## **MICROWAVE ENGINEERING**

## 15BEEC601

## **OBJECTIVES**

- To study passive microwave components and their S-Parameters.
- To study Microwave semiconductor devices & applications.
- To study Microwave sources and amplifiers.

## **INTENDED OUTCOMES:**

- Gain Knowledge in passive microwave components and their S-Parameters.
- Gain Knowledge in Microwave semiconductor devices & applications.
- Gain Knowledge in Microwave sources and amplifiers.

## UNIT-I INTRODUCTION

Microwave Frequencies, Microwave Devices, Microwave Systems, Microwave Units of Measure, Microwave Hybrid Circuits, Waveguide Tees, Magic Tees (Hybrid Trees), Hybrid Rings (Rat-Race Circuits), Waveguide Corners, Bends and Twists, Directional Couplers, Two-Hole Directional Couplers, Z & ABCD Parameters-Introduction to S parameters, S Matrix of a Directional Coupler, Hybrid Couplers, Circulators and Isolators, Microwave Circulators, Microwave Isolators.

# UNIT-II TRANSFERRED ELECTRON DEVICES (TEDs) and AVALANCHE TRANSIT-TIME DEVICES

Introduction, Gunn-Effect Diodes – GaAs Diode, Background, Gunn Effect, Ridely-Watkins-Hilsun (RWH) Theory, Differential Negative Resistance, Two-Valley Model Theory, High-Field Domain, Modes of Operation, LSA Diodes, InP Diodes, CdTe Diodes, Microwave Generation and Amplification, Microwave Generation, Microwave Amplification, Avalanche Transit-Time Devices, Introduction, Read Diode, Physical Description, Avalanche Multiplication, Carrier Current  $I_o(t)$  and External Current  $I_e(t)$ , Output Power and Quality Factor, IMPATT Diodes, Physical Structures, Negative Resistance, Power Output and Efficiency, TRAPATT Diodes, Physical Structures, Principles of Operation, Power Output and Efficiency, BARITT Diodes, Physical Description, Principles of Operation, Microwave Performance, Parametric Devices, Physical Structures, Nonlinear Reactance and Manley – Rowe Power Relations, Parametric Amplifiers, Applications.

# UNIT-III MICROWAVE LINEAR-BEAM TUBES (O TYPE) AND MICROWAVE CROSSED-FIELD TUBES (M-TYPE)

Klystrons, Reentrant Cavities, Velocity-Modulation Process, Bunching Process, Output Power and Beam Loading, State of the Art, Multicavity Klystron Amplifiers, Beam-Current Density, Output Current Output Power of Two-Cavity Klystron, Output Power of Four-Cavity Klystron, Reflex Klystrons, Velocity Modulation, Power Output and Efficiency, Electronic Admittance, Helix Traveling-Wave Tubes (TWTs), Slow-Wave structures, Amplification Process, Convection Current, Axial Electric Field, Wave Modes, Gain Consideration, Microwave Crossed-Field Tubes, Magnetron Oscillators, Cylindrical Magnetron, Coaxial Magnetron, Tunable Magnetron, Ricke diagram.

## UNIT-IV STRIP LINES AND MONOLITHIC MICROWAVE INTEGRATED CIRCUITS

Introduction, Microstrip Lines, Characteristic Impedance of Microstrip Lines, Losses in Microstrip Lines, Quality Factor Q of Microstrip Lines, Parallel Strip Lines, Distributed Lines, Characteristic Impedance,

Attenuation Losses, Coplanar Strip Lines, Shielded Strip Lines, References, Problems, Monolithic Microwave Integrated Circuits, Introduction, Materials, Substrate Materials, Conductor Materials, Dielectric Materials, Resistive Materials, Monolithic Microwave Integrated-Circuit Growth, MMIC Fabrication Techniques, Fabrication Example.

## UNIT-V MICROWAVE MEASUREMENTS

Slotted line VSWR measurement, VSWR through return loss measurements, power measurement, impedance measurement insertion loss and attenuation measurements- measurement of scattering parameters – Measurement of 1 dB, dielectric constant measurement of a solid using waveguide.

## **TEXT BOOKS:**

S.NO.	Author(s) Name	Title of the book	Publisher	Year of the publication
1.	Samuel.Y.Liao	Microwave Devices and Circuits	Prentice Hall of India	2003
2.	Annapurna Das and Sisir K.Das	Microwave Engineering	Tata McGraw-Hill	2000
3.	Annapurna Das and Sisir K.Das	Microwave Engineering	Tata McGraw-Hill	2015

## **REFERENCES:**

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1.	Collin.R.E	Foundations for Microwave Engineering	IEEE Press, New Delhi	2002
2.	David M.Pozar	Microwave Engineering	John Wiley & Sons, New York	2003
3.	Rizzi.P.A	Microwave Engineering	PHI, New Delhi	2000
4.	David M.Pozar	Microwave Engineering	John Wiley & Sons, New York	2011

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KARPAGAM ACADEMY OF HIGHER EDUCATION (Deemed to be University Established Under Section 3 of UGC Act 1956) Pollachi Main Road, Eachanari Post, Coimbatore – 641 021 FACULTY OF ENGINEERING LECTURE PLAN

Name of the staff	: P.SASIKALA
Subject Name	: Microwave Engineering

Class	: IV B.E ECE
Subject Code	: 14BEEC704

S.No	TOPICS TO BE COVERED	Teaching Aids	Time
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	Microwave Systems.		
	Microwave Units of Measure		
2.	Microwave Hybrid Circuits,	T(1)-page No.22-23	1
	Waveguide Tees, Magic Tees (Hybrid Trees)		
3.	Hybrid Rings (Rat-Race Circuits)	R(1)-page No.1.27-1.29	1
4.	Waveguide - Corners, Bends and Twists	R(1)-page No.1.30-1.32	1
5.	Directional Couplers,	T(1)-page No.167-170	1
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	S Matrix of a Directional Coupler		
6.	Z & ABCD Parameters- Introduction to S parameters	R(1)-page No.1.10-1.14	2
7.	Hybrid Couplers	R(1)-page No.1.16-1.74	1
8.	Circulators and Isolators,	T(1)-page No.172-179	1
	Microwave Circulators, Microwave Isolators.		
9.	Tutorial		1
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	Ridely-Watkins-Hilsun (RWH) Theory,		
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	Two-Valley Model Theory		
11	High-Field Domain,	T(1)-page No. 298- 302	1
	Modes of Operation	T(1)-page No. 302-308	
12	LSA Diodes, InP Diodes, CdTe Diodes	T(1)-page No.306-314	1
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## **TEXT BOOKS:**

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1.	Samuel.Y.Liao	Microwave Devices and Circuits	Prentice Hall of India	2003
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# **MICROWAVE ENGINEERING**

# 15BEEC601

# **LECTURE NOTES**

# Mrs.P.SASIKALA

# DEPARTMENT OF ELECRONICS AND COMMUNICATION ENGINEERING FACULTY OF ENGINEERING KARPAGAM ACADEMY OF HIGHER EDUCATION COIMBATORE – 21.

#### 13BEEC705 AIM

To enable the student to become familiar with active & passive microwave devices & components used in Microwave communication systems.

## **OBJECTIVES**

- To study passive microwave components and their S- Parameters.
- To study Microwave semiconductor devices & applications.
- To study Microwave sources and amplifiers.

## UNIT-I INTRODUCTION

Microwave Frequencies, Microwave Devices, Microwave Systems, Microwave Units of Measure, Microwave Hybrid Circuits, Waveguide Tees, Magic Tees (Hybrid Trees), Hybrid Rings (Rat-Race Circuits), Waveguide Corners, Bends and Twists, Directional Couplers, Two-Hole Directional Couplers, Z & ABCD Parameters- Introduction to S parameters, S Matrix of a Directional Coupler, Hybrid Couplers, Circulators and Isolators, Microwave Circulators, Microwave Isolators.

