		Distributive Lattices - Problems	
3	1	Continuation of Complete,	S5: Chap: 14: Pg. No: 454-
		Complemented and Distributive	458
		Lattices - Problems	
4	1	Boolean Algebra: Definition and	S1: Chap: 4: Pg. No: 398-400
		Problems	
5	1	Sub algebra, Direct product and	S1: Chap: 4: Pg. No: 401-406
		Homomorphism	
6	1	Join irreducible, atoms and antiatoms - Problems	S1: Chap: 4: Pg. No: 407-410
7	1	Continuation of Join irreducible,	S5: Chap: 14: Pg. No: 411-
		atoms and	415
		antiatoms - Problems	
8	1	Recapitulation and discussion of	
		possible questions on unit III	
Total		8 HOURS	
		UNIT-IV	

Prepared by : J.Jansi ,Department of Mathematics /KAHE

KAHE/ LESSON PLAN/2019 BATCH

1	1	Introduction and basic definition of a graph and applications of graph theory	S2: Chap: 1: Pg. No: 1-3 S2:Chap:1:pg.No:3-6
2	1	Incidence and degree	S2: Chap: 1: Pg. No: 7-10 S3: Chap: 4: Pg. No: 190-193
3	1	Isolated and pendant vertices, Null graph,	S2: Chap: 1: Pg. No: 11-13
4	1	Path and Circuits: Isomorphism- sub graphs	S2: Chap: 2: Pg. No: 14-16 S1: Chap: 4: Pg. No: 196-198
5	1	Walks, Paths and circuits - Problems	S2: Chap: 2: Pg. No: 17-21
6	1	Connected graphs, disconnected graphs, components - Problems	S2: Chap: 2: Pg. No: 21-23
7	1	Continuation of Connected graphs , disconnected graphs, components - Problems	S2: Chap: 2: Pg. No: 24-26
8	1	Euler graph – Introduction and examples	S2: Chap: 2: Pg. No: 28-37
9	1	Recapitulation and discussion of possible questions on unit IV	
Total		9 HOURS	
I Utal		> noeks	
IUai		UNIT-V	
1	1	UNIT-V Introduction of Trees and its properties	S2: Chap: 3: Pg. No: 39-41S3: Chap: 5: Pg. No: 255-257
1 2	1	UNIT-V Introduction of Trees and its properties Minimally connected graph	S2: Chap: 3: Pg. No: 39-41S3: Chap: 5: Pg. No: 255-257 S2: Chap: 3: Pg. No:41-43,48
1 1 2 3	1 1 1	UNIT-VIntroduction of Trees and its propertiesMinimally connected graphPendant vertices in a tree – theorems introduction and examples	S2: Chap: 3: Pg. No: 39-41S3: Chap: 5: Pg. No: 255-257 S2: Chap: 3: Pg. No:41-43,48 S2: Chap: 3: Pg. No: 43-44 S4: chap : 7:pg: 156-158
1 2 3 4	1 1 1 1	UNIT-VIntroduction of Trees and its propertiesMinimally connected graphPendant vertices in a tree – theorems introduction and examplesDistance and centers in a tree	S2: Chap: 3: Pg. No: 39-41S3: Chap: 5: Pg. No: 255-257 S2: Chap: 3: Pg. No:41-43,48 S2: Chap: 3: Pg. No: 43-44 S4: chap : 7:pg: 156-158 S2: Chap: 3: Pg. No: 45-47 S4: chap : 7:pg: 162-165
1 2 3 4 5	1 1 1 1 1	UNIT-V Introduction of Trees and its properties Minimally connected graph Pendant vertices in a tree – theorems introduction and examples Distance and centers in a tree Rooted and binary tree and Levels in binary tree, height of a tree-Problem.	S2: Chap: 3: Pg. No: 39-41S3: Chap: 5: Pg. No: 255-257 S2: Chap: 3: Pg. No:41-43,48 S2: Chap: 3: Pg. No: 43-44 S4: chap : 7:pg: 156-158 S2: Chap: 3: Pg. No: 45-47 S4: chap : 7:pg: 162-165 S2: Chap: 3: Pg. No: 48- 49,S2:Chap:3:pg.No:50-54
1 1 2 3 4 5 6	1 1 1 1 1 1	UNIT-VIntroduction of Trees and its propertiesMinimally connected graphPendant vertices in a tree – theorems introduction and examplesDistance and centers in a treeRooted and binary tree and Levels in binary tree, height of a tree- Problem.continuation of Rooted and binary tree and Levels in binary tree, height of a tree- Problem.	S2: Chap: 3: Pg. No: 39-41S3: Chap: 5: Pg. No: 255-257 S2: Chap: 3: Pg. No:41-43,48 S2: Chap: 3: Pg. No: 43-44 S4: chap : 7:pg: 156-158 S2: Chap: 3: Pg. No: 45-47 S4: chap : 7:pg: 162-165 S2: Chap: 3: Pg. No: 48- 49,S2:Chap: 3: Pg. No: 50-54 S3: Chap: 5: Pg. No: 262-264
1 2 3 4 5 6 7	1 1 1 1 1 1 1 1	UNIT-VIntroduction of Trees and its propertiesMinimally connected graphPendant vertices in a tree – theorems introduction and examplesDistance and centers in a treeRooted and binary tree and Levels in binary tree, height of a tree- Problem.continuation of Rooted and binary tree and Levels in binary tree, height of a tree- Problem.Spanning trees- Problems	S2: Chap: 3: Pg. No: 39-41S3: Chap: 5: Pg. No: 255-257 S2: Chap: 3: Pg. No: 255-257 S2: Chap: 3: Pg. No: 41-43,48 S2: Chap: 3: Pg. No: 43-44 S4: chap : 7:pg: 156-158 S2: Chap: 3: Pg. No: 45-47 S4: chap : 7:pg: 162-165 S2: Chap: 3: Pg. No: 48- 49,S2:Chap: 3: Pg. No: 50-54 S3: Chap: 5: Pg. No: 262-264 S2: Chap: 3: Pg. No: 25-56 S3: Chap: 5: Pg. No: 272-276

9	1	Recapitulation and discussion of	
		possible questions on unit V	
10	1	Discussion of Previous year ESE	
		question paper	
11	1	Discussion of Previous year ESE	
		question paper	

SUGGESTED BOOKS

S1. J .P.Tremblay & R. Manohar, 1997.Discrete Mathematical Structures with Applications to Computer Science, McGraw-Hill Book Co.(for unit I,II,III)

S2. N. Deo, 2000. Graph Theory with Applications to Engineering and Computer Sciences, Prentice Hall of India. (for unit IV,V)

- S3. C. L. Liu, 2000. Elements of Discrete Mathematics, McGraw-Hill Publishing Company Ltd, New Delhi.
- S4. S.Wiitala, Discrete Mathematics- A Unified Approach, McGraw-Hill Book Co,New Delhi.
- S5. Seymour Lepschutz, Discrete Mathematics, Schaum Series, McGraw-Hill Publishing Company Ltd, New Delhi.



KARPAGAM ACADEMY OF HIGHER EDUCATION (Deemed to be University Established Under Section 3 of UGC Act 1956) Pollachi Main Road, Eachanari (Post) Coimbatore -641021 DEPARTMENT OF MATHEMATICS

SUBJECT: ADVANCED DISCRETE MATHE	MATICS	SEMESTER: I	LTPC
SUBJECT CODE: 19MMP105A	CLASS: I P	G(MATHEMATICS)	4004

UNIT I

Algebraic Structures: Introduction- Algebraic Systems: Examples and General Properties: Definition and examples - Some Simple Algebraic Systems and General properties - Homomorphism and isomorphism - congruence relation - Semigroups and Monoids: Definitions and Examples - Homomorphism of Semigroups and Monoids.

TEXT BOOKS

1. Tremblay J. P. and Manohar, R., (1997). Discrete Mathematical Structures with Applications to Computer Science, McGraw-Hill Book Co.(for unit I,II,III).

REFERENCES

2. Advance Discrete Mathematics Paperback – 2011 by G.C.Sharma (Author), Madhu Jain (Author) Publisher: Laxmi Publications; Second edition (2011)

ALGEBRAIC SYSTEMS

INTRODUCTION:

The algebraic systems contained two binary operations which were denoted by + and X in each case. The choice of these examples was dictated by our familiarity with the systems of integers and real numbers. These algebraic system are not simplest ones. In this section we give examples of algebraic systems consisting of a single unary or binary operation. It is possible to obtain such algebraic systems form those given earlier by simply considering one of the two binary operations; for example, (I,+) and (R,X) are perfectly.

Semigroups are the simplest algebraic structures which satisfy the properties of closure and associativity. They are very important in the theory of sequential machines, formal languages, and in certain applications relating to computer arithmetic such as multiplication.

A Monoid in addition to being a semigroup, also satisfies the identity property. Monoids are used in a number of applications but most particularly in the area of syntactic analysis and formal language.

For such algebraic systems, certain properties are taken as axioms of the system. Any result that is valid for an abstract systems holds for all those algebraic systems for which the axioms are true.

Definition:

A non-empty set together with a number of binary operations on it is called an algebraic system.

In what follows,

we shall define some algebraic systems :

Definition: A non-empty set S is said to be a **semigroup** if in S there is defined a binary operation * satisfying the following property :

If $a, b, c \in S$, then a * (b * c) = (a * b) * c (Associative Law)

Thus

A non-empty set S together with an associative binary operation * **defined on S is** called a Semi-group.

We denote the semi group by (S, *).

Definition. A semi group (S, *) is called **commutative** if the binary operation * is a commutative operation, i.e., if a * b = b * a for $a, b \in S$.

Examples. 1. Let **Z** be the set of all integers. Then $(\mathbf{Z}, +)$ is a commutative semigroup. In fact, if a, b, $c \in \mathbf{Z}$, then

a.a *b = a+b is an integer. Therefore, the operation + on **Z** is a binary operation.

b.a + (b+c) = (a+b) + c, because associative law holds in the set of integers.

c.a + b = b + a, because addition in **Z** is commutative.

- 2. The set **Z** of integers with the binary operation of subtraction is not a semi- group since subtraction is not associative in **Z**.
- 3. Let S be a finite set and let F(S) be the collection of all functions f : S \rightarrow S under the operation of **composition of functions.** We know that composition of functions is associative, i.e fo(goh) = (fog)oh where f,g,h \in F(S).

Hence F(s) is a semigroup.

- 4. The set P(S), where S is a set, together with the operation of union is a commutative semigroup.
- 5. The integers modulo m, denoted by Z_m , refer to the set $Z_m = \{0, 1, 2, ..., m-1\}$.
- 6. The addition in \mathbb{Z}_m is defined as a + b = r, where r is the remainder when a+b is divided by m.
- 7. The multiplication in \mathbb{Z}_m is defined by a.b = r, where r is the remainder when a+b is divided by m.

For example, consider $Z_4 = \{0, 1, 2, 3\}$

The addition table is

+	0	1	2	3
0	0	1	2	3
1	1	2	3	0
2	2	3	0	1
3	3	0	1	2

We note

$$(1+2)+3 = 3+3=2$$
 and $1+(2+3)=1+1=2$

Hence (1+2)+3=1+(2+3)

Ingeneral, (a+b)+c=a+(b+c), $a,b,c\in Z_4$

Hence Z_4 is a semigroup.

Definition. A non-empty set S is said to be a **monoid** if in S there is defined a binary operation * satisfying the following properties :

1. If a, b, c \in S, then a \cdot (b \cdot c) = (a \cdot b) \cdot c (Associative Law)

2. There exists an element $e \in S$ such that $e \cdot a = a \cdot e = a$ for all $a \in S$ (Existence of identity element)

Thus

An algebraic system (S, *) is said to be a **monoid** if

* is a binary operation on non-empty set S

* is an associative binary operation on S

There exists an identity element e in S.

It, therefore, follows that A monoid is a semi-group (S, *) that has an identity element.

Example.1. In example 3 above, identity function is an identity element for F(S).

Hence F(S) is a monoid.

Let **M** be the set of all $n \times n$ matrices and let the binary operation * of **M** be taken as addition of matrices. Then (**M**, *) is a monoid. In fact,

(i) The sum of two $n \times n$ matrices is again a matrix of order $n \times n$. Thus the operation of matrix addition is a binary operation.

(ii) If A, B, C \in M, then A + (B+C) = (A+B) + C (Associative Law)

(iii) The zero matrix acts as additive identity of this monoid because

A+0=0+ A=A for $A\in \boldsymbol{M}$.

Definition. Let A be a non-empty set. **A word** w on A is a finite sequence of its elements.

For example,

 $w = ab ab bb = ab ab^3$

is a word on $A = \{a, b\}$.

Definition. The number of elements in a word w is called **its length** and is denoted by *I*(w).

For example, length of w in the above example is

l(w) = 6

Definition. Let u and v be two words on a set A. Then the word obtained by writing down the elements of u followed by the elements of v is called the **concatenation** of the words u and v on A.

For example, if $A = \{a, b, c\}$ and

u = ab a bbb and v = a c b a b

then $w = ab \ abbb \ ac \ bab = abab^3 acbab \ is the concatenation of u and v.$

HOMOMORPHISM AND ISOMORPHISM:

A homomorphism is a map between two algebraic structures of the same type (that is of the same name), that preserves the operations of the structures. This means a map $f: \Box \rightarrow \Box$ between two sets *A*, *B* equipped with the same structure such that, if * is an operation of the structure (supposed here, for simplification, to be a binary operation), then f(x * y) = f(x) * f(y)

For example



Isomorphism, in <u>modern algebra</u>, a one-to-one correspondence (<u>mapping</u>) between two sets that preserves binary relationships between elements of the sets. For example, the set of natural numbers can be mapped onto the set of even natural numbers by multiplying each natural number by 2. The binary operation of adding two numbers is preserved—that is, adding two natural numbers and then multiplying the sum by 2 gives the same result as multiplying each natural number by 2 and then adding the products together—so the sets are isomorphic for addition.

Theorem:

The algebraic system (N,+) and $(Z_4,+)$ where N is the set of natural numbers and + is the operation of addition on N, show that there exists a homomorphism from (N,+) to $(Z_4,+)$

Proof:

Define $g: N \rightarrow Z_4$ given by g(a) = [a(mod 4)] for any $a \in N$ For $a, \Box \in N$, let g(a)=[i] and g(b)=[j];then $g(a+b) = [(i+j)(mod 4)] = [i]+_4 [j] = g(a) +_4 g(b)$

observe that g(0) = [0]; that is, the mapping g also preserves the identity element.

CONGRUENCE RELATION:

If two numbers b and chave the property that their difference b-c is integrally divisible by a number m (i.e., (b-c)/m is an integer), then b and c are said to be "congruent modulo m. The number m is called the modulus, and the statement b is congruent to c (modulo m,) is written mathematically as

 $\mathsf{b} \equiv \Box (\Box \Box \Box \Box)$

If b-c is *not* integrally divisible by m, then it is said that b is *not* congruent to c (modulo m), which is written

b≢ □(□□□□)

The explicit "(mod m)" is sometimes omitted when the modulus m is understood by context, so in such cases, care must be taken not to confuse the symbol \equiv with the equivalence sign.

$$\rightarrow m [(a-b) + (b-c)]$$

$$\rightarrow m|(a-c)$$

$$\rightarrow a \equiv c \pmod{m}, \text{ which means that a R c. Definition:}$$

An equivalence relation R on a semigroup (S, *) is called a **congruence relation** if a R a' and b R b' imply (a * b) R (a' * b').

Examples:

1.Let (\mathbf{Z} , +) be the semigroup of integers. Consider the relation R defined on \mathbf{Z} by A R b if and only if $a \equiv b \pmod{m}$.

We know that $a \equiv b \pmod{m}$ if m divides a-b. We note that

(i) For any integer a, we have $a \equiv a \pmod{m}$, i.e., a R a

(ii) If a R b, then $a \equiv b \pmod{m} \rightarrow m \mid (a-b) \rightarrow m \mid (b-a)$ and so $b \equiv a \pmod{m}$ which means b R a.

(iii) If a R b and b R c, then

 $a \equiv b \pmod{m}$ and $b \equiv c \pmod{m}$

$$\rightarrow$$
 m|(a–b) and m|(b–c)

Thus R is reflexive, symmetric and transitive and so is an **equivalence** relation. Further, if

Then $a \equiv c \pmod{m}$ and $b \equiv d \pmod{m}$, $m \mid (a-c) \text{ and } m \mid (b-d)$ $\rightarrow m \mid [(a-c) + (b-d)] \mid$ $\rightarrow m \mid [(a+b) - (c+d)]$ $\rightarrow (a+b) \equiv (c+d) \pmod{m}$ $\rightarrow (a+b) R (c+d)$

Hence R is a congruence relation.

SEMIGROUPS AND MONOID

Binary Operation and its Properties

Definition. Let A be a non-empty set. Then a mapping $f : A \times A \rightarrow A$ is called a **binary** operation. Thus, a binary operation is a rule that assigns to each ordered pair (a, b) $\in A \times A$ an element of A.

Examples. 1. Let Z be the set of integers. Then $f: \mathbb{Z} \times \mathbb{Z} \to \mathbb{Z}$ defined by f(a,b) = a * b = a+b, $a, b \in \mathbb{Z}$ is a binary operation on Z because the sum of two integers a and b is again an integer.

Thus, addition of integers is a binary operation.

- Let N be the set of positive integers. Then f: N × N → N defined by f(a,b) = a * b = a-b is not a binary operation because difference of two positive integers need not be positive integer. For example 2-5 is not a positive integer.
- 3. For the set N of positive integers, let $f: N \times N \to N$ be defined by $f(a,b) = \frac{a}{b}$. Then f is

not a binary operation. For example, if a = 2, b = 7, then $\frac{a}{b} = \frac{2}{7}$ is not a positive integer.

4. Let Z be the set of all integers. Then $f: \mathbb{Z} \times \mathbb{Z} \to \mathbb{Z}$ defined by

f(a,b) = max (a, b)

is a binary operation. For example,

$$f(2, 4) = 2 \cdot 4 = \max(2, 4) = 4 \in \mathbb{Z}$$
.

5. Let $A = \{a, b, c\}$. Define * by

$$\mathbf{x} * \mathbf{y} = \mathbf{x}, \ \mathbf{x}, \mathbf{y} \in \mathbf{A}$$
.

*	a	b	c
a	а	а	а
b	b	b	b
c	с	с	с

Then the table given below defines the operation *

Further, if we define . by

 $x.y = y, \ x, \quad y \in A,$

then the table given below defines the operation .

•	а	b	c
a	a	b	c
b	a	b	C
с	а	b	c

^	0	1	10
0	0	0	
1	0	0	

6. If $A = \{0, 1\}$. Then the binary operations \land and \lor are defined by the following tables :

and

V	0	1
0	0	1
1	1	1

Properties of Binary Operation

1. Commutative Law :- A binary operation * on a set A is said to be commutative if

a * b = b * a

for any elements a and b in A.

For example, consider the set Z of integers. Since

a+b=b+a and a.b=b.a,

for $a, b \in \mathbb{Z}$, the addition and multiplication operations on \mathbb{Z} are commutative.

But, on the other hand, subtraction in Z is not commutative since, for example,

$$2 - 3 \neq 3 - 2$$

Theorem. Let * be a binary operation on a set A. Then any product $a_1 * a_2 * ... * a_n$ requires no parenthesis, that is, all possible products are equal.