## UNIT-II TRANSFERRED ELECTRON DEVICES (TEDs) AND AVALANCHE TRANSIT-TIMEDEVICE

Introduction, Gunn-Effect Diodes – GaAs Diode, Background, Gunn Effect, Ridely-Watkins-Hilsun (RWH) Theory, Differential Negative Resistance, Two-Valley Model Theory, High-Field Domain, Modes of Operation, LSA Diodes, InP Diodes, CdTe Diodes, Microwave Generation and Amplification, Microwave Generation, Microwave Amplification, Avalanche Transit-Time Devices, Introduction, Read Diode, Physical Description, Avalanche Multiplication, Carrier Current  $I_o(t)$  and External Current  $I_e(t)$ , Output Power and Quality Factor, IMPATT Diodes, Physical Structures, Negative Resistance, Power Output and Efficiency, TRAPATT Diodes, Physical Structures, Principles of Operation, Power Output and Efficiency, BARITT Diodes, Physical Description, Principles of Operation, Microwave Performance, Parametric Devices, Physical Structures, Nonlinear Reactance and Manley – Rowe Power Relations, Parametric Amplifiers, Applications.

## UNIT-III MICROWAVE LINEAR-BEAM TUBES (O TYPE) AND MICROWAVE CROSSED-FIELD TUBES (M-TYPE)

Klystrons, Reentrant Cavities, Velocity-Modulation Process, Bunching Process, Output Power and Beam Loading, State of the Art, Multicavity Klystron Amplifiers, Beam-Current Density, Output Current Output Power of Two-Cavity Klystron, Output Power of Four-Cavity Klystron, Reflex Klystrons, Velocity Modulation, Power Output and Efficiency, Electronic Admittance, Helix Traveling-Wave Tubes (TWTs), Slow-Wave structures, Amplification Process, Convection Current, Axial Electric Field, Wave Modes, Gain Consideration, Microwave Crossed-Field Tubes , Magnetron Oscillators, Cylindrical Magnetron, Coaxial Magnetron, Tunable Magnetron, Ricke diagram.

## UNIT-IV STRIP LINES AND MONOLITHIC MICROWAVE INTEGRATED CIRCUITS

Introduction, Microstrip Lines, Characteristic Impedance of Microstrip Lines, Losses in Microstrip Lines, Quality Factor Q of Microstrip Lines, Parallel Strip Lines, Distributed Lines, Characteristic Impedance, Attenuation Losses, Coplanar Strip Lines, Shielded Strip Lines, References, Problems, Monolithic Microwave Integrated Circuits, Introduction, Materials, Substrate Materials, Conductor Materials, Dielectric Materials, Resistive Materials, Monolithic Microwave Integrated-Circuit Growth, MMIC Fabrication Techniques, Fabrication Example.

## UNIT-V MICROWAVE MEASUREMENTS

Slotted line VSWR measurement, VSWR through return loss measurements, power measurement, impedance measurement insertion loss and attenuation measurements- measurement of scattering parameters – Measurement of 1 dB, dielectric constant measurement of a solid using waveguide.

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## UNIT-I Microwave Devices

#### **1.1. MICROWAVE FREQUENCIES**

The microwave range includes ultra-high frequency (UHF) (0.3–3 GHz), super high frequency (SHF) (3–30 GHz), and extremely high frequency (EHF) (30–300 GHz) signals.

Above 300 GHz, the absorption of electromagnetic radiation by Earth's atmosphere is so great that it is effectively opaque, until the atmosphere becomes transparent again in the so-called infrared and optical window frequency ranges.

#### **1.2. MICROWAVE DEVICES**

Vacuum tube based devices operate on the ballistic motion of electrons in a vacuum under t he influence of controlling electric or magnetic fields, and include the magnetron, klystron, travelling wave tube (TWT), and gyrotron. These devices work in the density modulated mode, rather than the current modulated mode. This means that they work on the basis of clumps of electrons flying ballistically through them, rather than using a continuous stream.

A maser is a device similar to a laser, except that it works at microwave frequencies. Solid-state sources include the field-effect transistor, at least at lower frequencies, tunnel diodes and Gunn diodes

#### **1.3. MICROWAVE SYSTEMS**

A microwave system normally consists of a transmitter subsystem, including a microwave oscillator, waveguides, and a transmitting antenna, and a receiver sub system that includes a receiving antenna, transmission line or waveguide, a microwave amplifier, and a receiver. Figure shows a typical microwave system. In order to design a microwave system and conduct a proper test of it, an adequate knowledge of the components involved is essential.



#### **1.4. MICROWAVE UNITS OF MEASURE**

Microwave measures can be expressed in different units, such as the CGS (centimeter-gramsecond) unit, MKS (meter-kilogram-second) unit, or another unit. Themeter-kilogram-second units (the International System of Units) are used throughoutunless otherwise indicated.

MKS U	NITS
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Capacitance	farad = coulomb per volt	F
Charge	coulomb: A - s	Q
Conductance	mhos	u
Current	ampere = coulomb per second	А
Energy	joule	J
Field	volt per meter	Е
Flux linkage	weber = volt $\cdot$ second	φ
Frequency	cycle per second	Hz
Inductance	henry = $(V - s)/A$	Н
Length	meter	m
Power	watt = joule per second	W
Resistance	ohm	
Time	second	S
Velocity	meter per second	v

#### **1.5. MICROWAVE HYBRID CIRCUITS**

Scattering is an idea taken from billiards, or pool. One takes a cue ball and fires it up the table at a collection of other balls. After the impact, the energy and momentum in the cue ball is divided between all the balls involved in the impact. The cue ball "scatters" the stationary target balls and in turn is deflected or "scattered" by them.

Formally, s-parameters can be defined for any collection of linear electronic components, whether or not the wave view of the power flow in the circuit is necessary. They are algebraically related to the impedance parameters (z-parameters), also to the admittance parameters (y-parameters) and to a notional characteristic impedance of the transmission lines.





the matrix equations for a 2-port are

b1 = s11 a1 + s12 a2b2 = s21 a1 + s22 a2

## **1.5.1 WAVEGUIDE TEE**

A waveguide or coaxial-line junction with three independent ports Matrix of third order, containing nine elements, six of which should be independent. The characteristics of a three port junction can be explained by three theorems of the tee junction. These theorems are derived from the equivalent-circuit representation of the tee junction.

The two basic types are

1)E-plane Tee

2)H-plane Tee

### **E-plane** Tee

A waveguide tee in which the axis of its side arm is parallel to the E-field of the main guide



If the collinear arms are symmetric about the side arm, there are two different transmission characteristics.



Two way Transmission of E-plane tee

- a) i/p-main arm
- b) i/p-side arm

If E-plane tee is perfectly matched with the aid of screw tuners or inductive or capacitive windows at the junction, the diagonal components of the S-matrix, S11, S22 and S33 are zero because there will be no reflection. When the waves are fed into the side arm (port 3), the waves appearing at port1 and port2 of the collinear arm will be in the opposite phase and in the same magnitude. Therefore, S13 = -S23 (both have opposite signs)

#### **H-PLANE TEE**

A waveguide tee in which the axis of its side arm is "shunting" the E-field or parallel to the H-field of the main guide.



If two input waves are fed into port 1 and port 2 of the collinear arm, the output wave at port 3 will be in phase and additive.

If the input is fed into port 3, the wave will split equally into port 1 and port 2 in phase and in the same magnitude.

Therefore the S matrix of H-plane tee is similar to E-plane tee except S13 = S23

## **1.5.2 MAGIC TEE (HYBRID TEES)**

Combination of E-plane tee and H-plane tee.



- 1. If two waves of equal magnitude and the same phase are fed into port 1 and port 2, the output will be zero at port 3 and additive at port 4
- 2. If a wave is fed into port 4 (H arm), it will be divided equally between port 1 and port 2 of the collinear arms and will not appear at port 3 (E arm).
- 3. If a wave is fed into port 3 (E arm), it will produce an output of equal magnitude and opposite phase at port 1 and port 2. Output at port 4 is zero i.e S43 = S34 = 0.
- 4. If a wave is fed into one of the collinear arms at port 1 or port 2, it will not appear in the other collinear arm at port 2 or port 1 be0cause the E arm causes a phase delay while the H arm causes the phase advance. i.e S12 = S21 = 0.
- 5. S matrix of magic tee is

$$\mathbf{S} = \begin{bmatrix} 0 & 0 & S_{13} & S_{14} \\ 0 & 0 & S_{13} & S_{24} \\ S_{31} & S_{32} & 0 & 0 \\ S_{41} & S_{42} & 0 & 0 \end{bmatrix}$$

#### 1.6 Hybrid Rings (Rat-Race Circuits)

Annular line of proper electrical length to sustain standing waves, to which four arms are connected at proper intervals by means of series or parallel junctions.