Proof. We shall prove this result by induction on n. Since * is associative, the theorem holds for n = 1, 2 and 3. Suppose $[a_1 a_2 \dots a_n]$ denote any product and

$$(a_1 a_2 \dots a_n) = (\dots (a_1 a_2)a_3 \dots)a_n$$

It is sufficient then to show that

$$[a_1a_2...a_n] = (a_1a_2...a_n)$$

Since $[a_1 a_2 ... a_n]$ denote arbitrary product, there is an m < n such that induction yields

$$\begin{bmatrix} a_1 \ a_2 \ \dots \ a_n \end{bmatrix} = \begin{bmatrix} a_1 \ a_2 \ \dots \ a_m \end{bmatrix} \begin{bmatrix} a_{m+1} \ \dots \ a_n \end{bmatrix}$$
$$= \begin{bmatrix} a_1 \ a_2 \ \dots \ a_m \end{bmatrix} \begin{pmatrix} a_{m+1} \ \dots \ a_n \end{pmatrix}$$
$$= \begin{bmatrix} a_1 \ a_2 \ \dots \ a_m \end{bmatrix} \begin{pmatrix} (a_{m+1} \ \dots \ a_{n-1})a_n \end{pmatrix}$$
$$= \begin{pmatrix} \begin{bmatrix} a_1 \ a_2 \ \dots \ a_m \end{bmatrix} \begin{pmatrix} a_{m+1} \ \dots \ a_{n-1} \end{pmatrix} a_n$$
$$= \begin{bmatrix} a_1 \ \dots \ a_{n-1} \end{bmatrix} a_n$$
$$= (a_1 \ \dots \ a_{n-1})a_n$$
$$= (a_1 \ \dots \ a_{n-1})a_n$$
$$= (a_1 \ a_2 \ \dots \ a_n),$$

which proves the result.

Definition. Let * be a binary operation on a set A. An element e in A is called an **identity** element for * if for any element a \in A,

$$\mathbf{a} \ast \mathbf{e} = \mathbf{e} \ast \mathbf{a} = \mathbf{a}.$$

Further e is called right identity if a * e = a and left identity if e * a = a for any $a \in A$.

Let e_1 the left identity and e_2 be the right identity for a binary operation *. Then

 $e_1e_2 = e_2$ since e_1 is left identity

and

 $e_1 e_2 = e_1$ since e_2 is right identity

Hence $e_1 = e_2$ and so identity element for a binary operation is unique.

Definition. Let * be a binary operation on a set A and let A has identity element e. Then inverse of an element a in A is an element b such that

$$a * b = b * a = e$$
.

We shall see later on that if * is associative, then the inverse of an element, if it exits, is unique.

Definition. A binary operation * on a set A is said to satisfy the left cancellation law if

$$a * b = a * c \Longrightarrow b = c$$

A binary operation * on a set A is said to obey right cancellation law if

$$b * a = c * a \Longrightarrow b = c$$

Let Z be the set of integers. Since

$$a+b = a+c \Longrightarrow b = c$$

and

 $b + a = c + a \Rightarrow b = c \text{ for } a, b, c \in \mathbb{Z},$

it follows that addition of integers in Z obeys both cancellation laws.

On the other hand, matrix multiplication does not obey cancellation laws. To see it, let

$$\mathbf{A} = \begin{bmatrix} 1 & 1 \\ 0 & 0 \end{bmatrix}, \ \mathbf{B} = \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix}, \ \mathbf{C} = \begin{bmatrix} 0 & -3 \\ 1 & 5 \end{bmatrix}.$$

Then

$$AB = AC = \begin{bmatrix} 1 & 2 \\ 0 & 0 \end{bmatrix}$$

but $B \neq C$.

Proposition 2. Let (M, \cdot, e) be a monoid. If an element x in M is invertible, then there is a unique inverse element, i.e., $xx' = x'x = e \land xx'' = x''x = e \Rightarrow x' = x''$.

Proof. Let x be invertible and x' and x" be its two inverses, i.e., xx' = x'x = e and xx'' = x"x = e. Then we have x' = x'e = x'(xx'') = (x'x)x'' = ex'' = x''. \Box

In order to make all operations explicit in the flavor of universal algebra, the following equivalent alternative definition is sometimes preferred.

Definition 2. A group is an algebra $(G, \cdot, (-)^{-1}, e)$ with a carrier set G and three operations: a binary operation $\cdot: G^2 \to G$, a unary operation $(-)^{-1}: G \to G$, and constant (nullary operation) $e \in G$ that satisfy the following identities¹

[Associativity]	x(yz) = (xy)z
[Unit]	ex = xe = x
[Inverse element]	$xx^{-1} = x^{-1}x = e.$

As the notation suggests, the image of an element $x \in G$ under the unary operation $(-)^{-1}$ is denoted by x^{-1} . In this notation, common elsewhere a well, (-) denotes a hole to be replaced by an argument. A group $(G, \cdot, (-)^{-1}, e)$ is commutative or abelian if also xy = yx.

Example 3. Examples of groups are $(\mathbb{Z}, +, -(-), 0)$, $(\mathbb{Q}, +, -(-), 0)$, $(\mathbb{R}, +, -(-), 0)$, $(\mathbb{Q} \setminus \{0\}, \cdot, 1/(-), 1)$, $(\mathbb{R} \setminus \{0\}, \cdot, 1/(-), 1)$. Convince yourselves that these are indeed groups! Note that the monoid $(\mathbb{N}, +, 0)$ is not a group, since there are no inverse elements with respect to addition. The additive inverse of an element x of a group, in e.g., $(\mathbb{Z}, +, -(-), 0)$, is denoted as usual by -x. The monoid $(\mathbb{Z}, \cdot, 1)$ is not a group since there are no inverse elements with respect to multiplication.

Let A be a set and let P(A) denote the set of all permutations on A, i.e.,

$$P(A) = \{f \colon A \to A \mid f \text{ is bijective}\}.$$

Then $(P(A), \circ, (-)^{-1}, id_A)$ is a group, known as the group of permutations on A. Convince yourself in this as well. Here, as usual, \circ denotes function composition, f^{-1} is the inverse function of a bijection f, and $id_A : A \to A$ is the identity function mapping every element to itself.

Let A be a set and let + denote the operation of symmetric difference of sets, i.e, for two subsets B and C of A, we have

$$B + C = (B \setminus C) \cup (C \setminus B) = (B \cap C^c) \cup (C \cap B^c).$$

Then $(\mathcal{P}(A), +, id_{\mathcal{P}(A)}, \emptyset)$ is a group.

In the sequel we will use both ways to denote a group as convenient. The following simple property shows the relationship between the unary operation (inverse elements) and the binary operation of a group.

Proposition 3. Let $G(\cdot)$ be a group. Then for any $x, y \in G$ it holds that

$$(xy)^{-1} = y^{-1}x^{-1}.$$

Proof. Let $x, y \in G$. We have, applying associativity and unit law,

$$(xy)(y^{-1}x^{-1}) = x(yy^{-1})x^{-1} = xex^{-1} = xx^{-1} = e$$

and

$$(y^{-1}x^{-1})(xy) = y^{-1}(x^{-1}x)y = y^{-1}ey = y^{-1}y = e.$$

We next show that every group is cancellative.

Theorem 1. Let $G_1(*, (-)^{-1}, e_1)$ and $G_2(\cdot, (-)^{-1}, e_2)$ be two groups and $h: G_1 \rightarrow G_2$ a (group) homomorphism. Then the following three statements hold

- (1) $\ker(h) = \{(x, y) \mid h(x) = h(y)\} \subseteq G_1 \times G_1$ is a congruence of $G_1(*, (-)^{-1}, e_1)$,
- (2) $h(G_1)$ is a subgroup of G_2 , and
- (3) $G_1/\ker(h) \cong h(G_1)$.

where $G_1/\ker(h)$ denotes the quotient group of $G_1(*, (-)^{-1}, e_1)$ under the congruence $\ker(h)$. Since the operations of a quotient group and a subgroup are canonical, we do not write them in (3).

Theorem. Let (S, *) and (T, *') be monoids with identities e and e' respectively. Let $F: S \to T$ be a homomorphism from (S *) onto (T, *'). Then f(e) = e'.

Proof. Let b be any element of T. Since f is surjective, there is an element a \in S such that f(a) = b. Since e is identity of S, we have

$$a * e = a = e * a \tag{i}$$

and so

$$b = f(a)= f(a * e), by (i)$$
$$= f(a) *' f(e), because f is homomorphism$$

= b *' f(e)

Also,

$$b = f(a) = f(e * a)$$
$$= f(e) *' f(a)$$
$$= f(e) *'b$$

Hence

$$b * f(e) = f(e) * b = b$$

and so f(e) is identity for T. Thus, f(e) = e'.

Remark. The converse of the above theorem is not true.

Theorem. If f is a homomorphism from a commutative semigroup (S, *) onto a semigroup (T, *'), then (T, *') is also commutative, that is, homomorphic image of an abelian (commutative) semigroup is abelian.

Proof. Let $t_1, t_2 \in T$. Since f is onto, there exist $s_1, s_2 \in S$ such that

 $f(s_1) = t_1$ and $f(s_2) = t_2$

Then

$$\begin{aligned} t_1 *' t_2 &= f(s_1) *' f(s_2) \\ &= f(s_1 * s_2) , \text{ since } f \text{ is homomorphism} \\ &= f(s_2 * s_1), \text{ since } S \text{ is abelian} \\ &= f(s_2) *' f(s_1), \text{ since } f \text{ is homomorphism} \\ &= t_2 *' t_1 . \end{aligned}$$

Hence (T, *') is abelian.

Remark. The converse of the above theorem is not true.

Theorem. Let $f : (S, *) \to (T, *')$ be semigroup homomorphism. If S' is a subsemigroup of (S, *), then the image of S' under f is a subsemigroup of (T, *').

Proof. Let f(S') be the image of S' under f and let t_1 , t_2 be in f(S'). Then there are s_1 and s_2 in S' such that

$$t_1 = f(s_1)$$
 and $t_2 = f(s_2)$

We claim that f(S') is closed under the binary operation *'. It is sufficient to show that $t_1 *' t_2 \in f(S')$. We have, in this direction,

$$t_1 * t_2 = f(s_1) * f(s_2)$$

= $f(s_1 * s_2)$, because f is homomorphism.

Now since S' is a semigroup and $s_1, s_2 \in S'$, we have $s_1 * s_2 \in S'$ due to closeness of the peration *). Hence $f(s_1 * s_2) \in f(S')$. It follows, therefore, that $t_1 * t_2 \in f(S')$.

Further, since the associativity hold in T, it also holds in f(S'). Hence f(S') is a subsemigroup of (T, *').

Theorem. The intersection of two subsemigroups of a semigroup (S, *) is subsemigroup of (S, *).

Proof. Let $(S_1, *)$ and $(S_2, *)$ be two subsemigroups of the semigroup (S, *). Let $a \in S_1 \cap S_2$ and $b \in S_1 \cap S_2$. Then

$$a \in S_1 \cap S_2 \Rightarrow a \in S_1 \text{ and } a \in S_2$$

 $b \in S_1 \cap S_2 \Rightarrow b \in S_1 \text{ and } b \in S_2$

Since S_1 is a subsemigroup, therefore, $a, b \in S_1$ implies $a * b \in S_1$. Similarly, since S_2 is a subsemigroup, $a, b \in S_2$ implies $a * b \in S_2$. Hence

$$a \ast b \in S_1 \cap S_2$$

Hence $S_1 \cap S_2$ is closed under the operation *. Further associativity in S_1 and S_2 implies the associativity of $S_1 \cap S_2$ since $S_1 \cap S_2 \subseteq S_1$ and $S_1 \cap S_2 \subseteq S_2$. Hence $S_1 \cap S_2$ is a subsemigroup of (S, *).

Corollary. Intersection of two submonoids of a monoid (S, *) is a semimonoid of (S, *).

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Proof follows the same line as that in the above Theorem.

Remark. Union of two subsemigroups of a semigroup (S, *) need not be subsemigroup of (S, *).

For example,

$$(S_1, *) = \{0, \pm 2, \pm 4, \pm 6, + \ldots\}$$

and

$$(S_2, *) = \{0, \pm 3, \pm 6, \pm 9, \pm, ...\}$$

are subsemigroups of the semigroup (Z, +) of integers. But

$$S_1 \cup S_2 = \{0, \pm 2, \pm 3, \pm 4, \pm 6, \pm\}$$

is not a subsemigroup of (Z, +), because

$$2 \in S_1 \cup S_2, \ 3 \in S_1 \cup S_2 \ ,$$

but $2+3 = 5 \notin S_1 \cup S_2$ showing that $S_1 \cup S_2$ is not closed under addition.

Theorem. Let R be a congruence relation on the semigroup (S, *). Then \odot : S/R × S/R \rightarrow S/R defined by

$$⊙$$
 ([a], [b]) = [a] $⊙$ [b] = [a * b], a, b ∈ S

is a binary operation on S/R and $(S/R, \odot)$ is a semigroup.

Proof. Suppose that ([a], [b]) = [a'], [b']). Then a R a' and b R b'. Since R is congruence relation, this implies a * b R a' * b'. Thus [a * b] = [a' * b'], that is, \odot is a well defined function. Hence \odot is a binary operation S/R.

Further we note that

$$[a] \odot ([b] \odot [c]) = [a] \odot [b * c] (by definition of \odot)$$
$$= [a * (b * c)] (by definition of \odot)$$
$$= [(a * b) * c] (Associativity of * in S)$$
$$= [a * b] \odot [c] (by definition of \odot)$$
$$= ([a] \odot [b]) \odot [c] (by definition of \odot)$$

Hence \odot is an associative operation. This implies that $(S/R, \odot)$ is a semigroup.

The operation \odot is called **quotient binary relation** on S/R constructed from the given binary relation * on S by the congruence relation R.

The semigroup (S/R, ☉) is called Quotient Semigroup or Factor Semigroup or the Quotient of S by R.

Theorem. Let R be the congruence relation on the monoid (S, *), then $(S/R, \odot)$ is a monoid.

Proof. We have shown above that $(S/R, \odot)$ is a semigroup. Further if e is identity element in(S, *), then [e] is the identity in $(S/R, \odot)$. Thus $(S/R, \odot)$ is semigroup having identity element [e] and so is a monoid.

Theorem. Let R be a congruence relation on a semigroup (S,*) and let $(S/R, \odot)$ be the corresponding quotient semigroup. Then the mapping $\phi : S \to S/R$ (called the **natural mapping**) defined by

$$\phi(a) = [a]$$

is an onto homomorphism, known as Natural homomorphism.

Proof. According to definition of ϕ , to each [a] in S/R, there is $a \in S$ such that $\phi[a] = [a]$. Hence ϕ is subjective. Now let $a, b \in S$. Then

$$\phi(a * b) = [a * b]$$
$$= [a] \odot [b]$$
$$= \phi(a) \odot \phi(b)$$

Hence ϕ is homomorphism onto.

Theorem (Fundamental Theorem of Semi-group Homomorphism). Let $f: S \rightarrow T$ be a homomorphism of the semigroup (S, *) onto the semigroup (T, *'). Let R be the relation on S defined by

a R b if
$$f(a) = f(b)$$
 for $a, b \in S$

Then

- (i) R is a congruence relation on S
- (ii) $(S/R, \odot)$ is isomorphic to (T, *').

(If f is not onto, them (ii) shall be "S/R is isomorphic to f(S)".

Proof. First we show that R is an equivalence relation. We note that

- (i) Since f(a) = f(a), we have a R a.
- (ii) If a R b, then f(a) = f(b) or f(b) = f(a) and hence b R a.

(iii) If a R b and b R c, then

$$f(a) = f(b)$$
 and $f(b) = f(c)$

and hence

$$f(a) = f(c)$$

and so a R c.

Thus the relation R is reflexive, symmetric and transitive and so an equivalence relation.

Suppose now that

Then

f(a) = f(a') and f(b) = f(b')

Since f is homomorphism,

$$f(a * b) = f(a) *' f(b)$$

= f(a') *' f(b')
= f(a' * b')

Hence

(a * b) R(a' * b')

and so R is a congruence relation.

Define

 $\psi:S/R \to T$

by

 $\psi([a]) = f(a)$.

We claim that ψ is well defined. Suppose [a] = [b]. ψ will be well defined i f(a) = f(b). Now [a] = [b] implies a R b, that is, f(a) = f(b). Hence ψ is a function (well defined).

Further, if [a], [b] \in S/R, then

$$\psi([a] \odot [b]) = \psi([a * b]), a, b \in S$$

= f(a * b)



 $\psi ([a] \odot [b]) = \psi ([a * b]), a, b \in S$ = f(a * b)= f(a) *' f(b), because f is homomorphism

 $= \psi [a] *' \psi[b]$

So ψ is semigroup homomorphism.

Also

$$\psi ([a] = \psi ([b]) \implies f(a) = f(b)$$
$$\implies a R b$$
$$\implies [a] = [b],$$

and so ψ is one – to – one.

Thus ψ , as a map, is bijective and homomorphism. Hence ψ is an isomorphism and

 $S/R \cong T$

Remark. We have proved that the mapping $\phi : S \to S/R$ is natural homomorphism. Also, we proved that the mapping $\psi : S/R \to T$ is an isomorphism. Thus diagram of the situation becomes



Also, we note that

 $\begin{array}{ll} (\psi \circ \phi) \ (a) & = \ \psi \ (\phi \ (a)) \\ & = \psi \ (\ [a] \) \\ & = f(a) \ for \ all \ a \in \ S \ . \end{array}$

Hence

$$\psi \circ \phi = f$$

Direct product of semigroups :

Let (S, *) and (T, *') be two semigroups. Consider the cartesian product $S \times T$. Define a binary operation *' on $S \times T$ by

$$(s_1, t_1) *'' (s_2, t_2) = (s_1 * s_2, t_1 *' t_2)$$

In what follows, we prove that $(S \times T, *')$ is a semigroup.

Theorem. Let (S, *) and (T, *') be semigroups. Then $(S \times T, *'')$ is a semigroup under the binary operation *'' defined by

$$(s_1, t_1) *'' (s_2, t_2) = (s_1 * s_2, t_1 *' t_2).$$

Proof. If (s_1, t_1) , (s_2, t_2) and $(s_3, t_3) \in S \times T$, then

 $[(s_1, t_1) *''(s_2, t_2)] *''(s_3, t_3) = (s_1 * s_2, t_1 *' t_2) *''(s_3, t_3)$

$$= ((s_1 * (s_2 * s_3), t_1 *' (t_2) *' t_3))$$

= (s_1 * (s_2 * s_3), t_1 *' (t_2 *' t_3))
= (s_1, t_1) *'' (s_2 * s_3, t_2 *' t_3)
= (s_1, t_1) *'' [(s_2, t_2) *'' (s_3, t_3)]

Hence *'' is associative and so (S \times T, *'') is a semigroup.

Corollary. If (S, *) and (T, *') are monoids, then $(S \times T, *'')$ is also a monoid.

Proof. We have proved above that $(S \times T, *')$ is a semigroup. We further note that if e_S is identity of (S, *) and e_T is identity of (T, *'), then for $(s_1, t_1) \in S \times T$, we have

$$(e_S, e_T)^{*''}(s_1, t_1) = (e_S * s_1, e_T *' t_1)$$

= (s_1, t_1)

and

$$(s_1, t_1) *'' (e_S, e_T) = (s_1 * e_S, t_1 *' e_T)$$

= (s_1, t_1)

Thus

$$(s_1, t_1) *'' (e_S, e_T) = (e_S, e_T) *'' (s_1, t_1) = (s_1, t_1)$$

showing that (e_S , e_T) is identity element of (S × T, *"), that is, (S × T, *") is a semigroup with identity (e_S , e_T) and hence is a monoid.

Theorem. The inverse of every element in a semigroup with identity e is unique.

Proof. We shall use associativity of the binary operation * to prove the uniqueness of the inverse element.

So, suppose that b and c are two inverses of an element a in a monoid (S, *). Therefore, we have

$$\mathbf{a} * \mathbf{b} = \mathbf{b} * \mathbf{a} = \mathbf{e} \tag{i}$$

$$a * c = c * a = e \tag{ii}$$

We note that

$$b * (a * c) = b * e$$
, by (ii)
= b, because e is identity (iii)

and

(b * a) * c = e * c, by (i) = c, because e is identity (iv)

But associativity of binary operation * implies

 $b \ast (a \ast c) = (b \ast a) \ast c$

Hence, from (iii) and (iv) it follows that

b = c,

proving that inverse, if exist, of every element in a monoid is unique.

Theorem :

If (S,*) and (T, \circ) are commutative semigroups then their product is also commutative semigroup.

Proof:

We have already shown that if (S,*) and (T, \circ) are semigroups then their product is semigroup.

```
we now show that product SxT is commutative.
```

Let (a,b) ,(c,d) be any two elements in SxT .

Then

 $(a,b) + (c,d) = (a^*c, b \circ d)$

=(c*a , d∘ b)

Because both * and • are commutative

=(c,d) (a,b)

Thus + is a commutative operation on S*T.

Hence (SxT, +) is commutative semigroup.

Theorem:

Let $f: s \rightarrow T$ be an ontomapping from a semigroup (S, *) to an algebraic structure (T, \circ) where \circ is a binary operation on T. If f is semigroup homomorphism then (T, \circ) is a semigroup.

Proof:

In order to prove that (T, \circ) is a semigroup.

we must show that \circ is an associative operation on T.

Let x,y,z be any three elements in T.

Since f onto mapping the exists a,b,c is S such that x=f(a), y=f(b) and z=f(c)

Now $(x \circ y)z=f(a) \circ f(b) \circ f(c)$

=f(a*b) of(c)	f is homomorphism		
=f(a*b)*c)	f is homomorphism		
=f(a*(b*c))	* is associative		
=f(a) o f(b*c)	f is homomorphism		
$= f(a) \circ (f(b) \circ f(c))$	f is homomorphism		

 $=x \circ (y \circ z)$

Hence $\circ~$ is associative and So (T, $\circ~$) is a semigroup.

Theorem:

If (M,*)isacommutativemonoidthentheset of all idempotent elements of M forms a submonoid.

Proof:

LetSbethesetofallidempotentelementofM>. That is S={

 $x \square M ; x^2 = x$

Since the identity element $e \square M$ is idempotent, We have $e \square S$. We now show

that S is closed with respect to *.

Leta, bbeany two elements of S. Then a²

= a and b² = b

Now

$(a*b)^2 = (a*b)(a*b)$	
=a*(b*a)*b	* isassociative
=a*(a*b)*b	* iscommutative
=(a*a)*(b*b)	* isassociative
$=a^{2}*b^{2}$	
=a*b	$a^2=a$ and $b^2=b$

Thus a*b is idempotent element of M . Hence

 $a*b \square \square$ and so (S,*) is a submonoid.

Theorem:

Let (M,*) and (T,\circ) betwomonoids with identity eande' respectively. If f is an onto mapping from MontoT such that $f(a*b)=f(a)\circ f(b)\forall a, b \Box M$ then f(e)=e'

Proof:

Let y be any element of T.

Since f isonto, there exists an element \square M such that f(x) = y. Now,

$$Y=f(x)=f(x*e) (e is the identity of (M,*))$$
$$=f(x) \circ f(e)$$
$$=y \circ f(e)$$

Similarly

$$Y=f(x) = f(e^*x)$$
$$=f(e) \circ f(x)$$
$$=f(e) \circ y$$

Thus $f(e) \circ y = y \circ f(e) = y$

Which implies f(e) is the identity for T.

Since Identity element in a monoid is unique, we have e' = f(e).

PART - B

POSSIBLE QUESTIONS – SIX MARKS

- 1. Prove that under the semigroup homomorphism the properties associativity, idempotency and commutative are preserved.
- 2. Show that every monoid <M, *, e> is isomorphic to a submonoid of <M^M, °, Δ > where Δ is the identity mapping of M.
- 3. Given the algebraic system $\langle N, + \rangle$ and $\langle Z_4, +_4 \rangle$, where N is the set of natural numbers , show that there exists a homomorphism from $\langle N, + \rangle$ to $\langle Z_4, +_4 \rangle$.
- 4.Show that the set of all the invertible elements of a monoid form a group under the same operation as that of the monoid.
- 5. Show that the intersection of any two congruence relations on a set is also a congruence relation.
- 6. Let $\langle S, * \rangle$ be a given semigroup. There exists a homomorphism g: $S \rightarrow S^S$, where $\langle S^S, \circ is$ a semigroup of functions from S to S under the operation of (left) composition.
- 7. Show that the set of all semigroup endomorphisms of a semigroup is a semigroup under the operation of left composition.
- 8. Define homomorphism with example.
- 9. Show that the composition of two homomorphisms is also a homomorphism.
- 10. Let <S, *>, <T, △> and <V, +> be semigroups and g: S→T and h: T →V be semigroup homomorphisms. Then (h°g): S→ V is a semigroup homomorphism from <S, *> to <V, +>.
- 11. Let I be the set of integers and \cdot denote the operation of multiplication so that $\langle I, \cdot, 1 \rangle$ is a monoid. Show that $\langle \{0\}, \cdot \rangle$ is a semigroup but not a submonoid.

PART - C

POSSIBLE QUESTIONS – TEN MARKS

- 1. State and prove the function theorem of semigroup homomorphism.
- 2.Let (M, *) be a monoid .Then there exists a subset T $\subseteq \Box^{\Box}$ such that (M, *) is isomorphic to the monoid (T, o).
- 3. Prove that every finite semigroup has an idempotent element. (That is an element a such that $a^2=a$).
- 4.Let f: $S \rightarrow T$ be an onto mapping from a semigroup (S,*) to an algebraic structure (T,o), where o is a binary operation on T. If f is semigroup homomorphism then (T,o) is a semigroup.

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ALGEBRAIC STRUCTURES / 2017 BATCH



KARPAGAM ACADEMY OF HIGHER EDUCATION (Deemed to be University Established Under Section 3 of UGC Act 1956) Pollachi Main Road, Eachanari (Post) Coimbatore -641 021 DEPARTMENT OF MATHEMATICS

SUBJECT: ADVANCED DISCR	ETE MATHEMATICS	SEMESTER: I		
			LTP	С
SUBJECT CODE:18MMP105A	CLASS:I M.Sc(MATHEMA	ATICS)	4004	

UNIT II

Lattices: Lattices as Partially Ordered Sets: Definition and Examples - Principle of duality - Some Properties of Lattices - Lattices as Algebraic Systems – Sublattices - Direct product, and Homomorphism.

TEXT BOOKS

1. Tremblay J. P. and Manohar, R., (1997). Discrete Mathematical Structures with Applications to Computer Science, McGraw-Hill Book Co.(for unit I,II,III).

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1. Wiitala S., (2003), Discrete Mathematics- A Unified Approach, McGraw-Hill Book Co, New Delhi.

2. Seymour Lepschutz, (2007) ,Discrete Mathematics, Schaum Series, McGraw-Hill Publishing Company Ltd, New Delhi.
LATTICES

Definitions and Examples

Definition: A **lattice** is a partially ordered set (L, \leq) in which every subset $\{a, b\}$ consisting of **two element** has a **least upper bound** and a **greatest lower bound**.

We denote $lub(\{a, b\})$ by $a \lor b$ and call it **join** or **sum of a and b.**

Similarly,

we denote $GLB(\{a, b\})$ by $a \land b$ and call it **meet** or **product of a and b.** Other symbol used are:

 $\mathsf{LUB}: \oplus, +, \cup$ $\mathsf{GLB}: *, ., \cap$

Thus Lattice is a mathematical structure with two binary operations, join and meet. Lattice structures often appear in computing and mathematical applications.

A totally ordered set is obviously a lattice but not all partially ordered sets are lattices.

Example 1. Let A be any set and P(A) be its power set. The partially ordered set (P(A), \subseteq) is a lattice in which the meet and join are the same as the operations \cap and \cup respectively. If A has single element, say a, then P(A) = { ϕ , {a}} and LUB({ ϕ , {a}) = {a} GLB({ ϕ , {a}) = ϕ

The Hasse diagram of (P(A), \subseteq) is a chain containing two elements φ and {a} as shown below:

If A has two elements, say a and b. Then $P(A) = \{\phi, \{a\}, \{b\}, \{a, b\}\}$. The

Hasse diagram of $\{P(A), \subseteq \}$ is then as shown below :



We note that

1. LUB exists for every two subsets and is $\mathsf{L} \cup \mathsf{M}$

2. GLB exists for every two subsets and is in L \cap M for L, M \in P(A).

Hence P(A) in a lattice.

Example 2. Consider the poset (\mathbf{N} , \leq), where \leq is relation of divisibility. Then \mathbf{N} is a lattice in which join of a and $\mathbf{b} = \mathbf{a} \lor \mathbf{b} = \mathbf{L} \subset \mathbf{M}(\mathbf{a}, \mathbf{b})$ meet of a and $\mathbf{b} = \mathbf{a} \land \mathbf{b} = \mathbf{G} \subset \mathbf{D}$ (\mathbf{a} , \mathbf{b}) for \mathbf{a} , $\mathbf{b} \in \mathbf{N}$.

Example 3. Let n be a positive integer and let D_n be the set of all positive divisors of n. Then D_n is a lattice under the relation of divisibility. The Hasse diagram of the lattices D_8 , D_{20} and D_{30} are respectively.



 $D_{20} = \{1, 2, 4, 5, 10, 20\}$

and



 $D_{30} = \{1, 2, 3, 5, 6, 10, 15, 30\}.$

The TransiDefinition: The **Transitive closure** of a relation R is the smallest transitive relation containing R. It is denoted by R_{∞} .

Example: Let $A = \{1, 2, 3, 4\}$ and R = [(1, 2), (2, 3), (3, 4), (2, 1)] Find the transitive closure of R. **Solution:** The digraph of R is



We note that from vertex 1, we have paths to the vertices 2, 3, 4 and 1. Note that path from 1 to 1proceeds from 1 to 2 to 1. Thus we see that the ordered pairs (1, 1), (1, 2), (1, 3) and (1, 4) are in R_{∞} . Starting from vertex 2, we have paths to vertices 2, 1, 3 and 4 so the ordered pairs (2, 1), (2, 2), (2, 3) and (2, 4)

are in R_{∞} . The only other path is from vertex 3 to 4, so we have $R_{\infty} = \{(1, 1), (1, 2), (1, 3), (1, 4), (2, 1), (2, 2), (2, 3), (2, 4), (3, 4)\}$

Example: Let R be the set of all equivalence relations on a set A. As such R consists of subsets of A × A and so R is a partially ordered set under the partial order of set inclusion. If R and S are equivalence relations on A, the same property may be expressed in relational notations as follows: R \subseteq S if and only if x R y _ x S y for all x y \in A.

Then (R, \subseteq) is a poset. R is a lattice, where the meet of the equivalence relations R and S is their intersection R \cap S and their join is (R \cup S)_∞, the transitive closure of their union.

Definition: Let (L, \leq) be a poset and let (L, \geq) be the dual poset. If (L, \leq) is a lattice, we can show that (L, \geq) is also a lattice. In fact, for any a and b in L, the

L U B of a and b in (L, \leq) is equal to the GLB of a and b in (L, \geq) . Similarly, the GLB of a and b in (L, \leq) is equal to L U B in (L, \geq) . The operation \lor and \land are called **dual of each other**.

Example: Let S be a set and L = P(S). Then (L, \subseteq) is a lattice and its **dual lattice** is (L, \supseteq) , where \supseteq represents "contains". We note that in the poset (L, \supseteq) , the join A \lor B is the set A \cap B and the meet A \land B is the set A \cup B.

Cartesian Product of Lattices

Theorem: If (L_1, \leq) and (L_2, \leq) are lattices, then (L, \leq) is a lattice, where $L = L_1 \times L_2$ and the partial order \leq of L is the product partial order.

Proof: We denote the join and meet in L1 by \lor 1, and $\land1$ and the join and meet

in L₂ by \lor_2 and \land_2 respectively. We know that Cartesian product of two posets is a poset. Therefore L = L₁ × L₂ is a poset. Thus all we need to show is that if (a₁, b₁) and (a₂, b₂) \in L, Then (a₁, b₁) \lor (a₂, b₂)and (a₁, b₁) \land (a₂, b₂) exist in L. Further, we know that (a₁, b₁) \lor (a₂, b₂) = (a₁ \lor a₂, b₁ \lor b₂) and and (a₁, b₁) \land (a₂, b₂) = (a₁ \land a₂, b₁ \land b₂) Since L₁ is lattice, a₁ \lor 1 a₂ and a₁ \land 1 a₂ exist. Similarly, since L₂ is a lattice, b₁ \lor b₂ and b₁ \land b₂ exist. Hence (a₁, b₁) \lor (a₂, b₂) and (a₁, b₁) \land (a₂, b₂) both exist and therefore (L, \leq) is a lattice, called **the direct product of** (L₁, \leq) and (L₂, \leq).



Properties of Lattices:

Let (L, \leq) be a lattice and let a, b , c \in L. Then, from the definition of \vee (join) and \wedge (meet)

we have

(i) $a \le a \lor b$ and $b \le a \lor b$; $a \lor b$ is an upper bound of a and b.

- (ii) if $a \le c$ and $b \le c$, then $a \lor b \le c$; $a \lor b$ is the least bound of a and b.
- (iii) $a \land b \le a$ and $a \land b \le b$; $a \land b$ is a lower bound of a and b.
- (iv) if $c \leq a$ and $c \leq b,$ then $c \leq a \land b;$ $a \land b$ is the greatest lower bound of a and b

Theorem:

Let L be a lattice. Then for every a and b in L, (i) $a \lor b = b$ if and only if $a \le b$ (ii) $a \land b = a$ if and only if $a \le b$ (iii) $a \land b = a$ if and only if $a \lor b = b$ **Proof:** (i) Let $a \lor b = b$. Since $a \le a \lor b$, we have $a \le b$.

Conversely, if $a \le b$, then since $b \le b$, it follows that b is an upper bound of a and b. Therefore, by the definition of least upper bound, $a \lor b \le b$. Also $a \lor b$ being an upper bound, $b \le a \lor b$. Hence $a \lor b = b$.

(ii) Let $a \land b = a$. Since $a \land b \le b$, we have $a \le b$. Conversely, if $a \le b$ and since $a \le a$, a is a lower bound of a and b and so, by the definition of greatest lower bound, we have

a≤a∧b

Since a \wedge b is lower bound,

а

 $a \wedge b \leq a$

Hence

 $a \wedge b = a$.

(iii) From (ii)

$$\wedge b = a \Leftrightarrow a \leq b.....(iv)$$

From (i)

 $a \le b \Leftrightarrow a \lor b = b....(v)$

Hence, combining (iv) and (v),

we have

 $a \land b = a \Leftrightarrow a \lor b = b.$

Example: Let L be a linearly (total) ordered set. Therefore a, $b \in L$ imply either $a \le b$ or $b \le a$. Therefore, the above theorem implies that $a \lor b = a$ $a \land b = a$ Thus for every pair of elements a, b in L, $a \lor b$ and $a \land b$ exist. Hence **a linearly ordered set is a lattice.**

Theorem :

Let (L, \leq) be a lattice and let a, b, c \in L. Then we have

L1 : Idempotent property

(i) $a \lor a = a$ (ii) $a \land a = a$

L2: Commutative property

(i) $a \lor b = b \lor a$ (ii) $a \land b = b \land a$

L3: Associative property

(i) $a \lor (b \lor c) = (a \lor b) \lor c$ (ii) $a \land (b \land c) = (a \land b) \land c$

L4 : Absorption property

(i) $a \lor (a \land b) = a$ (ii) $a \land (a \lor b) = a$

Proof: L1 : The idempotent property follows from the definition of LUB and GLB.

L₂: Commutativity follows from the symmetry of a and b in the definition of LUB and GLB.

L₃: (i) From the definition of LUB, we have

$a \leq a \lor (b \lor c)$	(1)
$b \lor c \le a \lor (b \lor c) \dots$	(2)

Also $b \le b \lor c$ and $c \le b \lor c$ and so transitivity implies

 $b \leq a \lor (b \lor c) \dots (3)$

and

 $c \le a \lor (b \lor c)$(4)

Now, (1) and (3) imply that a \lor (b \lor c) is an upper bound of a and b and hence by the definition of least upper bound, we have

 $a \lor b \le a \lor (b \lor c) \dots (5)$

Also by (4) and (5), $a \lor (b \lor c)$ is an upper bound of c and $a \lor b$. Therefore $(a \lor b) \lor c \le a \lor (b \lor c)$(6)

Similarly

 $a \lor (b \lor c) \le (a \lor b) \lor c$(7)

Hence, by antisymmetry of the relation \leq , (6) and (7) yield

 $a \lor (b \lor c) = (a \lor b) \lor c$

The proof of (ii) is analogous to the proof of part (i).

L4 : (i) Since $a \land b \le a$ and $a \le a$, it follows that a is an upper bound of $a \land b$ and a. Therefore, by the definition of least upper bound

 $a \lor (a \land b) \le a$ (8)

On the other hand, by the definition of LUB, we have

 $a \leq a \lor (a \land b) \dots (9)$ The expression (8) and (9) yields $a \lor (a \land b) = a.$ (ii) Since $a \leq a \lor b$ and $a \leq a$, it follows that a is a lower bound of $a \lor b$ and a. Therefore, by the definition of GLB, $a \leq a \land (a \lor b) \dots (10)$ Also, by the definition of GLB, we have $a \land (a \lor b) \leq a \dots (11)$ Then (10) and (11) imply $a \land (a \lor b) = a$ and the proof is completed.

In view of L₃, we can write $a \lor (b \lor c)$ and $(a \lor b) \lor c$ as $a \lor b \lor c$. Thus, we can express LUB ({a₁, a₂,...,a_n) as a₁ \lor a₂ \lor \lor a_n GLB ({a₁, a₂,...,a_n) as a₁ \land a₂ \land \land a_n

Remark:

Using commutativity and absorption property, part (ii) of previous Theorem can be proved as follows :

Let $a \wedge b = a$.

We note that

 $b \lor (a \land b) = b \lor a$ = $a \lor b$ (Commutativity)

But

 $b \lor (a \land b) = b$ (Absorption property)

Hence

 $a \lor b = b$

and so by part (i), $a \le b$. Hence $a \land b = a$ if and only if $a \le b$.

Theorem: Let (L, \leq) be a lattice. Then for any a, b, $c \in L$, the following properties hold :

1. (Isotonicity) : If $a \le b$, then

(i) $a \lor c \le b \lor c$

(ii) $a \land c \le b \land c$

This property is called "Isotonicity".

2. $a \le c$ and $b \le c$ if and only if $a \lor b \le c$

3. c \leq a and c \leq b if and only if c \leq a \land b

4. If a \leq b and c \leq d, then (i) a \lor c \leq b \lor d (ii) $a \wedge c \leq b \wedge d$. **Proof :** 1 (i). We know that $a \lor b = b$ if and only if $a \le b$. Therefore, to show that a \lor c \leq b \lor c, we shall show that $(a \lor c) \lor (b \lor c) = b \lor c$. We note that $(a \lor c) \lor (b \lor c) = [(a \lor c) \lor b] \lor c = a \lor (c \lor b) \lor c$ $= a \lor (b \lor c) \lor c$ $= (a \lor b) \lor (b \lor c)$ = b \lor c (Θ a \lor b = b and c \lor c = c) The part 1 (ii) can be proved similarly. 2. If a \leq c, then 1(i) implies $a \lor b \le c \lor b$ But $b \leq c \Leftrightarrow b \lor c = c$ \Leftrightarrow c \lor b = c (commutativity) Hence $a \le c$ and $b \le c$ if and only if $a \lor b \le c$ 3. If $c \le a$, then 1(ii) implies $c \land b \le a \land b$ But $c \leq b \Leftrightarrow c \land b = c$ Hence $c \le a$ and $c \le b$ if and only if $c \le a \land b$.