Hybrid ring with series junctions

Characteristics similar to hybrid tee. When a wave is fed into port 1, it will not appear at port 3 because the difference of phase shifts for the waves travelling in the clockwise and anticlockwise directions is 180. Thus the waves are cancelled at port 3. Similarly the waves fed into port 2 will not emerge at port 4 and so on.

Phase cancellation occurs only at designated frequency or an ideal hybrid ring. In actual hybrid rings there are small leakage couplings, and hence the zero elements in the matrix above are not quite equal to zero. S matrix for an ideal hybrid ring

$$\mathbf{S} = \begin{bmatrix} 0 & S_{11} & 0 & S_{13} \\ S_{11} & 0 & S_{21} & 0 \\ 0 & S_{22} & 0 & S_{33} \\ S_{23} & 0 & S_{33} & 0 \end{bmatrix}$$

#### **1.7 WAVEGUIDE CORNERS, BENDS AND TWISTS**

These waveguide components are normally used to change the direction of the guide through an arbitrary angle. In order to minimize reflections from the discontinuities, it is desirable to have the mean length L between continuities equal to an odd number of quarter wavelengths.

$$L = (2n+1)\frac{\lambda_g}{4}$$

If the mean length L is an odd number of quarter wavelengths, the reflected waves from both ends of the waveguide section are completely cancelled.

For the waveguide bend, the minimum radius of curvature for a small reflection is given by Southworth as

R=1.5b for an E bend

R=1.5a for an H bend

Where a and b are the dimensions of the bend.



Continuous twist

#### **1.8 DIRECTIONAL COUPLERS**

Directional couplers are passive devices used in the field of radio technology. They couple part of the transmission power in a transmission line by a known amount out through another port, often by using two transmission lines set close enough together such that energy passing through one is coupled to the other. As shown in Figure the device has four ports: input, transmitted, coupled, and isolated. The term "main line" refers to the section between ports 1 and 2. On some directional couplers, the main line is designed for high power operation (large connectors), while the coupled port may use a small SMA

connector. Often the isolated port is terminated with an internal or external matched load (typically 50 ohms). It should be pointed out that since the directional coupler is a linear device, the notations are arbitrary. Any port can be the input, which will result in the directly connected port being the transmitted port, the adjacent port being the coupled port, and the diagonal port being the isolated port.



#### **1.8.1 Two-Hole Directional Couplers**



K- and K. are the forward

The coupling C is :

$$C = -20 \log 2 K_{f}$$

The directivity D is :

$$D = 20 \log \frac{2|K_f|}{K_r + e^{-2jSL}} = 20 \log \frac{|K_f|}{K_r \cos SL}$$
$$= 20 \log \frac{|K_f|}{K_r} + 20 \log |\sec SL|$$

The directivity is the sum of the directivity of the single aperture plus a directivity associated with the array.

#### 1.9 Z & ABCD Parameters- Introduction to S parameters

Z-parameters are also known as open-circuit impedance parameters as they are calculated under open circuit conditions. i.e.,  $I_x=0$ , where x=1,2 refer to input and output currents flowing through the ports (of a two-port network in this case) respectively. For all ports the voltages may be defined in terms of the Z-parameter matrix and the currents by the following matrix equation:

V = ZI

where Z is an  $N \times N$  matrix the elements of which can be indexed using conventional matrix notation.

The *ABCD*-parameters are known variously as chain, cascade, or transmission line parameters. There are a number of definitions given for *ABCD* parameters, the most common is,

$$\begin{bmatrix} V_1 \\ I_1 \end{bmatrix} = \begin{bmatrix} A & B \\ C & D \end{bmatrix} \begin{bmatrix} V_2 \\ -I_2 \end{bmatrix}$$

For reciprocal networks AD-BC=1. For symmetrical networks A=D. For networks which are reciprocal and lossless, A and D are purely real while B and C are purely imaginary.

This representation is preferred because when the parameters are used to represent a cascade of two-ports, the matrices are written in the same order that a network diagram would be drawn, that is, left to right. However, the examples given below are based on a variant definition;

$$\begin{bmatrix} V_2 \\ I'_2 \end{bmatrix} = \begin{bmatrix} A' & B' \\ C' & D' \end{bmatrix} \begin{bmatrix} V_1 \\ I_1 \end{bmatrix}$$

where

$$\begin{array}{c|c} A' \stackrel{\text{def}}{=} \left. \frac{V_2}{V_1} \right|_{I_1=0} & B' \stackrel{\text{def}}{=} \left. \frac{V_2}{I_1} \right|_{V_1=0} \\ C' \stackrel{\text{def}}{=} \left. -\frac{I_2}{V_1} \right|_{I_1=0} & D' \stackrel{\text{def}}{=} \left. -\frac{I_2}{I_1} \right|_{V_1=0} \end{array}$$

The negative signs in the definitions of parameters C' and D' arise because  $l_2'$  is defined with the opposite sense to  $l_2$ , that is,  $l_2'=-l_2$ . The reason for adopting this convention is so that the output current of one cascaded stage is equal to the input current of the next. Consequently, the input voltage/current matrix vector can be directly replaced with the matrix equation of the preceding cascaded stage to form a combined A'B'C'D' matrix.

The terminology of representing the **ABOD** parameters as a matrix of elements designated  $a_{11}$  etc. as adopted by some authors and the inverse **A'B'C'D'** parameters as a matrix of elements esignated  $b_{11}$  etc. is used here for both brevity and to avoid confusion with circuit

$$\begin{bmatrix} \mathbf{a} \end{bmatrix} = \begin{bmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{bmatrix} = \begin{bmatrix} A & B \\ C & D \end{bmatrix}$$
$$\begin{bmatrix} \mathbf{b} \end{bmatrix} = \begin{bmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{bmatrix} = \begin{bmatrix} A' \\ C' \end{bmatrix}$$
elements.

#### S Matrix of a Directional Coupler

A directional coupler is a 4-port network that is designed to divide and distribute power.

#### 1.10 Hybrid Couplers

The hybrid ring coupler, also called the rat-race coupler, is a four-port 3 dB directional coupler consisting of a 3 /2 ring of transmission line with four lines at the intervals shown in figure 12. Power input at port 1 splits and travels both ways round the ring. At ports 2 and 3 the signal arrives in phase and adds whereas at port 4 it is out of phase and cancels. Ports 2 and 3 are in phase with each other, hence this is an example of a  $0^{\circ}$  hybrid. Figure 12 shows a planar implementation but this design can also be

implemented in coax or waveguide. It is possible to produce a coupler with a coupling factor different from 3 dB by making each /4 section of the ring alternately low and high impedance but for a 3

dB coupler the entire ring is made  $\sqrt{2}$  of the port impedances – for a 50 design the ring would be approximately 70 .

## **1.11** Circulators and Isolators

## 1.11.1 Microwave Circulators

A **circulator** is a passive non-reciprocal three- or four-port device, in which a microwave or radio frequency signal entering any port is transmitted to the next port in rotation (only). A *port* in this context is a point where an external waveguide or transmission line (such as a microstrip line or a coaxial cable), connects to the device. For a three-port circulator, a signal applied to port 1 only comes out of port 2; a signal applied to port 2 only comes out of port 3; a signal applied to port 3 only comes out of port 1, so to within a phase-factor, the scattering matrix for an ideal three-port circulator is

$$S = \begin{pmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}$$

#### 1.11.2 Microwave isolator

An **isolator** is a two-port device that transmits microwave or radio frequency power in one direction only. It is used to shield equipment on its input side, from the effects of conditions on its output side; for example, to prevent a microwave source being detuned by a mismatched load.

An isolator is a non-reciprocal device, with a non-symmetric scattering matrix. An ideal isolator transmits all the power entering port 1 to port 2, while absorbing all the power entering port 2, so that to within a phase-factor its S-matrix is

$$S = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$$

To achieve non-reciprocity, an isolator must necessarily incorporate a non-reciprocal material. At microwave frequencies this material is invariably a ferrite which is biased by a static magnetic field. The ferrite is positioned within the isolator such that the microwave signal presents it with a rotating magnetic field, with the rotation axis aligned with the direction of the static bias field. The behaviour of the ferrite depends on the sense of rotation with respect to the bias field, and hence is different for microwave signals travelling in opposite directions. Depending on the exact operating conditions, the signal travelling in one direction may either be phase-shifted, displaced from the ferrite or absorbed.

### UNIT-II

#### **Transferred Electron Devices (TEDs) and Avalanche Transit-Time Devices**

## **INTRODUCTION**

The application of two-terminal semiconductor devices at microwave frequencies has been increased usage during the past decades. The CW, average, and peak power outputs of these devices at higher microwave frequencies are much larger than those obtainable with the best power transistor. The common characteristic of all active two-terminal solid-state devices is their negative resistance. The real part of their impedance is negative over a range of frequencies. In a positive resistance the current through the resistance and the voltage across it are in phase. The voltage drop across a positive resistance is positive and a power of (2 R) is dissipated in the resistance. In a negative resistance, however, the current and voltage are out of phase by  $180^{\circ}$ . The voltage drop across a negative resistance is negative, and a power of (2 R) is generated by the power supply associated with the negative resistance. In other words, positive resistances absorb power (passive devices), whereas negative resistances generate power (active devices). In this chapter the transferred electron devices (TEDs) are analyzed. The differences between microwave transistors and transferred electron devices (TEDs) are fundamental. Transistors operate with either junctions or gates, but TEDs are bulk devices having no junctions or gates. The majority of transistors are fabricated from elemental semiconductors, such as silicon or germanium, whereas TEDs are fabricated from compound semiconductors, such as gallium arsenide(GaAs), indium phosphide (InP), or cadmium telluride (CdTe).

#### 2.1 Gunn-Effect Diodes – GaAs Diode

Gunn-effect diodes are named after J. B. Gunn, who in 1963 discovered a periodic fluctuations of current passing through then-type gallium arsenide (GaAs) specimen when the applied voltage exceeded a certain critical value. Two years later, in 1965, B. C. DeLoach, R. C. Johnston, and B. G. Cohen discovered the impact ionization avalanche transit-time (IMPATT) mechanism in silicon, which employs the avalanching and transit-time properties of the diode to generate microwave frequencies. In later years the limited space-charge-accumulation diode (LSA diode) and the indium phosphide diode (InP diode) were also successfully developed. These are bulk devices in the sense that microwave amplification and oscillation are derived from the bulk negative-resistance property of uniform semiconductors, as in the tunnel diode.

#### 2.1.1 Gunn Effect

Above some critical voltage, corresponding to an electric field of 2000-4000 volts/cm, the current in every specimen became a fluctuating function of time. In the GaAs specimens, this fluctuation took the form of a periodic oscillation superimposed upon the pulse current. The frequency of oscillation was determined mainly by the specimen, and not by the external circuit. The period of oscillation was usually inversely proportional to the specimen length and closely equal to the transit time of electrons between the electrodes, calculated from their estimated velocity of slightly over 107 cm/s . The peak pulse microwave power delivered by the GaAs specimens to a matched load was measured. Value as high as 0.5 Wat 1 GaAs, and 0.15 Wat 3 GaAs, were found, corresponding to 1-2% of the pulse input power.



Schematic diagram for n type GaAs diode

From Gunn's observation the carrier drift velocity is linearly increased from zero to a maximum when the electric field is varied from zero to a threshold value. When the electric field is beyond the threshold value of 3000 V/cm for the n-type GaAs, the drift velocity is decreased and the diode exhibits negative resistance.



Drift velocity of electrons in n-type GaAs versus electric field.

#### 2.2 Ridely-Watkins-Hilsun (RWH) Theory:

In 1964 Kroemer suggested that Gunn's observations were in complete agreement with the Ridley Watkins Hilsum (RWH) theory.

#### 2.2.1 Differential Negative Resistance

The fundamental concept of the Ridley-Watkins-Hilsum (RWH) theory is the differential negative resistance developed in a bulk solid-state III-V compound when either a voltage (or electric field) or a current is applied to the terminals of the sample. There are two modes of negative-resistance devices: voltage-controlled and current controlled modes.



In the voltage-controlled mode the current density can be multivalued, whereas in the current-controlled mode the voltage can be multivalued. The major effect of the appearance of a differential negative-resistance region in the current density- field curve is to render the sample electrically unstable. As a result, the initially

homogeneous sample becomes electrically heterogeneous in an attempt to reach stability. In the voltagecontrolled negative-resistance mode high-field domains are formed, separating two low-field regions. The interfaces separating lowand high-field domains lie along equipotentials; thus they are in planes perpendicular

to the current direction In the current-controlled negative-resistance mode splitting the sample results in high-current filaments running along the field direction.





If an electric field Eo (or voltage Vo) is applied to the sample, for example, the current density lo is generated. As the applied field (or voltage) is increased to E2 (or Vi), the current density is decreased to I2. When the field (or voltage) is decreased to E1 (or Vi), the current density is increased to 11.



### 2.2.2 Two-Valley Model Theory

According to the energy band theory of then-type GaAs, a high-mobility lower valley is separated by an energy of 0.36 eV from a low-mobility upper valley as shown



This shows the data for two-valley semiconductors. Electron densities in the lower and upper valleys remain the same under an equilibrium condition. When the applied electric field is lower than the electric field of the lower valley (E < Ee), no electrons will transfer to the upper valley as shownWhen the applied electric field is higher than that of the lower valley and lower than that of the upper valley (E < Ee), electrons will begin to transfer to the upper valley as shown.

Valley	Effective Mass	Mobility	Separation
	M,	µ	$\Delta E$
Lower	$M_{el} = 0.068$	$\mu_{c} = 8000 \text{ cm}^{2}\text{V-sec}$	$\Delta E = 0.36 \text{ eV}$
Liner	$M_{el} = 1.2$	$\mu_{c} = 180 \text{ cm}^{2}\text{V-sec}$	$\Delta E = 0.36 \text{ eV}$

And when the applied electric field is higher than that of the upper valley (Eu < E), all electrons will transfer to the upper valley as shown



If electron densities in the lower and upper valleys are nc and nu, the conductivity of the n-type GaAs is

$$\sigma = e(\mu_e n_e + \mu_e n_e)$$

where e = the electron charge  $\mu$  =the electron mobility n =nc + nu is the electron density

#### 2.3 High-Field Domain

In the n-type GaAs diode the majority carriers are electrons. When a smallvoltage is applied to the diode, the electric field and conduction current density areuniform throughout the diode. At low voltage the GaAs is ohmic, since the drift velocity of the electrons is proportional to the electric field. The conduction current density in the diode is given by

$$\mathbf{J} = \sigma \mathbf{E}_{\mathbf{r}} = \frac{\sigma V}{L} \mathbf{U}_{\mathbf{r}} = \rho v_{\mathbf{r}} \mathbf{U}_{\mathbf{r}}$$

where  $\mathbf{J} =$ conduction current density

a = conductivity

Ex = electric field in the x direction

L = length of the diode

V = applied voltage

p = charge density

v = drift velocity

U = unit vector

The current is carried by free electrons that are drifting through a back ground of fixed positive charge. The positive charge, which is due to impurity atoms that have donated an electron (donors), is sometimes reduced by impurity atoms that have accepted an electron (acceptors). As long as the fixed charge is positive, the semiconductor is n type, since the principal carriers are the negative charges. The density of donors less the density of acceptors is termed doping. When the space charge is zero, the carrier density is equal to the doping. When the applied voltage is above the threshold value, which was measured at about 3000 V/cm times the thickness of the GaAs diode, a high-field domain is formed near the cathode that reduces the electric field in the rest of the material and causes the current to drop to about two-thirds of its maximum value. This situation occurs because the applied voltage is given by

$$V = -\int_0^t E_s \, ds$$

For a constant voltage V an increase in the electric field within the specimen must be accompanied by a decrease in the electric field in the rest of the diode. The high field domain then drifts with the carrier stream across the electrodes and disappears at the anode contact. When the electric field increases, the electron drift velocity decreases and the GaAs exhibits negative resistance.