4 (i) We note that 1(i) implies that if $a \le b$, then $a \lor c \le b \lor c = c \lor b$

if $c \leq d$, then $c \lor b \leq d \lor b = b \lor d$

Hence, by transitivity

$$a \lor c \le b \lor d$$

(ii) We note that 1(ii) implies that $\label{eq:alpha} if \ a \leq b, \ then \ a \ \land \ c \leq b \ \land \ c = c \ \land \ b$

 $\label{eq:constraint} \begin{array}{l} \mbox{if } c \leq d, \mbox{ then } c \wedge b \leq d \wedge b = b \wedge d. \\ \mbox{Therefore transitivity implies} \\ a \wedge c \leq b \wedge d. \end{array}$

Theorem:

Let (L, \leq) be a lattice. If a, b, c \in L, then (1) a \lor (b \land c) \leq (a \lor b) \land (a \lor c) (2) a \land (b \lor c) \geq (a \land b) \lor (a \land c)

These inequalities are called "Distributive Inequalities".

Proof: We have

 $a \le a \lor b$ and $a \le a \lor c$ (i)

Also, by the above theorem, if $x \le y$ and $x \le z$ in a lattice, then $x \le y \land z$. Therefore (i) yields

$$a \leq (a \lor b) \land (a \lor c)$$
.....(ii)

Also

 $b \land c \le b \le a \lor b$

and

 $b \wedge c \leq c \leq a \vee c$,

that is, $b \land c \leq a \lor b$ and $b \land c \leq a \lor c$ and so, by the above argument, we have

$$b \land c \le (a \lor b) \land (a \lor c)$$
 (iii)

Also, again by the above theorem if $x \le z$ and $y \le z$ in a lattice, then

 $\mathbf{x} \lor \mathbf{y} \le \mathbf{z}$

Hence, (ii) and (iii) yield

a c (b \land c) \leq (a \lor b) \land (a \lor c)

This proves (1).

The second distributive inequality follows by using the **principle of duality.**

Theorem: (Modular Inequality) : Let (L, \leq) be a lattice. If a, b, c \in L, then $a \leq c$ if and only if $a \lor (b \land c) \leq (a \lor b) \land c$

Proof: We know that $a \le c \Leftrightarrow a \lor c = c$(1)

Also, by distributive inequality,

 $a \lor (b \land c) \le (a \lor b) \land (a \lor c)$ Therefore using (1) $a \le c$ if and only if $a \lor (b \land c) \le (a \lor c) \land c$, which proves the result.

The modular inequalities can be expressed in the following way also:

 $(a \land b) \lor (a \land c) \le a \land [b \lor (a \land c)]$ $(a \lor b) \land (a \lor c) \ge a \lor [b \land (a \lor c)]$

Example: Let (L, \leq) be a lattice and a, b, c \in L. If a \leq b \leq c, then (i) a \vee b = b \wedge c, (ii) (a \wedge b) \vee (b \wedge c) = (a \vee b) \wedge (a \vee c)

```
Solution: (i) We know that
a \le b \Leftrightarrow a \lor b = b
and
b < c \Leftrightarrow b \land c = b
Hence a \le b \le c implies a \lor b = b \land c.
(ii) Since a \le b and b \le c, we have
a \wedge b = a and b \wedge c = b
Thus
(a \land b) \lor (b \land c) = a \lor b
                       = b.
since a \leq b \Leftrightarrow a \vee b = b.
Also, a \le b \le c \_ a \le c by transitivity. Then
a \le b and a \le c \_ a \lor b = b, a \lor c = c
and so
(a \lor b) \land (a \lor c) = b \land c
                        = b since b \le c \Leftrightarrow b \land c = b.
Hence
(a \land b) \lor (b \land c) = b = (a \lor b) \land (a \lor c),
which proves (ii).
```

1.21. Lattices as Algebraic System

Definition. A **Lattice** is an algebraic system (L, \lor , \land) with two binary operations \lor and \land , called **join** and **meet** respectively, on a non-empty set L

which satisfy the following axioms for a, b, $c \in L$:

1. Commutative Law :

 $a \lor b = b \lor a$ and $a \land b = b \land a$. 2. Associative Law :

 $(a \lor b) \lor c = a \lor (b \lor c)$ and $(a \land b) \land c = a \land (b \land c)$

3. Absorption Law :

Partial Order Relations on a Lattice

A partial order relation on a lattice (L) follows as a consequence of the axioms for the binary operations \lor and \land .

We define a relation \leq on L such that for a, b \in L ,

 $a \le b \Leftrightarrow a \lor b = b$

or analogously,

```
a \leq b \Leftrightarrow a \wedge b = a.
```

We note that

(i) For any $a \in L$ $a \lor a = a$ (idempotent law), therefore $a \le a$ showing that \le is **reflexive**.

(ii) Let $a \le b$ and $b \le a$. Therefore

$$a \lor b = b$$

 $b \lor a = a$

But

 $a \lor b = b \lor a$ (Commutative Law in lattice)

Hence

a = b,

showing that \leq is **antisymmetric**.

(iii) Suppose that $a \leq b$ and $b \leq c.$ Therefore $a \lor b$ = b and $b \lor c$ = c . Then

```
a \lor c = a \lor (b \lor c)
= (a \lor b) \lor c (Associativity in lattice)
= b \lor c
= c,
```

showing that $a \le c$ and hence \le is transitive.

This shows that a lattice is a partially ordered set

Least Upper Bounds and Latest Lower Bounds in a Lattice

Let (L, \lor, \land) be a lattice and let $a, b \in L$. We now show that LUB of $\{a, b\} \subseteq L$ with respect to the partial order introduced above is $a \lor b$ and GLB of $\{a, b\}$ is $a \land b$.

From absorption law $a \land (a \lor b) = a$ $b \land (a \lor b) = b$

Therefore $a \le a \lor b$ and $b \le a \lor b$, showing that $a \lor b$ is upper bound for {a,b}. Suppose that there exists $c \in L$ such that $a \le c$, $b \le c$. Thus we have $a \lor c = c$ and $b \lor c = c$

and then

$$(a \lor b) \lor c = a \lor (b \lor c) = a \lor c = c$$

implying that $a \lor b \le c$.

Hence $a \lor b$ is the least upper bound of a and b.

Similarly, we can show that $a \land b$ is GLB of a and b. The above discussion shows that the two definitions of lattice given so far are equivalent.

Sublattices

Definition: Let (L, \leq) be a lattice. A non-empty subset S of L is called a **sublattice** of L if $a \lor b \in S$ and $a \land b \in S$ whenever $a \in S, b \in S$. (Or)

Let (L, \lor , \land) be a lattice and let S \subseteq L be a subset of L. Then (S, \lor , \land) is

called a sublattice of (L, \lor, \land) if and only if S is closed under both operations of join(\lor) and meet(\land).

From the definition it is clear that **sublattice itself is a lattice**. However, **any subset of L which is a lattice need not be a sublattice**. For example, consider the lattice shown in the diagram:



We note that

(i) the subset S shown by the diagram below is not a sublattice of L, since $a \land b \notin S$ and $a \lor b \notin S$.



(ii) the set T shown below is not a sublattice of L since $a \lor b \notin T$.



However, T is a lattice when considered as a poset by itself.

(iii) the subset \cup of L shown below is a sublattice of L:



Example: Let A be any set and P(A) its power set. Then (P(A), \lor , \land) is a

lattice in which join and meet are union of sets and intersection of sets respectively.

A family _ of subsets of A such that S \cup T and S \cap T are in _ for S,

 $T\in _$ is a sublattice of (P(A), \lor , \land). Such a family $_$ is called a ring of

subsets of A and is denoted by $(R(A), \lor, \land)$ (This is not a ring in the sense of algebra). Some author call it lattice of subsets.

Example: The lattice (D_n, \leq) is a sublattice of (\mathbf{N}, \leq) , where \leq is the relation of divisibility.

Definition: Let $(B_1, \land_1, \lor_1, ', 0_1, 1_1)$ and $(B_1, \land_2, \lor_2, ", 0_2, 1_2)$ be two Boolean algebras. The **Direct Product** of the two Boolean algebras is defined to be a Boolean algebra, denoted by, $(B_1 \times B_2, \land_3, \lor_3, ", 0_3, 1_1)$ in which the operations are defined for any (a_1, b_1) and $(a_2, b_2) \in B_1 \times B_2$ as

$$(a_1, b_1) \wedge_3 (a_2, b_2) = (a_1 \wedge_1 a_2, b_1 \wedge_2 b_2)$$

$$(a_1, b_1) \vee_3 (a_2, b_2) = (a_1 \vee_1 a_2, b_1 \vee_2 b_2)$$

$$(a_1, b_1)''' = (a_1', b_1'')$$

$$0_3 = (0_1, 0_2) \text{ and } I_3 = (I_1, I_2)$$

Thus, from a Boolean algebra B, we can generate $B^2 = B \times B$, $B^3 = B \times B \times B$ etc.

Lattice Isomorphism

Definition: Let $(L_1, \lor 1, \land 1)$ and $(L_2, \lor 2, \land 2)$ be two lattices. A mapping f :

 $L_1 \rightarrow L_2$ is called a **lattice homomorphism** from the lattice the lattice (L₁, \lor 1,

 \wedge 1) to (L2, \vee 2, \wedge 2) if for any a, b \in L1,

 $f(a \lor 1b) = f(a) \lor 2f(b)$ and $f(a \land 1b) = f(a) \land 2f(b)$

Thus, here both the binary operations of join and meet are preserved. **There**

may be mapping which preserve only one of the two operations. Such mapping are not lattice homomorphism

Let \leq_1 and \leq_2 be partial order relations on (L1, \vee 1, \wedge 1) and

(L₂, \lor 2, \land 2) respectively. Let f : L₁ \rightarrow L₂ be lattice homomorphism. If

a, b
$$\in$$
 L1, then
a ≤ 1 b \Leftrightarrow a \vee 1 b = b
and so
f(b) = f(a \vee 1 b)
= f(a) \vee 2 f(b)
 \Leftrightarrow f(a) ≤ 2 f(b)

Thus

 $a \leq 1 b \Leftrightarrow f(a) \leq 2 f(b)$

Thus order relations are also preserved under lattice homomorphism.

If a lattice homomorphism f: $L_1 \rightarrow L_2$ is one-to-one and onto, then it is called **lattice isomorphism**.

If there exists an isomorphism between two lattices, then the lattices are called **isomorphic**.

Since lattice isomorphism preserves order relation, therefore isomorphic lattices can be represented by the same diagram in which nodes are replaced by images .

Theorem: Let A = {a1, a2,...,an} and B = {b1, b2,.....bn} be any two finite sets with n elements. Then the lattices (P(A), \subseteq) and (P(B), \subseteq) are isomorphic and so have identical Hasse-diagram. **Proof:** Consider the mapping f : P(A) \rightarrow P(B) defined by

$$f(\{a_n\} = \{b_n\}, f(\{a_1, a_2, \dots, a_m\}) = \{b_1, b_2, \dots, b_n\} \text{ for } m \le n$$
.

Then f is bijective mapping and $L \subseteq M \Leftrightarrow f(L) \subseteq f(M)$ for subsets L and M of P(A).

Hence P(A) and P(B) are isomorphic.

For example,

let A = $\{a, b, c\}$, B = $\{2, 3, 5\}$. The Hasse-diagram of

P(A) and P(B) are then given below:



Define a mapping f : P(A) \rightarrow P(B) by f(ϕ) = ϕ , f({a}) = {2}, f({b}) = {3}, f({c}) = {5} f({a, b}) = {2, 3}, f({b, c}) = {3, 5}, f({a, c}) = {2, 5}

and f({a, b, c}) = {2, 3, 5}.

This is a bijective mapping satisfying the condition that if S and T are subsets

of A, then $S \subseteq T$ if and only if $f(S) \subseteq f(T)$. Hence f is isomorphism and (P(A),

 \subseteq) and (P(B), \subseteq) are isomorphic.

Thus, for each n = 0, 1, 2, ..., there is only one type of lattice and this lattice

depends only on n, the number of elements in the set A, and not on A. It has 2n

elements. Also, we know that if A has n elements, then all subsets of A can be

represented by sequences of 0's and 1's of length n. We can therefore label the

Hasse diagram of a lattice (P(A), \subseteq) by such sequence of 0's and 1's.



The lattice so obtained is named B_n . The properties of the partial order in B_n can be described directly as follows:

Let $x = a_1 a_2 \dots a_n$ and $y = b_1 b_2 \dots b_n$ be any two elements of B_n . Then

(1) $x \le y$ if and only if $a_k < b_k$, $k = 1, 2, \dots, n$, where a_k and b_k are 0 or 1.

(2) $x \land y = c_1 c_2..., c_n$, where $c_k = \min(a_k, b_k)$.

(3) $x \vee y = d_1 d_2 \dots d_n$, where $d_k = \max(a_k, h_k)$.

(4) x has a complement $x' = z_1 z_2 \dots z_n$ where $z_k = 1$ if $x_k = 0$ and $z_k = 0$ if $x_k = 1$.

Remark: (B_n, \leq) under the partial order \leq defined above is isomorphic to (P(A), \subseteq), when A has n elements. In such a case $x \leq y$ corresponds to $S \subseteq T$, $x \lor y$ corresponds to $S \cup T$ and x' corresponds to A^c .

Example : Let $D_6 = \{1, 2, 3, 6\}$, set of divisors of 6. Then D_6 is isomorphic to B_2 . In fact $f : D_6 \rightarrow B_2$ defined by

f(1) = 00, f(2) = 10, f(3) = 01, f(6) = 11

is an isomorphism.



Bounded, Complemented and Distributive Lattices

Definition: A lattice L is said to be **bounded** if it has a greatest element I and a least element 0.

For the lattice (L, \lor, \land) with $L = \{a_1, a_2, ..., a_n\}$, $a_1 \lor a_2 \lor ... \lor a_n = I$ and $a_1 \land a_2 \land ... \land a_n = 0$. Example : The lattice Z+

of all positive integers under partial order of

divisibility **is not a bounded lattice** since it has a least element (the integer 1) but no **greatest element.**

Example: The lattice Z of integers under partial order \leq (less than or equal to) is **not bounded since it has neither a greatest element nor a least element. Example:** Let A be a non-empty set. Then the lattice (P(A), \subseteq) **is bounded.**

Its greatest element is A and the least element is empty set $\boldsymbol{\varphi}.$

If (L, \leq) is a bounded Lattice, then for all $a \in L$

 $a \lor 0 = a, a \land 0 = 0$

 $a \lor I = I, a \land I = a$

Thus 0 acts as identity of the operation \lor and I acts as identity of the operation \land .

Definition: Let $(L \lor , \land , 0, I)$ be a bounded lattice with greatest element I and the least element 0. Let $a \in L$. Then an element $b \in L$ is called a **complement** of a if

 $a \lor b = I$ and $a \land b = 0$

It follows from this definition that

0 and I are complement of each other.

Further, I is the only complement of 0. For suppose that $c \neq I$ is a complement of 0 and $c \in L$, then

 $0 \lor c = I \text{ and } 0 \land c = 0$

But $0 \lor c = c$. Therefore c = I which contradicts $c \neq I$.

Similarly, 0 is the only complement of I.

Definition: A lattice $(L, \lor, \land, 1, 0)$ is called **complemented** if it is bounded and if every element of L has at least one complement.

Example:

The lattice (P(A), \subseteq) of the power set of any set A is a bounded lattice, where meet and join operations on e(A) are \cap and \cup respectively. Its bounds are φ and A. The lattice (P(A), \subseteq) is complemented in which the complement of any subset B of A is A – b

Definition:

A lattice (L, \lor, \land) is called a **distributive lattice** if for any elements a, b and c in L, (1) $a \land (b \lor c) = (a \land b) \lor (a \land c)$ (2) $a \lor (b \land c) = (a \lor b) \land (a \lor c)$ Properties (1) and (2) are called **distributive properties.**

Thus, in a distributive lattice, the operations \wedge and \vee are distributive over each other.

We further note that, by the principle of duality, the condition (1) holds if and only if (2) holds. Therefore it is sufficient to verify any one of these two equalities for all possible combinations of the elements of a lattice. If a lattice L is not distributive, we say that L is **non-distributive**.

Example: For a set S, the lattice $(P(S), \subseteq)$ is distributive. The meet and join operation in P(S) are \cap and \cup respectively. Also we know, by set

theory, that for A, B, $C \in P(S)$,

 $\mathsf{A} \cap (\mathsf{B} \cup \mathsf{C}) = (\mathsf{A} \cap \mathsf{B}) \cup (\mathsf{A} \cap \mathsf{C})$

 $\mathsf{A} \cup (\mathsf{B} \cap \mathsf{C}) = (\mathsf{A} \cup \mathsf{B}) \cap (\mathsf{A} \cup \mathsf{C}).$

Example:

The **five elements** lattices given in the following diagrams are **non distributive**.



POSSIBLE QUESTIONS (SIX MARKS)

- 1. Define sublattice, lattice homomorphism, order isomorphic.
- 2. Show that in a bounded distributive lattice, the elements which have complements form a sublattice.
- 3. Show that a lattice is distributive iff (a * b) + (b* c) + (c * a) = (a + b) * (b + c) * (c + a).
- 4. Define complete, distributive lattice, Complemented lattice.
- 5. Every chain is a distributive lattice.
- 6. Show that every distributive lattice is modular but not conversely.
- 7. Show that a lattice is distributive iff (a * b) + (b* c) + (c * a) = (a + b) * (b + c) * (c + a).
- 8. Show that a lattice homomorphism on a Boolean algebra which preserves 0 and 1 is Boolean homomorphism.
- 9. The direct product of any two distributive lattices is a distributive lattice.
- 10. Prove that two bounded lattices A and B are complemented iff A ×B is complemented.
- 11.Prove that two lattices A and B are relatively complemented iff A **B** is relatively complemented.

POSSIBLE QUESTIONS (TEN MARKS)

- 1. If the meet operation is distributive over the join operation in a lattice, then the join operation is also distributive over the meet operation. If the join operation is distributive over the meet operation, then the meet operation is also distributive over the join operation.
- 2. Let L be a finite distributive lattice. Then every a in L can be written uniquely (except for order) as the join of irredundant join irreducible elements.
- 3. In a distributive lattice, if an element has a complement then this complement is unique.
- 4. Every finite lattice is a complete .



KARPAGAM ACADEMY OF HIGHER EDUCATION (Deemed to be University Established Under Section 3 of UGC Act 1956) Pollachi Main Road, Eachanari (Post) Coimbatore -641 021 DEPARTMENT OF MATHEMATICS

SUBJECT: ADVANCED DISCRETE MA	ATHEMATICS	SEMESTER: I	LTPC
SUBJECT CODE: 19MMP105A	CLASS:I PG (I	MATHEMATICS)	4004

UNIT III

Some special Lattices - e.g. Complete, Complemented and Distributive Lattices - Boolean Algebra: Definition and Examples - Subalgebra - Direct product and Homomorphism - join irreducible - atoms and antiatoms.

TEXT BOOKS

1. Tremblay J. P. and Manohar, R., (1997). Discrete Mathematical Structures with Applications to Computer Science, McGraw-Hill Book Co.(for unit I,II,III).

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- 1.Seymour Lepschutz, (2007) ,Discrete Mathematics, Schaum Series, McGraw-Hill Publishing Company Ltd, New Delhi.
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Introduction: SOME SPECIAL LATTICES

In this chapter we will consider mathematical objects known as Lattices. Lattices is a set of points in n dimensional space with a periodic structure. More recently, Lattices have become a topic of active research in computer science. They are used as an algorithmic tool to solve a wide variety of problems ; and they have have some unique properties from a computational complexity point of view.

Bounded, Complemented and Distributive Lattices

Definition: A lattice L is said to be **bounded** if it has a greatest element I and a least element 0.

For the lattice (L, \lor, \land) with $L = \{a_1, a_2, \dots, a_n\},\$

 $a_1 \lor a_2 \lor \ldots \lor \lor a_n = I \text{ and } a_1 \land a_2 \land \ldots \land \land a_n = 0$

Definition: Let $(L \lor, \land, 0, I)$ be a bounded lattice with greatest element I and the least element 0. Let $a \in L$. Then an element $b \in L$ is called a complement of a if

$$a \lor b = I and a \land b = 0$$

It follows from this definition that

0 and I are complement of each other.