In general, the high-field domain has the following properties

**1.** A domain will start to form whenever the electric field in a region of the sample increases above the threshold electric field and will drift with the carrier stream through the device. When the electric field increases, the electron drift velocity decreases and the GaAs diode exhibits negative resistance.

2. If additional voltage is applied to a device containing a domain, the domain will increase in size and absorb more voltage than was added and the current will decrease.

3. A domain will not disappear before reaching the anode unless the voltage is dropped appreciably

## 2.4 Modes of Operation

Since Gunn first announced his observation of microwave oscillation in the n-type GaAs and n-type InP diodes in 1963, various modes of operation have been developed, depending on the material parameters and operating conditions. As noted, the formation of a strong space-charge instability depends on the conditions that enough charge is available in the crystal and that the specimen is long enough so that the necessary amount of space charge can be built up within the transit time of the electrons. This

requirement sets up a criterion for the various modes of operation of bulk negative-differential-resistance devices. Copeland proposed four basic modes of operation of uniformly doped bulk diodes with low-resistance contacts as shown

 Gunn oscillation mode: This mode is defined in the region where the product of frequency multiplied by length is about 107 cm/s and the product of doping multiplied by length is greater than 1012/cm2
In this region the device is unstable because of the cyclic formation of either the accumulation layer or the high-field domain. In a circuit with relatively low impedance the device operates in the high-field domain mode and the frequency of oscillation is near the intrinsic frequency. When the device is operated in a relatively high-Q cavity and coupled properly to the load, the domain is quenched or delayed (or both) before nucleating. In this case, the oscillation frequency is almost entirely determined by the resonant frequency of the cavity and has a value of several times the intrinsic frequency.



2. Stable amplification mode: This mode is defined in the region where the product of frequency times length is about 107 cm/s and the product of doping times length is between 1011 and 1012/cm2 3. LSA oscillation mode: This mode is defined in the region where the product of frequency times length is above 107 cm/s and the quotient of doping divided by frequency is between 2 x 104 and 2 x 105 4. Bias-circuit oscillation mode: This mode occurs only when there is either Gunn or LSA oscillation, and it is usually at the region where the product of frequency times length is too small to appear in the figure. When a bulk diode is biased to threshold, the average current suddenly drops as Gunn oscillation begins. The drop in current at the threshold can lead to oscillations in the bias circuit that are typically 1 kHz to 100 MHz

#### 2.5 LSA Diodes:

The abbreviation LSA stands for the limited space-charge accumulation mode of the Gunn diode. As described previously, if the product no L is larger than  $10 \ 12/\text{cm}^2$  and if the ratio of doping no to frequency f is within 2 x 105 to 2 x 104 s/cm3, the high field domains and the space-charge layers do not have sufficient time to build up. The magnitude of the RF voltage must be large enough to drive the diode below threshold during each cycle in order to dissipate space charge. Also, the portion of each cycle during which the RF voltage is above threshold must be short enough to prevent the domain formation and the space-charge accumulation. Only the primary accumulation layer forms near the cathode; the rest of the sample remains fairly homogeneous.

Thus with limited space-charge formation the remainder of the sample appears as a series negative resistance that increases the frequency of the oscillations in the resonant circuit. Copeland discovered the LSA mode of the Gunn diode in 1966 In the LSA mode the diode is placed in a resonator tuned to an oscillation frequency of

$$f_0 = \frac{1}{t_0}$$

The device is biased to several times the threshold voltage As the RF voltage swings beyond the threshold, the space charge starts building up at the cathode. Since the oscillation period To of the RF signal is less than the domain-growth time constant T8, the total voltage swings below the threshold before the domain can form. Furthermore, since To is much greater than the dielectric relaxation time Td, the accumulated space charge is drained in a very small fraction of the RF cycle. Therefore the device spends most of the RF cycle in the negative-resistance region, and the space charge is not allowed to build up. The frequency of oscillation in the LSA mode is independent of the transit time of the carriers and is determined solely by the circuit external to the device. Also, the power-impedance product does not fall off as 1 / J6; thus the output power in the LSA mode can be greater than that in the other modes.



The LSA mode does have limitations. It is very sensitive to load conditions, temperatures, and doping fluctuations .In addition, the RF circuit must allow the field to build up quickly in order to prevent domain formation. The power output of an LSA oscillator can be simply written as

 $P = \eta V_0 I_0 = \eta (ME_0 L)(n_0 e v_0 A)$ 

Where, ri =de-to-RF conversion efficiency (primarily a function of material and circuit considerations) Vo =operating voltage

Io =operating current

M =multiple of the operating voltage above negative-resistance threshold voltage

E,h =threshold field (about 3400 V/cm)

L =device length (about 10 to 200, um)

 $n_o$  =donor concentration (about  $10^{15}$  e /cm3)

e =electron charge  $(1.6 \times 10^{-19} \text{ C})$ 

A =device area (about 3 x 10-4 to 20 x  $10^{-4}$  cm2)

#### 2.6 InP Diodes

Both the GaAs diode and the InP diode operate basically the same way in a circuit with the voltage applied at the electrodes. In the ordinary Gunn effect in the n-type GaAs, the two-valley model theory is the foundation for explaining the electrical behavior of the Gunn effect. However, Hilsum proposed that indium phosphide and some alloys of indium gallium antimonide should work as three-level devices .It can be seen that InP, besides having an upper-valley energy level and a lower-valley energy level similar to the model shown in the below figure for n-type GaAs, also has a third middle-valley energy level. In GaAs the electron transfer process from the lower valley to the upper valley is comparatively slow. At a particular voltage above threshold current flow consists of a larger contribution of electrons from the lower valley current ratio results the InP diode has a larger peak-to-valley current ratio because an electron transfer proceeds rapidly as the field increases. This situation occurs because the coupling between the lower valley and upper valley in InP is weaker than in GaAs. The middle-valley energy level provides the additional energy loss mechanism required to avoid breakdown caused by the

high energies acquired by the lower-valley electrons from the weak coupling that the lower valley is weakly coupled to the middle valley but strongly coupled to the upper valley to prevent breakdown. This situation ensures that under normal operating conditions electrons concentrate in the middle valley.



Because InP has a greater energy separation between the lower valley and the hearest energy levels, the thermal excitation of electrons has less effect, and the degradation of its peak-to valley current ratio is about four times less than in GaAs .

#### 2.7 CdTe Diodes

The Gunn effect, first observed by Gunn as a time variation in the current through samples of n -type GaAs when the voltage across the sample exceeded a critical value, has since been observed inntype InP, n-type CdTe, alloys of n-GaAs and nGaP, and in In As. In n-type cadmium telluride (CdTe), the Gunn effect was first seen by Foyt and McWhorter who observed a time variation of the current through samples 250 to 300  $\mu$ ,m long with a carrier concentration of 5 x 1014/cm3and a room temperature mobility of 1000 cm2/V · s. Ludwig, Halsted, and Aven confirmed the existence of current oscillations in n-CdTe, and Ludwig has further reported studies of the Gunn effect in CdTe over a wider range of sample doping levels and lengths .It has been confirmed that the same mechanism-the field induced transfer of electrons to a higher conduction band minimum (Gunn effect) applies in CdTe just as it does in GaAs. From the two-valley model theory in CdTe, as in GaAs, the (000) minimum is the lowest in energy. The effective mass  $m_{eff} = 0.11$  m (electron mass) and the intrinsic mobility  $\mu$ , = 1100 cm2/V  $\cdot$  sat room temperature. Hilsum has estimated that minima are the next lowest in energy, being0.51 eV higher than (000) minimum. In comparing the Gunn effect in CdTe to that in GaAs, a major difference is the substantially higher threshold field, about 13 kV/cm for CdTe compared with about 3 kV/cm for GaAs. Qualitatively, the higher threshold can be thought of as associated with the relatively strong coupling of the electrons to longitudinal optical phonons, which limits the mobility-and hence the rate of energy acquisition from the applied field-and also provides an efficient mechanism for transferring energy to the lattice. thereby minimizing the kineticenergy in the electron distribution. The ratio of peak-to-valley current is another parameter of interest. In CdTe, as in GaAs, the spike amplitude can be as large as 50% of the maximum total current. A similar maximum efficiency for CdTe and GaAs can be expected. Since the domain velocities in CdTe and GaAs are approximately equal, samples of the same length will operate at about the same frequency in the transit-time mode. The high threshold field of CdTe combined with its poor thermal conductivity creates a heating problem. If sufficiently short pulses are used so that the heat can be dissipated, however, the high operating field of the sample can be an advantage.

## 2.8 Microwave Generation and Amplification

#### 2.8.1 Microwave Generation

If the applied field is less than threshold the specimen is stable. If, however, the field is greater than threshold, the sample is unstable and divides up into two domains of different conductivity and different electric field but the same drift velocity. At the initial formation of the accumulation layer, the field behind the layer decreases and the field in front of it increase. This process continues as the layer travels from the cathode toward the anode. As the layer approaches the anode, the field behind it begins to increase again; and after the layer is collected by the anode, the field in the whole sample is higher than threshold. When the high-field domain disappears at the anode, a new dipole field starts forming again at the cathode and the process repeats itself. Since current density is proportional to the drift velocity of the electrons, a pulsed current output is obtained. The oscillation frequency of the pulsed current is given by





#### 2.8.2 Microwave Amplification

When an RF signal is applied to a Gunn oscillator, amplification of the signal occurs, provided that the signal frequency is low enough to allow the space charge in the domain to readjust itself. There is a critical value of JL above which the device will not amplify. Below this frequency limit the sample presents an impedance with a negative real part that can be utilized for amplification. If no L becomes less than $10^{12}$ /cm<sup>2</sup>, domain formation is inhibited and the device exhibits a non uniform field distribution that is stable with respect to time and space. Such a diode can amplify signals in the vicinity of the transit-time frequency and its harmonics without oscillation. If this device is used in a circuit with enough positive feedback, it will oscillate. Hakki has shown that the oscillation diode can amplify at nearby frequencies or can be used simultaneously as an amplifier and local oscillator However, the output power of a stable amplifier is quite low because of the limitation imposed by the value of no L.

#### **Avalanche Transit-Time Devices**

Avalanche transit-time diode oscillators rely on the effect of voltage break down across a reversebiased p-n junction to produce a supply of holes and electrons. Ever since the development of modern semiconductor device theory scientists have speculated on whether it is possible to make a two-terminal negative-resistance device. The tunnel diode was the first such device to be realized in practice. Its operation depends on the properties of a forward-biased p-n junction in which both the p and n regions are heavily doped. The other two devices are the transferred electron devices and the avalanche transit-time devices.

## 2.9 Read Diode

#### **Physical Description**

The basic operating principle of IMPATT diodes can be most easily understood byreference to the first proposed avalanche diode, the Read diode .The theory of this device was presented by Read in 1958, but the first experimental Read diodewas reported by Lee et al. in 1965 A mode of the original Read diode with a

doping profile and a de electric field distribution that exists when a large reverse biasis applied across the diode.



The Read diode is an n+ -p-i-p+ structure, where the superscript plus sign denotes very high doping and the i or v refers to intrinsic material. The device consists essentially of two regions. One is the thin p region at which avalanche multiplication occurs. This region is also called the high-field region or the avalanche region. The other is the i or v region through which the generated holes must drift in moving to the p+ contact. This region is also called the intrinsic region or the drift region. The p region is very thin. The space between the n+ -p junction and the i-p+ junction is called the space-charge region. Similar devices can be built in the p+ -n-i-n+ structure, in which electrons generated from avalanche multiplication drift through the I region. The Read diode oscillator consists of an n+ -p-i-p+ diode biased in reverse and mounted in a microwave cavity. The impedance of the cavity is mainly inductive and is matched to the mainly capacitive impedance of the diode to form a resonant circuit. The device can produce a negative ac resistance that, in turn, delivers power from the de bias to the oscillation.

#### 2.9.1 Avalanche Multiplication

When the reverse-biased voltage is well above the punch through or breakdown voltage, the space-charge region always extends from the n+ -p junction through the p and i regions to the i-p+ junction. The fixed charges in the various regions are shown. A positive charge gives a rising field in moving from left to right. The maximum field, which occurs at the n+ -p junction, is about several hundred kilovolts per centimeter. Carriers (holes) moving in the high field near *then*+ -*p* junction acquire energy to knock valence electrons into the conduction band, thus producing hole-electron pairs. The rate of pair production, or avalanche multiplication, is a sensitive nonlinear function of the field. By proper doping, the field can be given a relatively sharp peak so that avalanche multiplication is confined to a very narrow region at the n+ -p junction. The electrons move into the n+ region and the holes drift through the space-charge region to the p+ region with a constant velocity *VJ* of about 107 *emfs* for silicon. The field

throughout the space-charge region is above about 5 kV/cm. The transit time of a hole across the drift iregion L is given by

$$\tau = \frac{L}{c_0}$$

and the avalanche multiplication factor is

$$M = \frac{1}{1 - (V/V_\delta)^n}$$

where V = applied voltage

vb = avalanche breakdown voltage

n = 3-6 for silicon is a numerical factor depending on the doping of p + -norn + -p junction

The breakdown voltage for a silicon p + -n junction can be expressed as

$$\|V_{*}\| = \frac{p_{*}\mu_{x}\epsilon_{i}|E_{*\omega}|_{b}^{2}}{2}$$

Where, Pn = resistivity JLn = electron mobility I vb I = PnJLnEsl Emax Es = semiconductor permittivity Emax = maximum breakdown of the electric field

#### 2.9.2 Carrier Current Io(t) and External Current Ie(t)

An ac voltage can be maintained at a given frequency in the circuit, and the total field across the diode is the sum of the de and ac fields. This total field causes breakdown at then + -p junction during the positive half of the ac voltage cycle if the field is above the breakdown voltage, and the carrier current (or the hole current in this case) Io(t) generated at the n+ -p junction by the avalanche multiplication grows exponentially with time while the field is above the critical value. During the negative half cycle, when the field is below the breakdown voltage, the carrier current fo(t)decays exponentially to a small steadystate value. The carrier current fo(t) is the current at the junction only and is in the form of a pulse of very short duration as shown Therefore the carrier current fo(t) reaches its maximum in the middle of the ac voltage cycle, or one-quarter of a cycle later than the voltage. Under the influence of the electric field the generated holes are injected into the space-charge region toward the negative terminal. As the injected holes traverse the drift space, they induce a current le(t) in the external circuit



#### 2.9.3 Output Power and Quality Factor Q

The external current  $I_{i}(t)$  approaches a square wave, being very small during the positive half cycle of the ac voltage and almost constant during the negative half cycle. Since the direct current Id supplied by the de bias is the average external current or conductive current, it follows that the amplitude of variation of  $I_{i}(t)$  is approximately equal to Id. If Va is the amplitude of the ac voltage, the ac power delivered is found to be

 $P = 0.707 V_a I_d$  W/unit area

The quality factor Q of a circuit is defined as

 $Q = \omega \frac{\text{maximum stored energy}}{\text{average dissipated power}}$ 

Since the Read diode supplies ac energy, it has a negative Q in contrast to the positive Q of the cavity. At the stable operating point, the negative Q of the diode is equal to the positive Q of the cavity circuit. If the amplitude of the ac voltage increases, the stored energy, or energy of oscillation, increases faster than the energy.