Further, I is the only complement of 0. For suppose that $c \neq I$ is a complement of 0 and $c \in L$, then

$$0 \lor c = I \text{ and } 0 \land c = 0$$

But $0 \lor c = c$. Therefore c = I which contradicts $c \neq I$.

Similarly, 0 is the only complement of I.

Definition: A lattice $(L, \lor, \land, 1, 0)$ is called **complemented** if it is bounded and if every element of L has at least one complement.

Example: The lattice (P(A), \subseteq) of the power set of any set A is a bounded lattice, where meet and join operations on e(A) are \cap and \cup respectively. Its bounds are φ and A. The lattice (P(A), \subseteq) is complemented in which the complement of any subset B of A is A – b.

Example: Let L^n be the lattice of n tuples of 0 and 1, where partial ordering is defined for $a = (a_1, a_2, ..., a_n)$, $b = (b_1, b_2, ..., b_n) \in L^n$ by

 $a \leq_n b \Leftrightarrow a_i \leq b_i$ for all i = 1, 2, ..., n,

where \leq means less than or equal to. Then (L^n, \leq_n) is lattice which is bounded. For example, the bounds are (0, 0, 0) and (1, 1, 1) for L^3 .



The complement of an element of L^n can be obtained by interchanging 1 by 0 and 0 by 1 in the n-tuple representing the element. For example, complement of (1, 0, 1) in L^3 is (0, 1, 0).

Definition: A lattice (L, \lor, \land) is called a **distributive lattice** if for any elements a, b and c in L,

(1) $a \land (b \lor c) = (a \land b) \lor (a \land c)$ (2) $a \lor (b \land c) = (a \lor b) \land (a \lor c)$

Properties (1) and (2) are called distributive properties.

Thus, in a distributive lattice, the operations \land and \lor are distributive over each other.

We further note that, by the principle of duality, the condition (1) holds if and only if (2) holds. Therefore it is sufficient to verify any one of these two equalities for all possible combinations of the elements of a lattice.

If a lattice L is not distributive, we say that L is non-distributive.

Example: For a set S, the lattice $(P(S), \subseteq)$ is distributive. The meet and join operation in P(S) are \cap and \cup respectively. Also we know, by set theory, that for A, B, C \in P(S),

 $A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$

 $A \cup (B \cap C) = (A \cup B) \cap (A \cup C).$

Example: The five elements lattices given in the following diagrams are non distributive.



In fact for the lattice (i), we note that

$$a \wedge (b \vee c) = a \wedge I = a$$
,

while

$$(a \land b) \lor (a \land c) = b \lor 0 = b$$

Hence

$$a \wedge (b \vee c) \neq (a \wedge b) \vee (a \wedge c)$$
,

showing that (i) is non-distributive.

For the lattice (ii), we have

 $a \wedge (b \vee c) = a \wedge I = a$,

while

$$(a \wedge b) \vee (a \wedge c) = 0 \vee 0 = 0$$
.

Hence

 $a \wedge (b \vee c) \neq (a \wedge b) \vee (a \wedge c)$,

showing that (ii) is also non-distributive



Example: Is the following lattice a distributive lattice

Solution: The given lattice is **not distributive** since {0, a, d, e, I} is a sublattice which is isomorphic to the five-element lattice shown below :



Theorem: Every chain is a distributive lattice.

Proof: Let (L, \leq) be a chain and a, b, $c \in L$. We shall show that distributive law holds for any a, b, $c \in L$. Two cases arise :

Theorem: The direct product of any two distributive lattices is a distributive lattice.

Proof: Let (L_1, \leq_1) and (L_2, \leq_2) be two lattices in which meet and join are \wedge_1 , \vee_1 and \wedge_2 , \vee_2 respectively. Then meet and join in $L_1 \times L_2$ are defined by

$$(a_1, b_1) \land (a_2, b_2) = (a_1 \land_1 a_2, b_1 \land_2 b_2)$$
 (1)

and

$$(a_1, b_1) \lor (a_2, b_2) = (a_1 \lor_1 a_2, b_1 \lor_2 b_2)$$
(2)

Since L_1 is distributive,

$$a_1 \wedge (a_2 \vee a_1) = (a_1 \wedge a_2) \vee (a_1 \wedge a_3)$$
 (3)

Since L₂ is distributive,

$$\mathbf{b}_1 \wedge_2 (\mathbf{b}_2 \vee_2 \mathbf{b}_3) = (\mathbf{b}_1 \wedge_2 \mathbf{b}_2) \vee_2 (\mathbf{b}_1 \wedge_2 \mathbf{b}_3) \tag{4}$$

Therefore

 $(a_1, b_1) \land [(a_2, b_2) \lor (a_3, b_3)]$

$$= (a_1, b_1) \wedge [(a_2 \vee_1 a_3, b_2 \vee_2 b_3)]$$

= $[(a_1 \wedge_1 (a_2 \vee_1 a_3), b_1 \wedge_2 (b_2 \vee_2 b_3)]$
= $[(a_1 \wedge_1 a_2) \vee_1 (a_1 \wedge_1 a_3), (b_1 \wedge_2 b_2) \vee_2 (b_1 \wedge_2 b_3)]$

(using (3) and (4))

and using (1) and (2), we have

$$\begin{aligned} [(a_1, b_1) \land (a_2, b_2)] \lor [((a_1, b_1) \land (a_3, b_3)] \\ &= (a_1 \land_1 a_2, b_1 \land_2 b_2) \lor (a_1 \land_1 a_3, b_1 \land_2 b_3) \\ &= [(a_1 \land_1 a_2) \lor_1 (a_1 \land_1 a_3), (b_1 \land_2 b_2) \lor_2 (b_1 \land_2 b_3)] \end{aligned}$$

Hence

$$(a_1, b_1) \wedge [(a_2, b_2) \vee (a_3, b_3)] = [(a_1, b_1) \wedge (a_2, b_2)] \vee [((a_1, b_1) \wedge (a_3, b_3)],$$

proving that $L_1 \times L_2$ is distributive.

Theorem: Let L be a bounded distributive lattice. If a complement of any element exists, it is unique.

Proof: Suppose on the contrary that b and c are complements of the element a

 \in L. Then

$a \vee b = I$	$a \lor c = I$			
$a \wedge b = 0$	$a \wedge c = 0$			

Using distributive law, we have

$$b = b \lor 0$$

= b \le (a \le c)
= (b \le a) \le (b \le c)
= (a \le b) \le (b \le c)
= I \le (b \le c)
= b \le c

Similarly,

 $c = c \lor 0$ = c \lapha (a \lapha b) = (c \lapha a) \lapha (c \lapha b) = (a \lapha c) \lapha (c \lapha b) = I \lapha (c \lapha b) = I \lapha (b \lapha c) = b \lapha c

Hence b = c.

BOOLEAN ALGEBRA

Definitions and Examples

Definition: A non-empty set B with two binary operations \lor and \land , a unary operation ', and two distinct elements 0 and I is called a **Boolean Algebra** if the following axioms holds for any elements a, b, c \in B: [B₁]: Commutative Laws:

 $a \lor b = b \lor a$ and $a \land b = b \land a$ [B₂]: Distributive Law:

 $a \land (b \lor c) = (a \land b) \lor (a \land c) and a \lor (b \land c) = (a \lor b) \land (a \lor c)$

[B₃]: Identity Laws:

 $a \lor 0 = a$ and $a \land I = a$

[B₄]: Complement Laws:

 $a \lor a' = I$ and $a \land a' = 0$

We shall call 0 as zero element, 1 as unit element and a' the complement of a.

We denote a Boolean Algebra by $(B, \lor, \land, \sim, 0, I)$.

Example 1. Let A be a non-empty set and P(A) be its power set. Then the set algebra (P(A), \cup , \cap , -, ϕ , A) is a Boolean algebra.

Example 2 : Let $B = \{0, 1\}$ be the set of bits (binary digits) with the binary operations \lor and \land and the unary operation ' defined by the following tables:

V	1	0		~	1	0	1	1	0
1	1	1	,	1	1	0		0	1
0	1	0		0	0	0		15	

Here the operations \lor and \land are logical operations and complement of 1 is 0 whereas complement of 0 is 1. Then (B, \lor , \land , ', 0, 1) is a Boolean Algebra. It is the simplest example of a two-element algebra.

Further, a two element Boolean algebra is the only Boolean algebra whose diagram is a chain.

Example 3 : Let B_n be the set of n tuples whose members are either 0 or 1. Let $a = (a_1, a_2, ..., a_n)$ and $b = (b_1, b_2, ..., b_n)$ be any two members of B_n . Then we define

$$a \vee_1 b = (a_1 \vee b_1, a_2 \vee b_2, \dots, a_n \vee b_n)$$

$$a \wedge_1 b = (a_1 \wedge b_1, a_2 \wedge b_2, \dots, a_n \wedge b_n)$$
,

where \lor and \land are logical operations on $\{0, 1\}$, and

$$a' = (\sim a_1, \sim a_2, \dots, \sim a_n)$$
,

where $\sim 0 = 1$ and $\sim 1 = 0$.

If 0_n represents $(0, 0, \dots, 0)$ and $1_n = (1, 1, \dots, 1)$, then $(B_n, \vee_1, \wedge_1, ', 0_n, 1_n)$ is a Boolean algebra.

Example 4. The poset $D_{30} = \{1, 2, 3, 5, 6, 10, 15, 30\}$ has eight element. Define \lor , \land and ' on D_{30} by

$$a \lor b = lcm(a, b)$$
, $a \land b = gcd(a, b)$ and $a' = \frac{30}{a}$.

Then D_{30} is a Boolean Algebra with 1 as the zero element and 30 as the unit element.

UNIT III

Example 5: Let S be the set of statement formulas involving n statement variables. The algebraic system $(S, \land, \lor, \sim, F, T)$ is a Boolean algebra in which \land,\lor,\sim denotes the operations of conjunction, disjunction and negation respectively. The element F and T denotes the formulas which are contradictions and Tautologies respectively. The partial ordering corresponding to \land,\lor is implication \Rightarrow .

We have seen that B_n is a Boolean algebra. Using this fact, we can also define Boolean algebra as follows:

Definition: A finite lattice is called a **Boolean Algebra** if it is isomorphic with B_n for some non-negative integer n.

For example, D_{30} is isomorphic to B_3 . In fact, the mapping f: $D_{30} \rightarrow B_3$ defined by

f(1) = 000, f(2) = 100, f(3) = 010, f(5) = 001,f(6) = 110, f(10) = 101, f(15) = 011, f(30) = 111

is an isomorphism. Hence D_{30} is a Boolean algebra.

If a finite L does not contain 2ⁿ elements for some non-negative integer n, then L cannot be a Boolean Algebra.

For example, consider $D_{20} = \{1, 2, 4, 5, 10, 20\}$ that has 6 elements and $6 \neq 2^n$ for any integer $n \ge 0$. Therefore, D_{20} is not a Boolean algebra.

If $|L| = 2^n$, then L may or not be a Boolean Algebra. If L is isomorphic to B_n , then it is Boolean algebra, otherwise it is not.

For large value of n, we use the following theorem for determining whether D_n is a Boolean Algebra or not.

Theorem: Let

$$\mathbf{n} = \mathbf{p}_1 \mathbf{p}_2 \dots \mathbf{p}_k,$$

where p_i are distinct primes, known as set of atoms. Then D_n is a Boolean algebra.

Proof: Let $A = \{p_1, p_2, ..., p_k\}$. If $B \subseteq A$ and a_B is the product of primes in B, then ${}^a{}_B$ ln. Also any divisor of n must be of the form ${}^a{}_B$ for some subset B of A, where we assume that $a_{\phi} = 1$. Further, if C and B are subsets of A, then $C \subseteq B$ if and only if ${}^a{}_C l^a{}_B$. Also

$${}^{a}_{C \cap B} = {}^{a}_{C} \wedge {}^{a}_{B} = gcd({}^{a}_{C} , {}^{a}_{B})$$

and

$${}^{a}_{C\cup B} = {}^{a}_{C} {}^{\vee} {}^{a}_{B} = lcm \left({}^{a}_{C} , {}^{a}_{B} \right)$$

Thus the function $f: P(A) \rightarrow D_n$ defined by

$$f(B) = {}^{a}_{B}$$

is an isomorphism. Since P(A) is a Boolean algebra, it follows that D_n is also a Boolean algebra.

For example, consider D₂₀, D₃₀, D₂₁₀, D₆₆, D₆₄₆. We notice that

(i) 20 cannot be represented as product of distinct primes and so D_{20} is not a Boolean algebra.

(ii) 30 = 2.3.5, where 2, 3, 5 are distinct primes. Hence D_{30} is a Boolean Algebra.

(iii) 210 = 2.3.5.7 (all distinct primes) and so D_{210} is a Boolean algebra.

(iv) 66 = 2.3.11 (product of distinct primes) and so D_{66} is a Boolean algebra.

(v) 646 = 2.17.19 (product of distinct primes) and so D_{646} is a Boolean Algebra.

Duality: The dual of any statement in a Boolean algebra B is obtained by interchanging \lor and \land and interchanging the zero element and unit element in the original statement.

For example, the dual of $a \land 0 = 0$ is $a \land I = I$

Principle of duality: The dual of any theorem in a Boolean Algebra is also a theorem. (Thus, dual theorem is proved by using the dual of each step of the proof of

(Thus, dual theorem is proved by using the dual of each step of the proof of the original statement).

Properties of a Boolean Algebra

Theorem: Let a, b and c be any elements in a Boolean algebra (B, \lor , \land ,',

0, I). Then

1. Idempotent Laws:

(i) $a \lor a = a$ (ii) $a \land a = a$

2. Boundedness Laws:

(i) $\mathbf{a} \vee \mathbf{I} = \mathbf{I}$ (ii) $\mathbf{a} \wedge \mathbf{0} = \mathbf{0}$

3. Absorption Laws:

(i) $a \lor (a \land b) = a$ (ii) $a \land (a \lor b) = a$

4. Associative Laws:

(i)
$$(a \lor b) \lor c = a \lor (b \lor c)$$
 (ii) $(a \land b) \land c = a \land (b \land c)$

Proof: It is sufficient to prove first part of each law since second part follows from the first by principle of duality.

1. (i). We have $a = a \lor 0$ (by identity law in a Boolean algebra) $= a \vee (a \wedge a')$ (by complement law) $= (a \lor a) \land (a \lor a')$ (by distributive law) $= (a \lor a) \land I \text{ (complement law)}$ $= a \lor a$ (identity law), which proves 1(i). 2(i): We have $a \vee I = (a \vee I) \wedge I$ (identity law) $= (a \lor I) \land (a \lor a')$ (complement law) $= a \lor (I \land a')$ (Distributive law) $= a \lor a'$ (identity law) = I (complement law). 3(i): we note that $a \lor (a \land b) = (a \land I) \lor (a \land b)$ (identity law) $= a \land (I \lor b)$ (distributive law) $= a \land (b \lor I)$ (commutativity) $= a \wedge I$ (Identity law) = a (identity law) 4(i) Let $L = (a \lor b) \lor c$, $R = a \lor (b \lor c)$ Then $a \wedge L = a \wedge [(a \vee b) \vee c]$ = $[a \land (a \lor b)] \lor (a \land c)$ (distributive Law) $= a \lor (a \land c)$ (absorption law)

= a (absorption law)

and

$$a \wedge R = a \wedge [a \vee (b \vee c)]$$

= (a \land a) \land (a \land (b \neq c)] (distributive law)
= a \land (a \land (b \neq c)] (idempotent law)
= a (absorption Law)

Thus $a \wedge L = a \wedge R$ and so, by duality, $a \vee L = a \vee R$.

Further,

$$\begin{aligned} \mathbf{a}' \wedge \mathbf{L} &= \mathbf{a}' \wedge [(\mathbf{a} \vee \mathbf{b}) \vee \mathbf{c}] \\ &= [\mathbf{a}' \wedge (\mathbf{a} \vee \mathbf{b})] \vee (\mathbf{a}' \wedge \mathbf{c}) \text{ (distributive law)} \\ &= [(\mathbf{a}' \wedge \mathbf{a}) \vee (\mathbf{a}' \wedge \mathbf{b})] \vee (\mathbf{a}' \wedge \mathbf{c}) \text{ (distributive law)} \\ &= [\mathbf{0}, \vee (\mathbf{a}' \wedge \mathbf{b})] \vee (\mathbf{a}' \wedge \mathbf{c}) \text{ (complement Law)} \\ &= (\mathbf{a}' \wedge \mathbf{b})] \vee (\mathbf{a}' \wedge \mathbf{c}) \text{ (Identity law)} \\ &= \mathbf{a}' \wedge (\mathbf{b} \vee \mathbf{c}) \text{ (distributive law)} \end{aligned}$$

On the other hand,

$$\begin{aligned} \mathbf{a}' \wedge \mathbf{R} &= \mathbf{a}' \wedge [\mathbf{a} \vee (\mathbf{b} \vee \mathbf{c})] \\ &= (\mathbf{a}' \wedge \mathbf{a}) \vee [\mathbf{a}' \wedge (\mathbf{b} \vee \mathbf{c})] \text{ (distributive law)} \\ &= \mathbf{0} \vee [\mathbf{a}' \wedge (\mathbf{b} \vee \mathbf{c})] \text{ (complement law)} \end{aligned}$$

 $= a' \land (b \lor c)$] (identity law)

Hence

$$a' \, \wedge \, L = a' \, \wedge \, R \,$$
 and so by duality $a' \, \lor \, L = a' \, \lor \, R$

Therefore

$$\begin{aligned} L &= (a \lor b) \lor c \\ &= 0 \lor [(a \lor b) \lor c] = 0 \lor L \text{ (identity law)} \\ &= (a \land a') \lor [(a \lor b) \lor c] = (a \land a') \lor L \text{ (complement law)} \end{aligned}$$
$= (a \lor L) \land (a' \lor L) \text{ (distributive law)}$ = $(a \lor R) \land (a' \lor R) \text{ (using } A \lor L = a \lor R \text{ and } a' \lor L = a' \lor R \text{]}$ = $(a \land a') \lor R \text{ (distributive law)}$ = $0 \lor R \text{ (complement law)}$ = R (identity law)

Hence

 $(a \lor b) \lor c = a \lor (b \lor c),$

which completes the proof of the theorem.

Theorem: Let a be any element of a Boolean algebra B. Then

(i) Complement of a is unique (uniqueness of complement)

(ii) (a')' = a (Involution law)

(iii) 0' = 1 and 1' = 0

Proof: (i) Let a' and x be two complements of a ε B. Then

 $a \lor a' = I$ and $a \land a' = 0$ (i)

 $a \lor x = I$ and $a \land x = 0$ (ii)

and we have

$$a' = a' \lor 0 \quad (Identity \ law)$$

$$= a' \lor (a \land x) \qquad by (ii)$$

$$= (a' \lor a) \land (a' \lor x) \quad (Distributive \ law)$$

$$= I \land (a' \lor x) \qquad by (i)$$

$$= a' \lor x \qquad [Identity \ law]$$

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Also

$$\begin{aligned} \mathbf{x} &= \mathbf{x} \lor 0 \text{ (Identity law)} \\ &= \mathbf{x} \lor (\mathbf{a} \land \mathbf{a}') , \qquad \text{by (i)} \\ &= (\mathbf{x} \lor \mathbf{a}) \land (\mathbf{x} \lor \mathbf{a}') \quad [\text{Distributive law}] \\ &= \mathbf{I} \land (\mathbf{x} \lor \mathbf{a}') , \qquad (\text{by (ii)}) \\ &= \mathbf{x} \lor \mathbf{a}' = \mathbf{a}' \lor \mathbf{x} \qquad (\text{Identity and commutative law}) \end{aligned}$$

Hence a' = x and so complement of any element in B is unique.

(ii) Let a' be a complement of a. Then

 $a \lor a' = I$ and $a \land a' = 0$

or, by commutativity,

 $a' \lor a = I$ and $a' \land a = 0$

This implies that a is complement of a', that is,

a = (a')'.