## 2.10 IMPATT DIODES

#### **Physical Structures**

A theoretical Read diode made of an n+ -p-i-p+ or p+ -n-i-n+ structure has been analyzed. Its basic physical mechanism is the interaction of the impact ionization avalanche and the transit time of charge carriers. Hence the Read-type diodes are called IMPATT diodes. These diodes exhibit a differential negative resistance by two effects:

1. The impact ionization avalanche effect, which causes the carrier current fo(t) and the ac voltage to be out of phase by  $90^{\circ}$ 

2. The transit-time effect, which further delays the external current  $I_{(t)}$  relative to the ac voltage by 90°

The first IMPATT operation as reported by Johnston et al. in 1965 however, was obtained from a simple p-n junction. The first real Read-type IMPATT diode was reported by Lee et al. [3], as described previously. From the small-signal theory developed by Gilden it has been confirmed that a negative resistance of the IMPATT diode can be obtained from a junction diode with any doping profile. Many IMPATT diodes consist of a high doping avalanching region followed by a drift region where the field is low enough that the carriers can traverse through it without avalanching. The Read diode is the basic type in the IMPATT diode family. The others are the one-sided abrupt p-n junction, the linearly graded p-n junction (or double-drift region), and the p-i-n diode, all of which are shown. The principle of operation of these devices, however, is essentially similar to the mechanism described for the Read diode.

## 2.10.1 Negative Resistance

Small-signal analysis of a Read diode results in the following expression for the real part of the diode terminal impedance

$$R = R_r + \frac{2L^2}{v_{\theta}\epsilon_r A} \frac{1}{1-\omega^2/\omega_r^2} \frac{1-\cos\theta}{\theta}$$

Where, Rs = passive resistance of the inactive region

*vd* = carrier drift velocity

L = length of the drift space-charge region

A = diode cross section

*es* = semiconductor dielectric permittivity



#### 2.10.2 Power Output and Efficiency

At a given frequency the maximum output power of a single diode is limited by semiconductor materials and the attainable impedance levels in microwave circuitry. For a uniform avalanche, the maximum voltage that can be applied across the diode is given by

$$V_{\pi} = E_{\pi}L$$

Where, *Ls* the depletion length and

*Em* is the maximum electric field.

This maximum applied voltage is limited by the breakdown voltage. Furthermore, the maximum current that can be carried by the diode is also limited by the avalanche breakdown process, for the current in the space-charge region causes an increase in the electric field. The maximum current is given by

$$I_w = J_w A = \sigma E_w A = \frac{\epsilon_1}{\tau} E_w A = \frac{v_d \epsilon_r E_w A}{L}$$

#### 2.11 TRAPATT DIODES

#### 2.11.1 Physical Structures

The abbreviation TRAPATT stands for trapped plasma avalanche triggered transit mode, a mode first reported by Prager. It is a high-efficiency microwave generator capable of operating from several hundred megahertz to several giga hertz. The basic operation of the oscillator is a semiconductor p-n junction diode reverse biased to current densities well in excess of those encountered in normal avalanche operation. High-peak-power diodes are typically silicon n+ -p-p+ (or p+ -n-n+) structures with then-type depletion region width varying from 2.5 to 12.5  $\mu$ ,m. The doping of the depletion region is generally such that the diodes are well "punched through" at breakdown; that is, the de electric field in the depletion region just prior to breakdown is well above the saturated drift-velocity level. The device's p+ region is kept as thin as possible at 2.5 to 7.5  $\mu$ ,m. The TRAPATT diode's diameter ranges from as small as 50  $\mu$ ,m for CW operation to 750  $\mu$ ,mat lower frequency for high• peakpower devices.

#### 2.11.2 Principles of Operation:

Approximate analytic solutions for the TRAPATT mode in p+-n-n+ diodes have been developed by Clorfeine and DeLoach among others. These analyses have shown that a high-field avalanche zone propagates through the diode and fills the depletion layer with dense plasma of electrons and holes that become trapped in the low-field region behind the zone. A typical voltage waveform for the TRAPATT mode of an avalanche p+-n-n+ diode operating with an assumed square wave current drive is shown in below figure. At point A the electric field is uniform throughout the sample and its magnitude is large but less than the value required for avalanche breakdown.

The current density is expressed by

$$J = \epsilon_s \frac{dE}{dt}$$

Where, Es is the semiconductor dielectric permittivity of the diode.



Voltage and current waveforms for TRAPATT diode

At the instant of time at point A, the diode current is turned on. Since the only charge carriers present are those caused by the thermal generation, the diode initially charges up like a linear capacitor, driving the magnitude of the electric field above the breakdown voltage. When a sufficient number of carriers is generated, the particle current exceeds the external current and the electric field is depressed throughout the depletion region, causing the voltage to decrease. This portion of the cycle is shown by the curve from point B to point C. During this time interval the electric field is sufficiently large for the avalanche to continue, and dense plasma of electrons and holes is created. As some of the electrons and holes drift out of the ends of the depletion layer, the field is further depressed and "traps" the remaining plasma. The voltage decreases to point D. A long time is required to remove the plasma because the total plasma charge is large compared to the charge per unit time in the external current. At point E the plasma is removed, but a residual charge of electrons remains in one end of the depletion layer and a residual charge of holes in the other end. As the residual charge is removed, the voltage increases from point E to point F. At point Fall the charge that was generated internally has been removed. This charge must be greater than or equal to that supplied by the external current; otherwise the voltage will exceed that at point A. From point F to point G the diode charges up again like a fixed capacitor. At point G the diode current goes to zero for half a period and the voltage remains constant at VA until the current comes back on and the cycle repeats.

TRAPATT mode can operate at comparatively low frequencies, since the discharge time of the plasmathat is, the rate Q/I of its charge to its current can be considerably greater than the nominal transit time Ts of the diode at high field. Therefore the TRAPATT mode is still a transit-time mode in the real sense that the time delay of carriers in transit (that is, the time between injection and collection) is utilized to obtain a current phase shift favorable for oscillation. **2.11.3 Power Output and Efficiency:** RF power is delivered by the diode to an external load when the diode is placed in a proper circuit with a load. The main function of this circuit is to match the diode effective negative resistance to the load at the output frequency while reactively terminating (trapping) frequencies above the oscillation frequency in order to ensure TRAPATT operation. To date, the highest pulse power of 1.2 kW has been obtained at 1. 1 GHz (five diodes in series) [10], and the highest efficiency of 75% has been achieved at 0.6 GHz. The TRAPATT operation is a rather complicated means of oscillation, however, and requires good control of both device and circuit properties. In addition the TRAPATT mode generally exhibits a considerably higher noise figure than the IMPATT mode, and the upper operating frequency appears to be practically limited to below the millimeter wave region.

#### 2.12 BARITT DIODES

#### 2.12.1 Physical Description

BARITT diodes, meaning barrier injected transit-time diodes, are the latest addition to the family of active microwave diodes. They have long drift regions similar to those of IMPATT diodes. The carriers traversing the drift regions of BARITT diodes, however, are generated by minority carrier injection from forward-biased junctions instead of being extracted from the plasma of an avalanche region. Several different structures have been operated as BARITT diodes, including p-n-p, p-n-v-p, p-n-metal, and metal-n-metal. For a p-n-v-p BARITT diode, the forward-biased p-n junction emits holes into the v region. These holes drift with saturation velocity through the v region and are collected at the p contact. The diode exhibits a negative resistance for transit angles between 1T and 27T. The optimum transit angle is approximately 1.67r. Such diodes are much less noisy than IMPATT diodes. Noise figures are as low as 15 dB at C-band frequencies with silicon BARITT amplifiers. The major disadvantages of BARITT diodes are relatively narrow bandwidth and power outputs limited to a few milliwatts.

#### 2.12.2 Principles of Operation

A crystal n-type silicon wafer with 11 H-cm resistivity and 4 x 10 per cubic centimeter doping is made of a 10- $\mu$ m thin slice. Then then-type silicon wafer is sandwiched between two PtSi Schottky barrier contacts of about 0.1  $\mu$ m thickness. A schematic diagram of a metal-n-metal structure is shown in below figure. The energy-band diagram at thermal equilibrium is shown in below figure. Where, n1 and n2 are the barrier heights for the metal-semiconductor contacts, respectively. For the PtSi-Si-PtSi structure mentioned previously, n1 = n2 0.85 eV. The hole barrier height for the forward-biased contact is about 0.15 eV. Below figure shows the energy-band diagram when a voltage is applied.



Figure M-a-M diode

The mechanisms responsible for the microwave oscillations are derived from:

1. The rapid increase of the carrier injection process caused by the decreasing potential barrier of the forward-biased metal-semiconductor contact.