(iii) By boundedness law,

 $0 \vee 1 = 1$

and by identity law

$$0 \wedge 1 = 0$$

These two relations imply that 1 is the complement of 0, that is 1 = 0'.

By principle of duality, we have then

$$0 = 1'$$
.

Theorem: Let a, b be elements of a Boolean Algebra. Then $(a \lor b)' = a' \land b'$ and $(a \land b)' = a' \lor b'$.

Proof: we have

 $(a \lor b) \lor (a' \land b') = (b \lor a) \lor (a' \land b') \quad (commutative)$ $= b \lor (a \lor (a' \land b')) \quad (associative)$ $= b \lor [(a \lor a' \land (a \lor b')] \quad (distributive)$ $= b \lor [I \land (a \lor b') \qquad (complement)$

$= b \lor (a \lor b')$	(identity) (commutative) (associative law) (complement law)	
$= b \lor (b' \lor a)$		
$= (b \lor b') \lor a$		
$= I \lor a$		
= I	(Identity law)	

Also

 $(a \lor b) \land (a' \land b') = [(a \lor b) \land a'] \land b'$ (associativity)

 $= [a \land a') \lor (b \land a')] \land b' = [0 \lor (b \land a')] \land b'$

(complement) (distributive)

 $= (b \land a') \land b'$ (identity)

 $= b \land b' \land a' = 0 \land a' = 0$

Hence $a' \wedge b'$ is complement of $a \vee b$, i.e. $(a \vee b)' = a' \wedge b'$. The second part follows by principle of duality. We have proved already that Boolean algebra (B, \lor , \land , ', 0, I) satisfies associative laws, commutative law and absorption law. Hence every Boolean algebra is a lattice with join as \lor and meet as \land . Also boundedness law hold in a Boolean algebra. Thus Boolean algebra becomes a bounded lattice. Also Boolean algebra obeys distributive law and is complemented. Conversely, every bounded, distributive and complemented lattice satisfied all the axiom of a Boolean algebra. Hence we can define a Boolean algebra as

Definition: A Boolean Algebra is a bounded distributive and complemented lattice.

Now, being a lattice, a Boolean algebra must have a partial ordering. Recall that in case of lattice we had defined partial ordering \leq by $a \leq b$ if $a \lor b = b$ or $a \land b = a$.

The following result yields much more than these required conditions:

Theorem: If a, b are in a Boolean algebra, then the following are equivalent:

(1)
$$a \lor b = b$$

(2) $a \land b = a$
(3) $a' \lor b = I$
(4) $a \land b' = 0$

Proof: (1) \Leftrightarrow (2) already proved.

$$(1) \Rightarrow (3): \text{ Suppose } a \lor b = b, \text{ then}$$

$$a' \lor b = a' \lor (a \lor b)$$

$$= (a' \lor a) \lor b \qquad (\text{associativity})$$

$$= I \lor b = I \qquad (\text{complement \& boundedness})$$

Conversely, suppose $a' \lor b = I$, then $a \lor b = 1 \land (a \lor b) = (a' \lor b) \land (a \lor b)$ (by assumption of (3)) $= (a' \land a) \lor b$ (distributivity) $= 0 \lor b = b$ (complement & identity) Thus $(1) \Leftrightarrow (3)$. Now we show that $(3) \Leftrightarrow (4)$.

Suppose first that (3) holds. Then, using De-Morgan Law and involution, we have

$$0 = I' = (a' \lor b')' = a'' \land b'$$

 $= a \wedge b'$ (Involution)

Conversely, if (4) holds, then

$$1 = 0' = (a \land b')' = a' \lor b'' = a' \lor b$$

Thus $(3) \Leftrightarrow (4)$

Hence all the four condition are equivalent.

Example: Show that the lattice whose diagram is



is not a Boolean algebra.

Solution: Elements a and e are both complements of c since $c \lor a = I$, $c \land a = 0$ and $c \lor e = I$, $c \land e = 0$

But in a Boolean algebra complement of an element is unique. Hence the given lattice is not a Boolean algebra.

Definition: Let $(B, \lor, \land, ', 0, 1)$ be a Boolean algebra and $S \subseteq B$. If S contains the elements 0 and 1 and is closed under the operation \lor, \land and 1, then $(S, \land, \lor, ', 0, 1)$ is called **Sub-Boolean Algebra**.

In practice, it is sufficient to check closure with respect to the set of operations $(\land, `)$ or $(\lor, `)$ for proving a subset S of B as the sub-Boolean algebra.

The definition of sub-Boolean implies that it is a Boolean algebra.

But a subset of Boolean algebra can be a Boolean algebra, but not necessarily a Boolean subalgebra because it is not closed with respect to the operations in B. For any Boolean algebra (B, \land , \lor , ', 0, 1), the subsets {0, 1} and the set B are both sub-Boolean algebras.

In addition to these sub-Boolean algebras, consider now any element $a \in B$ such that $a \neq 0$ and $a \neq 1$ and consider the set {a, a', 0, 1}. Obviously this set is a sub-Boolean algebra of the given Boolean algebra.

For example $D_{70} = \{1, 2, 5, 7, 10, 14, 35, 70\}$ is a Boolean algebra and $\{1, 2, 35, 70\}$ is a subalgebra of D_{70} .

Every element of a Boolean algebra generates a sub-Boolean algebra, More generally, any subset of B generates a sub-Boolean algebra.

Example: Consider the Boolean algebra given in the diagram below:



Verify whether the following subsets are Boolean algebras or not :

$$\begin{split} S_1 &= \{a, a', 0, 1\} \\ S_2 &= \{a' \lor b, a \land b', 0, 1\} \\ S_3 &= \{a \land b', b', a, 1\} \end{split}$$

$$S_4 = \{b', a \land b', a', 0\}$$

 $S_5 = \{a, b', 0, 1\}$

Solution: The subset S_1 and S_2 are sub-Boolean algebras. The subsets S_3 and S_4 are Boolean algebras but not sub-Boolean algebras of the given Boolean algebra. The subset S_5 is not even a Boolean algebra.

LATTICES OF DIRECT PRODUCT:

Definition: Let $(B_1, \land_1, \lor_1, ', 0_1, 1_1)$ and $(B_1, \land_2, \lor_2, ", 0_2, 1_2)$ be two Boolean algebras. The **Direct Product** of the two Boolean algebras is defined to be a Boolean algebra, denoted by, $(B_1 \times B_2, \land_3, \lor_3, "', 0_3, 1_1)$ in which the operations are defined for any (a_1, b_1) and $(a_2, b_2) \in B_1 \times B_2$ as

$$(a_1, b_1) \wedge_3 (a_2, b_2) = (a_1 \wedge_1 a_2, b_1 \wedge_2 b_2)$$

$$(a_1, b_1) \vee_3 (a_2, b_2) = (a_1 \vee_1 a_2, b_1 \vee_2 b_2)$$

$$(a_1, b_1)''' = (a_1', b_1'')$$

$$0_3 = (0_1, 0_2) \text{ and } I_3 = (I_1, I_2)$$

Thus, from a Boolean algebra B, we can generate $B^2 = B \times B$, $B^3 = B \times B \times B$ etc.

Boolean Homomorphism

Definition: Let $(B, \land, \lor, ', 0, 1)$ and $(P, \cap, \cup, -, \alpha, \beta)$ be two Boolean Algebras. A mapping $f : B \to P$ is called a **Boolean Homomorphism** if all the operations of the Boolean Algebra are preserved, that is, for any $a, b \in B$

$$f(a \land b) = f(a) \cap f(b)$$
$$f(a \lor b) = f(a) \cup f(b)$$
$$f(a') = \overline{f(a)}$$
$$f(0) = \alpha$$
$$f(1) = \beta$$

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Representation Theorem

Let B be a finite Boolean algebra. We know that an element a in B is called an **atom (or min term)** if a immediately succeed the **least element** 0. Let A be the set of atoms of B and let P(A) be the Boolean algebra of all subsets of the set A of atoms. Then (as proved in chapter on lattices) each $x \neq 0$ in B can be expressed uniquely (except for order) as the join of atoms (i.e. elements of A). So, let

$$\mathbf{x} = \mathbf{a}_1 \lor \mathbf{a}_2 \lor \ldots \lor \lor \mathbf{a}_n$$

Consider the function

$$f: B \rightarrow P(A)$$

defined by

$$f(x) = \{a_1, a_2, \dots, a_n\}$$

for each $x = a_1 \lor a_2 \lor \ldots \lor a_n$.

Stone's Representation Theorem: Any Boolean Algebra is isomorphic to a power set algebra (P(S), \cap , \cup , \sim , ϕ , S) for some set S.

Restricting our discussion to finite Boolean Algebra B, the representation theorem can be stated as :

Theorem: Let B be a finite Boolean Algebra and let A be the set of atoms of B. If P(A) is the Boolean Algebra of all subsets of the set A of atoms, then the mapping $f: B \rightarrow P(A)$ is an isomorphism.

Proof: Suppose B is finite Boolean algebra and P(A) is the Boolean algebra of all subsets of the set A of atoms of B. Consider the mapping

$$f: B \rightarrow P(A)$$

defined by

$$f(x) = \{a_1, a_2, \dots, a_n\}$$
,

where $x = a_1 \lor a_2 \lor \ldots \lor a_n$ is the unique representation of $x \in B$ as the join of atoms $a_1, a_2, \ldots, a_n \in A$. If a_i are atoms, then we know that $a_i \land a_i = a_i$ but $a_i \land a_j = 0$ for $a_i \neq a_j$.

Let x and y are in the Boolean algebra B and suppose

$$\mathbf{x} = \mathbf{a}_1 \lor \ldots \lor \lor \mathbf{a}_r \lor \mathbf{b}_1 \lor \ldots \lor \lor \mathbf{b}_s$$

$$y = b_1 \lor \ldots \lor \lor b_s \lor c_1 \lor \ldots \lor \lor c_t,$$

where

$$A = \{ a_1, a_2, \dots, a_r, b_1, b_2, \dots, b_s, c_1, \dots, c_t, d_1, \dots, d_k \}$$

is the set of atoms of B. Then

$$x \lor y = a_1 \lor \ldots \lor a_r \lor b_1 \lor \ldots \lor b_s \lor c_1 \ldots \lor c_r$$

$$\mathbf{x} \wedge \mathbf{y} = \mathbf{b}_1 \vee \dots \vee \mathbf{b}_s$$

Hence

$$f(x \lor y) = \{ a_1, a_2, \dots, a_r, b_1, b_2, \dots, b_s, c_1, c_2, \dots, c_t \}$$
$$= \{ a_1, \dots, a_r, b_1, \dots, b_s \} \cup \{ b_1, b_2, \dots, b_s, c_1, c_2, \dots, c_t \}$$
$$= f(x) \cup f(y)$$

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and

$$\begin{split} f(x \ \land \ y) &= \{b_1, \dots, b_s\} \\ &= \{ a_1, a_2, \dots, a_r, b_1, \dots, b_s\} \cap \{b_1, \dots, b_s, c_1, \dots, c_t\} \\ &= f(x) \cap f(y) \end{split}$$

Let

$$y = c_1 \lor \ldots \lor \lor c_t \lor d_1 \lor \ldots \lor \lor d_k$$

Then

 $x \lor y = I$ and $x \land y = 0$

and so y = x'. Thus

$$f(x') = f(y) = \{c_1 \dots c_t, d_1 \dots d_k \}$$
$$= \{a_1, a_2, \dots, a_r, b_1, b_2, \dots, b_s\}^c$$
$$= (f(x))^c.$$

Since the representation is unique, f is one-to-one and onto. Hence f is a Boolean algebra isomorphism. Thus, every finite Boolean algebra is structurally the same as a Boolean algebra of sets.

If a set A has n elements, then its power set P(A) has 2^n elements. Thus we have

JOIN IRREDUCIBLE:

Definition: Let (L, \land, \lor) be a lattice. An element $a \in L$ is said to be joinirreducible if it cannot be expressed as the join of two distinct elements of L.

In other words, $a \in L$ is join-irreducible if for any $b, c \in L$

 $a = b \lor c \Rightarrow a = b \text{ or } a = c.$

For example, prime number under multiplication have this property. In fact if p is a prime number, then $p = a b \Rightarrow p a$ or p = b.

Clearly 0 is join - irreducible.

Further, if a has at least two immediate predecessors, say b and c as in the diagram below:



Then $a = b \lor c$ and so a is not join – irreducible.

On the other hand if a has a unique immediate predecessor c, then

 $a \neq sup(b_1, b_2) = b_1 \lor b_2$ for any other elements b_1 and b_2 because c would lie between b_1 , b_2 and a.



In other words, $a \neq 0$ is join irreducible if and only if a has a unique predecessor.

Definition: Those elements, which immediately succeed 0, are called atoms.

From the above discussion, it follows that the atoms are join-irreducible.



However, lattices can have other join-irreducible elements. For example, the element c in five-element lattice is not an atom, even then it is join irreducible because it has only one immediate predecessor, namely a.



Let a be an element of a finite lattice which is not join irreducible, then we can write

$$a = b \lor c$$

If b and c are not join irreducible, then we can write them as the join of other elements. Since L is finite we shall finally have

$$\mathbf{a} = \mathbf{d}_1 \lor \mathbf{d}_2 \lor \mathbf{d}_3 \lor \dots \lor \mathbf{d}_n , \qquad (1)$$

where d_i , i = 1, 2, ..., n are join-irreducible. If d_i precedes d_j , then $d_i \lor d_j = d_j$, so we delete d_i from the expression. Thus d's are irredundant, i.e., no d precedes any other d.

The expression (1) need not be unique. For example, in lattice shown above

$$I = a \lor b$$
 and $I = b \lor c$.

Theorem: Let (L, \land, \lor) be a finite distributive lattice. Then every a in L can written uniquely (except for order) as the join of irredundant join irreducible elements.

Proof: Let $a \in L$. Since L is finite, we can express a as the join of irredundant join irreducible elements (as discussed above). To prove uniqueness let

$$\mathbf{a} = \mathbf{b}_1 \lor \mathbf{b}_2 \lor \ldots \lor \lor \mathbf{b}_n = \mathbf{c}_1 \lor \mathbf{c}_2 \lor \ldots \lor \lor \mathbf{c}_m ,$$

where b_i are irredundant join-irrducible and c_i are irrdundant and join-irreducible. For any given i, we have

$$\mathbf{b}_{i} \leq (\mathbf{b}_{1} \lor \mathbf{b}_{2} \lor \dots \lor \mathbf{b}_{n}) = \mathbf{c}_{1} \lor \mathbf{c}_{2} \lor \dots \lor \mathbf{c}_{m},$$

Hence

$$b_i = b_i \land (c_1 \lor c_2 \lor \dots \lor c_m)$$
$$= (b_i \land c_1) \lor (b_i \land c_2) \lor \dots \lor (b_i \land c_m)$$

Since b_i is join-irreducible, there exists j such that $b_i = b_i \wedge c_j$ and so $b_i \leq c_j$.

Similarly, for c_i there exists a b_k such that $c_i \le b_k$. Hence

$$b_i \leq c_i \leq b_k$$
,

which gives $b_i = c_j = b_k$ since b_i are irredundant. Hence b_i and c_i may be paired off. Hence the representation for a is unique except for order.

PART – B

POSSIBLE QUESTIONS – SIX MARKS

1. Define sublattice, lattice homomorphism, order isomorphic.

2. Show that in a bounded distributive lattice, the elements which have complements form sublattice.

3.Show that a lattice is distributive iff (a * b) + (b* c) + (c * a) = (a + b) * (b + c) * (c + a).

- 4. Define complete, distributive lattice, Complemented lattice.
- 5. If (L, \land, \lor) is a complemented and distributive lattice , then the complement a of any element $a \in L$ is unique.
- 6. Every chain is a distributive lattice.
- 7. Show that every distributive lattice is modular but not conversely.

8. Show that a lattice is distributive iff (a * b) + (b* c) + (c* a) = (a + b) * (b + c) * (c + a).

- 9. In a distributive lattice, if an element has a complement then this complement is unique.
- 10. Show that a lattice homomorphism on a Boolean algebra which preserves 0 and 1 is a Boolean homomorphism.
- 11. The direct product of any two distributive lattices is a distributive lattice.
- 12. Prove that two bounded lattices A and B are complemented iff A ×B is complemented.
- 13.Prove that two lattices A and B are relatively complemented iff A **B** is relatively complemented.

PART - C

POSSIBLE QUESTIONS – TEN MARKS

- 1. If the meet operation is distributive over the join operation in a lattice, then the join operation is also distributive over the meet operation. If the join operation is distributive over the meet operation, then the meet operation is also distributive over the join operation.
- 2. Let L be a finite distributive lattice. Then every a in L can be written uniquely (except for order) as the join of irredundant join irreducible elements.
- 3. If (A, \leq) and (B, \leq) are posets, then (AXB, \leq) is a poset with partial order defined by $(a,b) \leq (\bar{a}, \bar{b})$ if $a \leq \bar{a}$ and $b \leq \bar{b}$.
- 4.Every finite lattice is complete.

UNIT III



KARPAGAM ACADEMY OF HIGHER EDUCATION (Deemed to be University Established Under Section 3 of UGC Act 1956) Pollachi Main Road, Eachanari (Post) Coimbatore -641 021 DEPARTMENT OF MATHEMATICS

SUBJECT: ADVANCED DISCRETE MA	THEMATICS	SEMESTER: I	LTPC
SUBJECT CODE: 19MMP105A	CLASS:I PG (M/	ATHEMATICS)	4004

UNIT IV

Graph Theory: Definition of a graph - applications, Incidence and degree - Isolated and pendant vertices - Null graph, Path and Circuits: Isomorphism - Subgraphs, Walks -Paths and circuits - Connected graphs, disconnected graphs – components - Euler graph.

TEXT BOOKS

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INTRODUCTION : GRAPH THEORY

Graph theory is used to analyses problems of combinatorial nature that arise in computer science, operations research, physical science and economics. The term graph is familiar to you because it has been used in the context of straight lines and linear in equalities. In this chapter, first we will combine the concepts of graph theory with digraph of a relation to define a more general type of graph that has more than one edge between a pair of vertices. Second, we will identify basic components of a graph, its features any many applications of graphs.

Definitions and Examples

Definition: A graph G = (V,E) is a mathematical structure consisting of two finite sets V and E. The elements of V are called Vertices (or nodes) and the elements of E are called Edges. Each edge

is associated with a set consisting of **either one** or **two vertices** called its **endpoints**.

The correspondence from edges to endpoints is called **edge-endpoint** function. This function is generally denoted by γ . Due to this function, some author denote graph by $G = (V, E, \gamma)$.

Definition: A graph consisting of one vertex and no edges is called a **trivial** graph.

Definition: A graph whose vertex and edge sets are empty is called a **null** graph.

Definition: An edge with just one end point is called a **loop** or a **self loop**. Thus, a loop is an edge that joins a single endpoint to itself.

Definition: An edge that is not a self-loop is called a proper edge.

Definition: If two or more edges of a graph G have the same vertices, then these edges are said to be

parallel or multi-edges.

Definition: Two vertices that are connected by an edge are called adjacent.

Definition: An endpoint of a loop is said to be adjacent to itself.

Definition: An edge is said to be incident on each of its endpoints.

Definition: Two edges incident on the same endpoint are called **adjacent** edges.

Definition: The number of edges in a graph G which are incident on a vertex is called the degree of that **vertex**.

Definition: A vertex of degree zero is called an isolated vertex.

Thus, a vertex on which no edges are incident is called isolated.

Definition: A graph without multiple edges (**parallel edges**) and loops is called **Simple graph**.

Notation: In pictorial representations of a graph, the vertices will be denoted by dots and edges by line segments.



The edges e_2 and e_3 are adjacent edges because they are incident on the same vertex B.

2. Consider the graph with the vertices A, B , C, D and E pictured in the figure below.



In this graph, we note that

No. of edges = 5

Degree of vertex A = 4

Degree of vertex B = 2

Degree of vertex C = 3

Degree of vertex D = 1

Degree of vertex E = 0

Sum of the degree of vertices = 4 + 2 + 3 + 1 + 0 = 10

Thus, we observe that

$$\sum_{i=1}^5 \ deg(v_i) = 2e \ ,$$

where $deg(v_i)$ denotes the degree of vertex v_i and e denotes the number of edges.

Euler's Theorem: (The First Theorem of Graph Theory): The sum of the degrees of the vertices of a graph G is equal to twice the number of edges in G.

(Thus, total degree of a graph is even)

Proof: Each edge in a graph contributes a count of 1 to the degree of two vertices (end points of

the edge), That is, each edge contributes 2 to the degree sum. Therefore the sum of degrees of the

vertices is equal to twice the number of edges.

Corollary: There must be an even number of vertices of odd degree in a given graph G.

Proof: We know, by the Fundamental Theorem, that

$$\sum_{i=1}^{n} \deg(v_i) = 2 \times \text{no. of edges}$$

Thus the right hand side is an even number. Hence to make the left-hand side an even number there

can be only even number of vertices of odd degree.

Theorem: A non-trivial simple graph G must have at least one pair of vertices whose degrees are

equal. **Proof:** Let the graph G has n vertices. Then there appear to be n possible degree values, namely 0, 1, ..., n - 1. But there cannot be both a vertex of degree 0 and a vertex of degree n - 1 because if there is a vertex of degree 0 then each of the remaining n - 1 vertices is adjacent to atmost n-2 other vertices. Hence the n vertices of G can realize atmost n-1 possible values for their degrees. Hence the pigeonhole principle implies that at least two of the vertices have equal degree.

Definition: A graph G is said to **simple** if it has no parallel edges or loops. In a simple graph, an edge with endpoints v and w is denoted by $\{v, w\}$.

Definition: For each integer $n \ge 1$, let D_n denote the graph with **n vertices** and **no edges**. Then D_n is called the **discrete graph on n vertices**.

For example, we have

• • • and • • • • • • D_3 D_5

Definition: Let $n \ge 1$ be an integer. Then a simple graph with n vertices in which there is an edge between each pair of distinct vertices is called the **complete Graph** on n vertices. It is denoted by K_n .

For example, the complete graphs K_2 , K_3 and K_4 are shown in the figures below:



Definition: If each vertex of a graph G has the same degree as every other vertex, then G is called a **regular graph**.

A k-regular graph is a regular graph whose common degree is k.

But this graph is not complete because v_2 and v_4 have not been connected through an edge. Similarly, v_1 and v_3 are not connected by any edge. Thus

A Complete graph is always regular but a regular graph need not

be complete.

Subgraphs

Definition: A graph H is said to be a subgraph of a graph G if and only if every vertex in H is also a vertex in G, every edge in H is also an edge in G and every edge in H has the same endpoints as in G.



Similarly, the graph



is a subgraph of the graph given below:



Definition: A subgraph H is said to be a **proper subgraph** of a graph G if vertex set V_H of H is a proper subset of the vertex set V_G of G or edge set E_H is a proper subset of the edge set E_G .

For example, the subgraphs in the above examples are proper subgraphs of the given graphs.

Definition: Let G = (V, E) be a graph. Then the **complement of a subgraph** G' = (V', E') with respect to the graph G is another subgraph G'' = (V'', E'') such that E'' = E - E' and V'' contains only the vertices with which the edges in E'' are incident.

For example, the subgraph

v₁•••v₂

is the complement of the subgraph



with respect to the graph G shown in the figure below:



Definition: If G is a simple graph, the **complement of G**, (**Edge complement**), denoted by G' or G^c is a graph such that

(i) The vertex set of G' is identical to the vertex set of G, that is $V_{G'} = V_G$

(ii) Two distinct vertices v and w of G' **are connected by** an edge if and only if v and w **are not connected** by an edge in G.

For example, consider the graph G



Then complement G' of G is the graph



Isomorphisms of Graphs

We know that shape or length of an edge and its position in space are not part of specification of a graph. For example, the figures



represent the same graph.

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Definition: Let G and H be graphs with vertex sets V(G) and v(H) and Edge sets E(G) and E(H) respectively. Then **G is said to isomorphic to H** iff there exist one-to-one correspondences $g : V(G) \rightarrow v(H)$ and $h : E(G) \rightarrow E(H)$ such that for all $v \in V(G)$ and $e \in E(G)$,

v is an endpoint of $e \Leftrightarrow g(v)$ is an endpoint of h(e).

Definition: The property of mapping endpoints to endpoints is called **preserving incidence** or **the**

continuity rule for graph mappings.

As a consequence of this property, a self-loop must map to a self-loop.

Thus, two isomorphic graphs are same except for the labeling of their vertices and edges.

Example: Show that the graphs



and



are isomorphic.

Solution: To solve this problem, we have to find g: $V(G) \rightarrow V(H)$ and h : E(G) \rightarrow E(H) such that for all $v \in V(G)$ and $e \in E(G)$,

v is an endpoint of $e \Leftrightarrow g(v)$ is an endpoint of h(e).

Since e_2 and e_3 are parallel (have the same endpoints), $h(e_2)$ and $h(e_3)$ must also be parallel. Thus we have

 $h(e_2) = f_1$ and $h(e_3) = f_2$ or $h(e_2) = f_2$ and $h(e_3) = f_1$.

Also the endpoints of e_2 and e_3 must correspond to the endpoints of f_1 and f_2

and so

 $g(v_3) = w_1$ and $g(v_4) = w_5$ or $g(v_3) = w_5$ and $g(v_4) = w_1$.

Further, we note that v₁ is the endpoint of four distinct edges e₁, e₇, e₅

and e_4 and so $g(v_1)$ should be the endpoint of form distinct edges. We observe that w_2 is the vertex having four edges and so $g(v_1) = w_2$. If $g(v_3) = w_1$, then since v_1 and v_3 are endpoints of e_1 in G, $g(v_1) = w_2$ and $g(v_3) = w_1$ must be endpoints of $h(e_1)$ in H. This implies that $h(e_1) = f_3$.

Continuing in this way we can find g and h to define the isomorphism between G and H.

One such pair of functions (of course there exist several) is shown below:





Remark: Each of the following properties is invariant under graph isomorphism, where n, m and h are all non-negative integers:

- 1. has n vertices
- 2. has m edges
- 3. has a vertex of degree k
- 4. has m vertices of degree k

Walks, Paths and Circuits

Definition: In a graph G, a walk from vertex v_0 to vertex v_n is a finite alternating sequence:

 $\{v_0,\,e_1,\,v_1,\,e_2,\ldots,v_{n-1},\,e_n,\,v_n\}$

of vertices and edges such that v_{i-1} and v_i are the endpoints of e_i .

The trivial walk from a vertex v to v consists of the single vertex v.

Definition: In a graph G, a **path** from the vertex v_0 to the vertex v_n is a walk from v_0 to v_n that does not contain a repeated edge.

Thus a **path** from v_0 to v_n is a walk of the form

 $\{v_0, e_1, v_1, e_2, v_2, \dots, v_{n-1}, e_n, v_n\},\$

where all the edges eI are distinct.

Definition: In a graph, a simple path from v_0 to v_n is a path that does not contain a repeated vertex.

Thus a simple path is a walk of the form

 $\{v_0, e_1, v_1, e_2, v_2, \dots, v_{i-1}, e_n, v_n\},\$

where all the e_i are distinct and all the v_i are distinct.

Definition: A walk in a graph G that starts and ends at the same vertex is called a **closed walk**.

Definition: A closed walk that does not contain a repeated edge is called a circuit.

Thus, closed a closed path is called a circuit (or a cycle) and so a circuit is a walk of the form

 $\{v_0, e_1, v_1, e_2, v_2, \ldots, v_{n-1}, e_n, v_n\}$,

where $v_0 = v_n$ and all the e_i are distinct.

Definition: In a graph the number of edges in the path $\{v_0, e_1, v_1, e_2, \ldots, e_n, v_n\}$ from v_0 to v_n is called the **length of the path**.

Theorem: If there is a path from vertex v_1 to v_2 in a graph with n vertices, then there does not exist a path of more than n-1 edges from vertex v_1 to v_2 .

Proof: Suppose there is a path from v_1 to v_2 . Let

 $v_1,\ldots\ldots,\!v_i,\ldots\ldots,\!v_2$

be the sequence of vertices which the path meets between the vertices v_1 and v_2 . Let there be m edges in the path. Then there will be m + 1 vertices in the sequence. Therefore if m > n-1, then there will be more than n vertices in the sequence. But the graph is with n vertices. Therefore some vertex, say v_k , appears more than once in the sequence. So the sequence of vertices shall be

 $v_1,\ldots,v_i,\ldots,v_k,\ldots,v_k,\ldots,v_2.$

Deleting the edges in the path that lead v_k back to v_k we have a path from v_1 to v_2 that has less edges than the original one. This argument is repeated untill we get a path that has n-1 or less edges.

CONNECTED AND DISCONNECTED GRAPHS :

Definition: Two vertices v_1 and v_2 of a graph G are said to be **connected** if and only if there is a walk from v_1 to v_2 .

Definition: A graph G is said to be **connected** if and only if given any two vertices v_1 and v_2 in G, there is a walk from v_1 to v_2 .

Thus, a graph G is connected if there exists a walk between every two

vertices in the graph.

Definition: A graph which is not connected is called Disconnected Graph.

Example: Which of the graph below are connected?

Definition: If a graph G is disconnected, then the various connected pieces of G are called the **connected components of the graph**.

Example: Consider the graph given below:



This graph is disconnected and have two connected components:

H₁:
$$v_1 \bullet \bullet v_2$$

 $e_2 \bullet e_3$
 $\bullet v_3$
H₂: $e_4 \bullet v_5$
 $v_4 \bullet e_6 \bullet v_6$ with vertex set { v_4, v_5, v_6 } and edge set { e_4, e_5, e_6 }.

Solution: The connected components are :



Example: Find the number of connected components in the graph



Eulerian Paths And Circuits

Definition: A path in a graph G is called an **Euler Path** if it includes every edge exactly once.

Definition: A graph is called Eulerian graph if there exists a Euler circuit for

that graph.

Definition: A circuit in a graph G is called an **Euler Circuit** if it includes every edge exactly once. Thus, an Euler circuit (Eulerian trail) for a graph G is a sequence of adjacent vertices and edges in G that starts and ends at the same vertex, uses every vertex of G at least once, and uses **every edge of G exactly once**.

Theorem 1. If a graph has an Euler circuit, then every vertex of the graph has

even degree.

Proof: Let G be a graph which has an Euler circuit. Let v be a vertex of G. We shall show that degree of v is even. By definition, Euler circuit contains every edge of graph G. Therefore the Euler circuit contains all edges incident on v. We start a journey beginning in the middle of one of the edges adjacent to the start of Euler circuit and continue around the Euler circuit to end in the middle of the starting edge. Since Euler circuit uses every edge exactly once, the edges incident on v occur



in entry / exist pair and hence the degree of v is a multiple of 2. Therefore the degree of v is even. This completes the proof of the theorem.

We know that contrapositive of a conditional statement is logically equivalent to statement. Thus the above theorem is equivalent to: **Theorem:2.** If a vertex of a graph is not of even degree, then it does not have an Euler circuit.

or

"If some vertex of a graph has odd degree, then that graph does not have an Euler circuit".

Example: Show that the graphs below do not have Euler circuits. (a)



(b)

Solution: In graph (a), degree of each vertex is 3. Hence this **does not** have a Euler circuit.

In graph (b), we have

```
deg(v_2) = 3deg(v_4) = 3
```

Since there are vertices of odd degree in the given graph, therefore it **does not** have an Euler circuit.

are graphs in which each vertex has degree 2 but these graphs do not have Euler circuits since there is no path which uses each vertex at least once.

Theorem 3. If G is a connected graph and every vertex of G has even degree, then G has an Euler circuit.

Proof: Let every vertex of a connected graph G has even degree. If G consists of a single vertex, the trivial walk from v to v is an Euler circuit. So suppose G consists of more than one vertices. We start from any verted v of G. Since the degree of each vertex of G is even, if we reach each vertex other than v by travelling on one edge, the same vertex can be reached by travelling on another previously unused edge. Thus a sequence of distinct adjacent edges can be produced indefinitely as long as v is not reached. Since number of edges of the graph is finite (by definition of graph), the sequence of distinct edges will terminate. Thus the sequence must return to the starting vertex. We thus obtain a sequence of adjacent vertices and edges starting and ending at v without repeating any edge. Thus we get a circuit C.

If C contains every edge and vertex of G, then C is an Eular circuit.

If C does not contain every edge and vertex of G, remove all edges of C from G and also any vertices that become isolated when the edges of C are removed. Let the resulting subgraph be G'. We note that when we removed edges of C, an even number of edges from each vertex have been removed. Thus degree of each remaining vertex remains even.

Further since G is connected, there must be at least one vertex common to both C and G'. Let it be w(in fact there are two such vertices). Pick any sequence of adjacent vertices and edges of G' starting and ending at w without repeating an edge. Let the resulting circuit be C'.

Join C and C' together to create a new circuit C". Now, we observe that if we start from v and follow C all the way to reach w and then follow C' all the way to reach back to w. Then continuing travelling along the untravelled edges of C, we reach v.



Theorem 5. If a graph G has more than two vertices of odd degree, then there can be no Euler path in G.

Proof : Let v_1 , v_2 and v_3 be vertices of odd degree. Since each of these vertices had odd degree, any possible Euler path must leave (arrive at) each of v_1 , v_2 , v_3 with no way to return (or leave). One vertex of these three vertices may be the

beginning of Euler path and another the end but this leaves the third vertex at one end of an untravelled edge. Thus there is no Euler path.



(Graphs having more than two vertices of odd degree).

Theorem 6. If G is a connected graph and has exactly two vertices of odd degree, then there is an Euler path in G. Further, any Euler path in G must begin at one vertex of odd degree and end at the other.

Proof: Let u and v be two vertices of odd degree in the given connected graph G.



If we add the edge e to G, we get a connected graph G' all of whose vertices have even degree. Hence there will be an Euler circuit in G'. If we omit e from Euler circuit, we get an Euler path beginning at u(or v) and edning at v(or u).

Examples. Has the graph given below an Eulerian path?



Solution: In the given graph,

$$deg(A) = 1$$
$$deg(B) = 2$$
$$deg(C) = 2$$
$$deg(D) = 3$$

Thus the given connected graph has exactly two vertices of odd degree. Hence, it has an Eulerian path.

If it starts from A(vertex of odd degree), then it ends at D(vertex of odd degree). If it starts from D(vertex of odd degree), then it ends at A(vertex of odd degree).

But on the other hand if we have the graph as given below :



then deg(A) = 1, deg(B) = 3 deg(C) = 1, degree of D = 3 and so we have four vertices of odd degree. Hence it does not have Euler path.

Example: Does the graph given below possess an Euler circuit?



Solution: The given graph is connected. Further

$$deg(v_1) = 3$$

 $deg(v_2) = 4$
 $deg(v_3) = 3$
 $deg(v_4) = 4$

Since this connected graph has vertices with odd degree, it cannot have Euler circuit. But this graph has Euler path, since it has exactly two vertices of odd degree. For example, $v_3 e_2 v_2 e_7 v_4 e_6 v_2 e_1 v_1 e_4 v_4 e_3 v_3 e_5 v_1$
Example: Consider the graph



Here, $deg(v_1) = 4$, $deg(v_2) = 4$, $deg(v_3) = 2$, $deg(v_4) = 2$. Thus degree of each vertex is even. But the graph is not Eulerian since it is **not connected**.

Example 4: The bridges of Konigsberg: The graph Theory began in 1736 when Leonhard Euler solved the problem of seven bridges on Pregel river in the town of Konigsberg in Prussia (now Kaliningrad in Russia). The two islands and seven bridges are shown below:



The people of Konigsgerg posed the following question to famous Swiss Mathematician Leonhard Euler:

"Beginning anywhere and ending any where, can a person walk through the town of Konigsberg crossing all the seven bridges exactly once?

Euler showed that such a walk is impossible. He replaced the islands A, B and the two sides (banks) C and D of the river by vertices and the bridges as edges of a graph. We note then that

$$deg(A) = 3$$
$$deg(B) = 5$$
$$deg(C) = 3$$
$$deg(D) = 3$$

Thus the graph of the problem is



(Euler's graphical representation of seven bridge problem)

The problem then reduces to

"Is there any Euler's path in the above diagram?".

To find the answer, we note that there are more than two vertices having odd degree. Hence there exist no Euler path for this graph.

Definition: An edge in a connected graph is called a **Bridge** or a **Cut Edge** if deleting that edge creates a disconnected graph.

In this graph, if we remove the edge e_3 , then the graph breaks into two Connected Component given below:



Hence the edge e_3 is a bridge in the given graph.

METHOD FOR FINDING EULER CIRCUIT

We know that if every vertex of a non empty connected graph has even degree, then the graph has an Euler circuit. We shall make use of this result to find an Euler path in a given graph.



We note that

 $deg(v_2) = deg(v_4) = deg(v_6) = deg(v_8) = 2$

 $deg(v_1) = deg(v_3) = deg(v_5) = deg(v_7) = 4$

Hence all vertices have even degree. Also the given graph is connected. Hence the given has an Euler circuit. We start from the vertex v_1 and let C be

$$C: v_1 v_2 v_3 v_1$$

Then C is not an Euler circuit for the given graph but C intersect the rest of the graph at v_1 and v_3 . Let C' be

$$C': v_1v_4 v_3 v_5 v_7 v_6 v_5 v_8 v_7 v_1$$

(In case we start from v_3 , then C' will be $v_3 v_4 v_1 v_7 v_6 v_5 v_7 v_8 v_5$) Path C' into C and obtain

Or we can write

```
C": e1e2 e3 e4 e5 e6 e7 e8 e9 e10 e11 e12
```

(If we had started from v_2 , then $C'' : v_1v_2 v_3 v_4 v_1 v_7 v_6 v_5 v_7 v_8 v_5 v_3 v_1$ or

```
e_1e_2 e_5 e_4 e_{12} e_8 e_9 e_7 e_{11} e_{10} e_6 e_3)
```

In C" all edges are covered exactly once. Also every vertex has been covered at least once. Hence C" is a Euler circuit.

PART - B

POSSIBLE QUESTIONS – SIX MARKS

- 1. Show that if a graph G(either connected (or) disconnected) has exactly two vertices of odd degree there is a path joining these two vertices.
- 2. In a (directed or undirected) graph with n vertices, if there is a path from vertex v_1 to vertex v_2 , then there is a path of no more than n-1 edges from vertex v_1 to vertex v_2 .
- 3. Show that a simple graph with n vertices and k-components can have at most $\frac{(n-k)(n-k+1)}{2}$
- 4. State and prove the Handshaking theorem.
- 5. Show that the sum of the degree of all vertices in a graph equal to twice in a number of edges incidence in G.
- 6. Show that if there is a (u, v)- walk in G, then there is also a (u, v)- path in G.
- 7.In a connected graph G with exactly 2k odd vertices, there exist k edge-disjoint subgraphs such that they together contain all edges of G and that each is a unicursal graph.
- 8. The number of vertices of odd degree in a graph is even.
- 9. Draw all possible simple graph of one, two, three, four, five vertices .
- 10. Prove that a connected graph is Euler graph iff it has even degree.

PART –C

POSSIBLE QUESTIONS – TEN MARKS

- 1. A non- empty connected graph G is Eulerian if and only if G is the union of some edges disjoint circuits.
- 2.Show that a connected graph G is an Euler graph if and only if the degree of every vertex in G is even.
- 3. A connected graph G is an Euler graph iff it can be decomposed into circuits.
- 4.If the intersection of two paths in a graph G disconnected then their union has atleast one circuit.



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SUBJECT: ADVANCED DISCRETE MATHEMATICS		SEMESTER: I	
			LTP C
SUBJECT CODE:19MMP105A	CLASS:I M.Sc (MATHEMATICS)		4004

UNIT V

Trees: Trees and its properties - minimally connected graph - Pendant vertices in a tree - distance and centers in a tree - rooted and binary tree. Levels in binary tree - height of a tree - Spanning trees - rank and nullity.

TEXT BOOKS

- 1. Tremblay J. P. and Manohar, R., (1997). Discrete Mathematical Structures with Applications to Computer Science, McGraw-Hill Book Co.(for unit I,II,III).
- 2. Deo N., (2000). Graph Theory with Applications to Engineering and Computer Sciences, Prentice Hall of India. (for unit IV,V)

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- 1. Liu C.L., (2000). Elements of Discrete Mathematics, McGraw-Hill Publishing Company Ltd, New Delhi.
- 2. Wiitala S., (2003),Discrete Mathematics- A Unified Approach, McGraw-Hill Book Co, New Delhi.
- 3. Seymour Lepschutz, (2007) ,Discrete Mathematics, Schaum Series, McGraw-Hill Publishing Company Ltd, New Delhi.
- 4..Advance Discrete Mathematics Paperback 2011 by G.C.Sharma (Author), Madhu Jain (Author) Publisher: Laxmi Publications; Second edition (2011)

Introduction:

The graphs that we come across in most of the applications are connected. Among the connected graphs, trees are probably the most important ones. In this chapter ,We shall study trees and its properties. The relationships among circuits, trees and other associated concepts in a graph are also explored.

TREES:

Definition:

A connected graph without any circuits is called a Tree.

Example: Trees with one ,two three and four vertices are shown below



(Figure 5.1)

Since parallel edges and self – loops both form circuits, a tree can not have parallel edges and elf loops. Thus a tree has to be a simple graph.

Theorem 5.1 :

A graph G is a tree iff there is one and only one path between any two vertices of G.

Proof:

First suppose that the graph G is a tree. Then by definition of a tree ,G is a connected graph. Therefore ,there must exist atleast one path between any two vertices in G. Now suppose that there are two distinct paths between vertices a and b of G. Then the union of these two paths will contain a circuit and G can not be a tree. Thus there is one and only one path between any two vertices of G.

Conversely, suppose that there is one and only path between any two vertices of G. We shall show G is a tree. Since there exists a path between any two vertices of G, therefore G is connected. A circuit in a graph with two or more vertices implies that there exists a pair of vertices a, b such that there are two distinct paths between a and b. Since G has one and only one path between any two vertices, G can have no circuits. Thus G is a tree.

Theorem 5.2:

A tree with n vertices has n-1 edges.

Proof:

We shall prove the theorem by induction on the number of vertices .Clearly, the theorem is true for trees with one or two vertices(see Fig.5.1).Assume that the theorem is true for all trees with fewer than n vertices.

Let us consider a tree G with n vertices .Let e_k be any edge in G with end vertices v_i and $v_j.$

According to theorem 1 above , the edge e_k is the only path between v_i and v_j . Hence deletion of e_k from G will disconnect the graph. Thus G- e_k is not connected. Further ,G- e_k will contain exactly two components ,for otherwise the graph G will not be connected. Let these two components of G- e_k be G₁ and G₂ respectively. Since $n_1 < n$ and $n_2 < n$, we have by the induction hypothesis

Number of edges in $G_1 = n_2 - 1$

and

Number of edges in $G_2 = n_2 - 1$

Thus , number of edges in G – e_k is equal to $(n_1 - 1) + (n_2 - 1) = (n_2 + n_2) - 2 = n - 2$. Hence G has exactly n-1 edges. Theorem 5.3:

Every connected graph with n vertices and n-1 edges is a tree. Proof:

Let G be a connected graph with n vertices and n-1 edges. The theorems will be proved if we show that G has no circuit. Suppose that G contains atleast one circuit. Since removing an edge from a circuit does not disconnect a graph, we may remove edges, but no vertices from circuits in G until the resulting graph G^{*} is a circuit free.

Now G^* is a connected graph with n vertices and contains no circuit .Thus G^* is a tree with n vertices .Hence G^* has n-1 edges (by theorem 2).But now the graph G has more than n-1 edges, a contradiction.

Hence G has no circuit. This completes the proof.

Theorem 5.4: A graph G with n vertices ,n-1 edges and no circuit is tree. Proof:

Let G be a graph with n vertices , n -1 edges and has no circuit. It wii be a tree if we show that it is connected .If possible, suppose that G is disconnected. Then G will consist of two or more circuitless components.Without loss of generality let G consist of two components G_1 and G_2 .

we add an edge e between a vertex v_1 in G_1 and v_2 in G_2 . Since v_1 and v_2 are in different components of G, there is no path between v_1 and v_2 in G.Thus addition of edge e will not create a circuit.Thus GU e is a circuitless,connected graph (and therefore a tree)of n vertices and n edges,which is not possible because of theorem 2.This completes the proof. Definition: A collection of disjoint trees is called a forest.

Thus a graph is a forest if and only if it is circuit free.

Definition: A vertex of degree 1 in a tree is called a **leaf** or a **terminal node** or a **terminal vertex**.

Definition: A vertex of degree greater than 1 in a tree is called a **Branch node** or **Internal node** or **Internal vertex**.

Consider the tree shown below:



In this tree the vertices b, c, d, f, g, and i are leaves whereas the vertices a, e, h are branch nodes.

CHARACTERIZATION OF TREES

We have the following interesting characterization of trees:

Lemma 1: A tree that has more than one vertex has at least one vertex of degree 1.

Proof: Let T be a particular but arbitrary chosen tree having more than one vertex.



1. Choose a vertex v of T. Since T is connected and has at least two vertices, v is not isolated and there is an edge e incident on v.

2. If deg (v) > 1, there is an edge $e' \neq e$ because there are, in such a case, at least two edges incident on v. Let v' be the vertex at the other end of e'. This is possible because e' is not a loop by the definition of a tree.

3. If deg(v') > 1, then there are at least two edges incident on v'. Let e'' be the other edge different from e' and v'' be the vertex at other end of e''. This is again possible because T is acyclic.

4. If deg(v'') > 1, repeat the above process. Since the number of vertices of a tree is finite and T is circuit free, the process must terminate and we shall arrive at a vertex of degree 1.

Remark: In the proof of the above lemma, after finding a vertex of degree 1, if we return to v and move along a path outward from v starting with e, we shall reach to a vertex of degree 1 again. Thus it follows that **"Any tree that has more than one vertex has at least two vertices of degree 1".** Lemma 2: There is a unique path between every two vertices in a tree.

Proof: Suppose on the contrary that there are more than one path between any two vertices in a given tree T. Then T has a cycle which contradicts the definition of a tree because T is acyclic. Hence the lemma is proved.

Lemma 3: The number of vertices is one more than the number of edges in a tree.

Or

For any positive integer n, a tree with n vertices has n-1 edges.

Proof: We shall prove the lemma by mathematical induction.

Let T be a tree with one vertex. Then T has no edges, that is, T has 0 edge. But 0 = 1 - 1. Hence the lemma is true for n = 1.

Suppose that the lemma is true for k > 1. We shall show that it is then true for k + 1 also. Since the lemma is true for k, the tree has k vertices and k-1 edges. Let T be a tree with k + 1 vertices. Since k is +ve, $k+1 \ge 2$ and so T has more than one vertex. Hence, by Lemma 1, T has a vertex v of degree 1. Also there is another vertex w and so there is an edge e connecting v and w. Define a subgraph T' of T so that

$$V(T') = V(T) - \{v\}$$

 $E(T') = E(T) - \{e\}$

Then number of vertices in T' = (k+1) - 1 = k and since T is circuit free and T' has been obtained on removing one edge and one vertex, it follows that T' is acyclic. Also T' is connected. Hence T' is a tree having k vertices and therefore by induction hypothesis, the number of edges in T' is k-1. But then

No. of edges in T = number of edges in T' + 1

$$= k - 1 + 1 = k$$

Thus the Lemma is true for tree having k + 1 vertices. Hence the lemma is true by mathematical induction.

Corollary 1. Let C(G) denote the number of components of a graph. Then a forest G on n vertices has n - C(G) edges.

Proof: Apply Lemma 3 to each component of the forest G.

Corollary 2. Any graph G on n vertices has at least n – C(G) edges.

Proof: If G has cycle-edges, remove them one at a time until the resulting graph G* is acyclic. Then G* has $n - C(G^*)$ edges by corollary 1. Since we have removed only circuit, $C(G^*) = C(G)$. Thus G* has n - C(G) edges. Hence G has at least n - C(G) edges.

Lemma 4: A graph in which there is a unique path between every pair of vertices is a tree

(This lemma is converse of Lemma 2).

Proof: Since there is a path between every pair of points, therefore the graph is connected. Since a path between every pair of points is unique, there does not exist any circuit because existence of circuit implies existence of distinct paths

between pair of vertices. Thus the graph is connected and acyclic and so is a tree.

Lemma 5. (converse of Lemma 3) A connected graph G with e = v - 1 is a tree

Proof: The given graph is connected and

$$e = v - 1$$
.

To prove that G is a tree, it is sufficient to show that G is acyclic. Suppose on the contrary that G has a cycle. Let m be the number of vertices in this cycle. Also, we know that **number of edges in a cycle is equal to number of vertices in that cycle**. Therefore number of edges in the present case is m. Since the graph is connected, every vertex of the graph which is not in cycle must be connected to the vertices in the cycle.



Now each edge of the graph that is not in the cycle can connect only one vertex to the vertices in the cycle. There are v-m vertices that are not in the cycle. So the graph must contain at least v - m edges that are not in the cycle. Thus we have

 $e \geq v - m + m = v$,

which is a contradiction to our hypothesis. Hence there is no cycle and so the graph in a tree.

ROOTED AND BINARY TREE :

Definition: A directed tree is called a **rooted tree** if there is exactly one vertex whose incoming degree is 0 and the incoming degrees of all other vertices are 1.

The vertex with incoming degree 0 is called the **root** of the rooted tree. A tree T with root v_0 will be denoted by (T, v_0) .

Definition: In a rooted tree, a vertex, whose outgoing degree is 0 is called a **leaf** or **terminal node**, whereas a vertex whose outgoing degree is non - zero is called a **branch node** or an **internal node**.

Definition: Let u be a branch node in a rooted tree. Then a vertex v is said to be **child** (son or offspring) of u if there is an edge from u to v. In this case u is called **parent** (father) of v.

Definition: Two vertices in a rooted tree are said to be siblings (brothers) if they are both children of same parent.

Definition: A vertex v is said to be a **descendent** of a vertex u if there is a unique directed path from u to v.

In this case u is called the ancestor of v.

Definition: The level (or path length) of a vertex u in a rooted tree is the number of edges along the unique path between u and the root.

Definition: The **height** of a rooted tree is the maximum level to any vertex of the tree.

As an example of these terms consider the rooted tree shown below:



Here y is a child of x; x is the parent of y and z. Thus y and z are siblings. The descendents of u are v, w, t and s. Levels of vertices are shown in the figure. The height of this rooted tree is 3.

Definition: Let u be a branch node in the tree T = (V, E). Then the subgraph T' = (V', E') of T such that the vertices set V' contains u and all of its descendents and E' contains all the edges in all directed paths emerging from u is called a **subtree** with u as the root.

Theorem: If T is a full binary tree with i internal vertices, then T has i+1 terminal vertices (leaves) and 2i+1 total vertices.

Proof: The vertices of T consists of the vertices that are children (of some parent) and the vertices that are not children (of any parent). There is nonchild – the root, Since there are i internal vertices, each having two children, there are 2i children. Thus the total number of vertices of T is 2i+1 and the number of terminal vertices is

$$(2i + 1) - i = i + 1$$

This completes the proof.

In the context of above example, we have

No. of leaves = p = i + 1

Or

i = p - 1

Remark: In case of full n-ary tree, if i denotes the number of branch nodes, then total number of vertices of T is ni + 1 and the number of terminal vertices is

ni + 1 - i = i(n - 1) + 1

If p is the number of terminal vertices, then

or

(n-1)i = p-1

p = i(n - 1) + 1

SPANNING TREE:

Definition: A spanning tree for a graph G is a subgraph of G that contains every vertex of G and is a tree.

Or

"A spanning tree for a graph G is a spanning subgroup of G which is a tree".

Example: Determine a tree and a spanning tree for the connected graph given below:



Solution: The given graph G contains circuits and we know that removal of the circuits gives a tree. So, we note that the figure below is a tree.



And the figure below is a spanning tree of the graph G.



Example: Find all spanning trees for the graph G shown below:



Solution: The given graph G has a circuit $v_1 v_2 v_3 v_1$. We know that removal of any edge of the circuit gives a tree. So the spanning trees of G are





Remark: We know that a tree with n vertices has exactly n - 1 edges. Therefore if G is a connected graph with n vertices and m edges, a spanning tree of G must have n - 1 edges. Hence the number of edges that must be removed before a spanning tree is obtained must be

$$m - (n - 1) = m - n + 1.$$

For Illustration, in the above example, n = 6, m = 6, so, we had to remove one edge to obtain a spanning tree.

Theorem: A graph G has a spanning tree if and only if G is connected.

Proof: Suppose first that a graph G has a spanning tree T. If v and w are vertices of G, then they are also vertices in T and since T is a tree there is a

path from v to w in T. This path is also a path in G. Thus every two vertices are connected in G. Hence G is connected.

Conversely, suppose that G is connected. If G is acyclic, then G is its own spanning tree and we are done. So suppose that G contains a cycle C_1 . If we remove an edge from the cycle, the subgraph of G so obtained is also connected. If it is acyclic, then it is a spanning tree and we are done. If not, it

has at least one circuit, say C_2 . Removing one edge from C_2 , we get a subgraph of G which is connected. Continuing in this way, we obtain a connected circuit free subgraph T of G. Since T contains all vertices of G, it is a spanning tree of G.

Cayley's Formula : The number of spanning trees of the complete graph K_n , $n \ge 2$ is n^{n-2} .

(Proof of this formula is out of scope of this book)

Example: Find all the spanning trees of K₄.

Solution: According to Cayley's formula, K_4 has $4^{4\cdot 2} = 4^2 = 16$ different spanning trees.



Here n = 4, so the number of edges in any tree should be n - 1 = 4 - 1 = 3. But here number of edges is equal to 6. So to get a tree, we have to remove three edges of K₄. The 16 spanning trees so obtained are shown below:





Minimal Spanning Tree

Definition : Let G be a weighted graph. A spanning tree of G with minimum weight is called **minimal spanning tree of G**.

Minimally connected graph :

A connected graph G is said to be minimally connected if removal of any edge from G disconnected the graph G.

Theorem :

A graph G is a tree iff it is minimally connected .

Proof:

Suppose that G is a tree.

We show G is minimally connected. Since G is a tree, it is connected .if G is not minimally connected then there must exist an edge e in G such that G-e is connected .

Therefore, e is an some circuit , which implies that G is not a tree, a contradiction. Thus G is minimally connected .

Conversely, suppose that G is a minimally connected graph. Then G is connected and cannot have a circuit; otherwise, we could remove one of the edge in the circuit and still leave the graph connected. Thus a minimally connected graph is a tree.

Minimum number of pendent vertices in a tree.

Recall that a pendent vertex in a graph is that vertex whose degree is one .In general, trees have several pendent vertices. The minimum number of pendent vertices in tree is given by the following theorem .

Theorem

In any tree (with two or more vertices) there are atleast two pendent vertices .

Proof:

Let G be any tree having n vertices. Then G has n-1 edges. since each edge contributes two degrees, the sum of the degrees of all vertices in G is 2(n-1).

Now 2(n-1) degrees are to be divided amoung n vertices in G.

Let the number of vertices of degree one in G be x.

Since no vertex in a tree can be of zero degree, we have

$$\frac{2(n-1)-x}{n-x} \ge 2$$
$$\rightarrow x \ge 2$$

Thus, we must atleast two vertices of degree one is tree.

Distance and centre in a tree:

Let G be a connected graph. We know that the distance between two vertices v_1 and v_2 , denoted by $d(v_1, v_2)$, is the **length of the shortest path**.

Definition: The **diameter** of a connected graph G, denoted by diam (G), is the maximum distance between any two vertices in G.

For example, in graph G shown below, we have



d(a, e) = 3, d(a, c) = 2, d(b, e) = 2 and diam (G) = 3.

Definition: A vertex in a connected graph G is called a **cut point** if G - v is disconnected, where G - v is the graph obtained from G by deleting v and all edges containing v.

For example, in the above graph, d is a cut point.

Definition: An edge e of a connected graph G is called a **bridge** (or cut edge) if G - e is disconnected, where G - e is the graph obtained by deleting the edge e.

For example, consider the graph G shown below :



We observe that $G - e_3$ is disconnected. Hence the edge e_3 is a bridge.

Definition: A minimal set C of edges in a connected graph G is said to be a cut set (or minimal edge – cut) if the subgraph G - C has more connected components than G has.

For example, in the above graph, if we delete the edge $(b, d) = e_3$, the resulting subgraph $G - e_3$ is as shown below :



Thus G - e3 has two connected components



So, in this example, the cut set consists of single edge $(b, d) = e_3$, which is called edge or bridge.

Theorem: Let G be a connected graph with n vertices. Then G is a tree if and only if every edge of G is a bridge (cut edge).

(This theorem asserts that every edge in a tree is a bridge).

Proof: Let G be a tree. Then it is connected and has n - 1 edges (proved already). Let e be an arbitrary edge of G. Since G - e has n - 2 edges, and also we know that a graph G with n vertices has at least n - c(G) edges, it follows that $n - 2 \ge n - c(G - e)$. Thus G - e has at least two components. Thus removal of the edge e created more components than in the graph G. Hence e is a cut edge. This proves that every edge in a tree is a bridge.

Conversely, suppose that G is connected and every edge of G is a bridge. We have to show that G is a tree. To prove it, we have only to show that G is circuit – free. Suppose on the contrary that there exists a cycle between two points x and y in G. Then any edge on this cycle is



not a cut edge which contradicts the fact that every edge of G is a cut edge. Hence G has no cycle. Thus G is connected and acyclic and so is a tree.

Rank and Nullity:

Consider a graph G with n vertices , e edges and k components .The rank of graph G is defined as

Rank r = n-k

And the nullity of the graph G is defined as

Nullity μ =e-n+k

=e-r

We note that

Rank +nullity = no. of edges in a graph

The nullity of a graph is also called cyclomalic number or first Betti number.

If a graph G is connected then k=1 and therefore rank of a connected graph is n-1 and the nullity is e-n+1.

It follows from the definition of spanning tree that

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Rank of a connected graph G = number of branches in any spanning tree of G
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Nullity of connected graph G = number of chords in G

POSSIBLE QUESTIONS (SIX MARKS)

- 1. Show that a tree with n-vertices has (n-1) edges.
- 2. The number of pendent vertices (leaf) of a tree is equal to $\frac{n+1}{2}$
- 3. Show that every connected graph with n-vertices has (n-1) edges is a tree.
- 4.Show that a graph G is a tree if and only if it is minimally connected.

5. Show that an arborescence is a tree in which every vertex other than the root has an indegree of exactly one.

- 6. Show that a tree with n-vertices has (n-1) edges.
- 7.Define Centre and Eccentricity of vertex with example.
- 8. Show that a graph G is a tree if and only if there is one and only one path between any 2 vertices of G
- 9.Explain the properties of binary tree
- **10.**Prove that in a tree, any two vertices are connected by exactly one path.
- 11.Show that every tree has one (or) two centre's.

POSSIBLE QUESTIONS (TEN MARKS)

- 1. In any tree (with two or more vertices), there are atleast two pendant vertices.
- 2. Prove that the number of labeled trees on 'n' vertices is n^{n-2} .
- 3.Show that the minimum height of a n-vertex binary tree is equal to $[\log_2(n+1)-1]$.
- 4.Show that in any tree with two (or) more vertices there are at least two pendent vertex
- 5.Show that every tree with two or more vertices is 2 chromatic.