2. An apparent 3Pi /2 transit angle of the injected carrier that traverses the semiconductor depletion region The rapid increase in terminal current with applied voltage (above 30 V) as shown in below figure is caused by thermionic hole injection into the semiconductor as the depletion layer of the reverse-biased contact reaches through the entire device thickness.

## 2.12.3 Microwave Performance

Continuous-wave (CW) microwave performance of the M-n-M-type BARITT diode was obtained over the entire C band of 4 to 8 GHz. The maximum power observed was 50 mW at 4.9 GHz. The maximum efficiency was about 1.8%. The FM single sideband noise measure at 1 MHz was found to be 22.8 dB at a 7-mA bias current. This noise measure is substantially lower than that of a silicon IMPATT diode and is comparable to that of a GaAs transfer-electron oscillator. Figure 8-4-3 shows some of the measured microwave power versus current with frequency of operation indicated on each curve for three typical devices tested. The voltage enclosed in parentheses for each curve indicates the average bias voltage at the diode while the diode is in oscillation. The gain-bandwidth product of a 6-GHz BARITT diode was measured to be 19-dB gain at 5-mA bias current at 200 MHz. The small-signal noise measure was about 15 dB.

## 2.13 Parametric Devices

## 2.13.1 Physical Structures:

A parametric device is one that uses a nonlinear reactance (capacitance or inductance) or a time-varying reactance. The capacitance or inductance, which is a reactive parameter, can be used to produce capacitive or inductive excitation. Parametric excitation can be subdivided into parametric amplification and oscillation.

## 2.13.2 Nonlinear Reactance

Reactance: A reactance is defined as a circuit element that stores and releases electromagnetic energy as opposed to a resistance, which dissipates energy.

Capacitive: If the stored energy is predominantly in the electric field, the reactance is said to be capacitive Inductive: If the stored energy is predominantly in the magnetic field, the reactance is said to be inductive.

C = Q/V

If the ratio is not linear, the capacitive reactance is said to be nonlinear. In this case it is convenient to define a non linear capacitance as the partial derivative of charge with respect to voltage. (i.e) C(v) = dO/dt

The analogous definition of non linear inductance is L(i) = d /di.

## 2.13.3 Manley – Rowe Power Relations

Derived a set of general energy relations regarding power flowing into and out of an ideal nonlinear reactance.

These relations are useful in predicting whether power gain is possible in a parametric amplifier.



Equivalent circuit for Manley-Rowe derivation

One signal generator and one pump generator at their respective frequencies  $f_s$  and  $f_p$  together with

associated series resistances and bandpass filters, are applied to a nonlinear capacitance C(t).

These resonating circuits of filters are designed to reject power at all frequencies other than their respective signal frequencies. In the presence of two applied frequencies  $f_s$  and  $f_p$  an infinite number of resonant frequencies of  $mf_p + nf_s$  are generated, where m and n are any integers.

Each of the resonating circuits is assumed to be ideal.

The power loss by the nonlinear susceptance is negligible. That is the power entering the nonlinear capacitor at the pump frequency is equal to the power leaving the capacitor at the other frequencies through the nonlinear interaction.

Manley and Rowe established the power relations between the input power at the frequencies  $f_s$  and  $f_p$  and the output power at the other frequencies  $mf_p + nf_{s}$ .

It is assumed that the signal voltage vs is much smaller than the pumping voltage  $v_p$ , and the total voltage across the nonlinear capacitance C(t) is given by

$$v = v_{p} + v_{s} = \frac{V_{p}}{2} (e^{i\omega_{p} t} + e^{-i\omega_{p} t}) + \frac{V_{s}}{2} (e^{i\omega_{p} t} - e^{-i\omega_{p} t})$$

The general expression of the charge Q deposited on the capacitor is given by

$$Q = \sum_{n=-\infty}^{\infty} \sum_{n=-\infty}^{\infty} Q_{n,n} e^{i(n \omega_p i - n \omega_q i)}$$

For Q to be real,

$$Q_{m,\sigma} = Q^*_{-m,-n}$$

The total voltage v can be expressed as a function of the charge Q. A similar taylor series expression of v(Q) shows that

$$v = \sum_{m=-\infty}^{\infty} \sum_{k=-\infty}^{\infty} V_{m,n} e^{j(m\omega_{p}(+n\omega_{s}))}$$

V to be real,

$$V_{m,n} = V^*_{-m,-n}$$

The current flowing through C(t) is the total derivative of Q w r t time. Hence

$$i = \frac{dQ}{dt} = \sum_{m=-\infty}^{\infty} \sum_{n=-\infty}^{\infty} f(m\omega_p + n\omega_s)Q_{m,n}e^{i(m\omega_p t - n\omega_s)}$$
$$= \sum_{m=-\infty}^{\infty} \sum_{n=-\infty}^{\infty} I_{m,n}e^{i(m\omega_p t + n\omega_s)}$$

Where,

$$I_{m,n} = j(m\omega_p + n\omega_s)Q_{m,n}$$
 and  $I_{m,n} = I^*_{m,n}$ 

Since the capacitance C(t) is assumed to be pure reactance, the average power at the frequencies is

$$P_{m,n} = (V_{m,n}I_{m,n}^* + V_{m,n}^*I_{m,n})$$
  
=  $(V_{-m,-n}^*I_{-m,-n} + V_{-m,-n}I_{-m,-n}^*) = P_{-m,-n}$ 

Then conservation of power can be written

$$\sum_{n=-\infty}^{\infty}\sum_{n=-\infty}^{\infty}P_{m,n}=0$$

Multiply the above equation by a factor of

 $(m\omega_p + n\omega_s)/(m\omega_p + n\omega_s)$  and rearrangement of the resultant into two parts yield

$$\omega_p \sum_{m=-\infty}^{\infty} \sum_{n=-\infty}^{\infty} \frac{mP_{m,n}}{m\omega_p + n\omega_s} + \omega_s \sum_{m=-\infty}^{\infty} \sum_{n=-\infty}^{\infty} \frac{nP_{m,n}}{m\omega_p + n\omega_s} = 0$$

Consequently, the frequencies fp and fs can be arbitrarily adjusted in order to require

$$\sum_{m=-\infty}^{\infty} \sum_{s=-\infty}^{\infty} \frac{mP_{m,n}}{m\omega_{p} + n\omega_{s}} = 0$$
$$\sum_{m=-\infty}^{\infty} \sum_{s=-\infty}^{\infty} \frac{nP_{m,n}}{m\omega_{p} + n\omega_{s}} = 0$$

Equation can be expressed as

$$\sum_{m=0}^{\infty}\sum_{n=-\infty}^{\infty}\frac{mP_{m,n}}{m\omega_p+n\omega_s}+\sum_{m=0}^{\infty}\sum_{n=-\infty}^{\infty}\frac{-mP_{m,n}}{-m\omega_p-n\omega_s}=0$$

Since,

$$P_{m,n}=P_{-m,-n}$$

then

$$\sum_{n=0}^{\infty}\sum_{n=-\infty}^{\infty}\frac{mP_{m,n}}{mf_p+nf_s}=0$$

Similarly,

$$\sum_{n=-\infty}^{\infty}\sum_{n=0}^{\infty}\frac{nP_{n,n}}{mf_{p}+nf_{n}}=0$$

Where  $w_p$  and  $w_s$  are replaced by  $f_p$  and  $f_s$  respectively. The above equations are standard forms for the Manley-Rowe power relations.

#### 2.13.4 Parametric Amplifiers:

In a super heterodyne receiver a radio frequency signal may be mixed with a signal from the local oscillator in a nonlinear circuit (the mixer) to generate the sum and difference frequencies. In a parametric amplifier the local oscillator is replaced by a pumping generator such as a reflex klystron and the nonlinear element by a time varying capacitor such as a varactor diode (or inductor).



**Parametric up-converter:** A parametric up-converter has the following properties:

1. The output frequency is equal to the sum of the signal frequency and the pump frequency.

2. There is no power flow in the parametric device at frequencies other than the signal, pump, and output frequencies.

**Parametric down-converter:** If a mode of down conversion for a parametric amplifier is desirable, the signal frequency ls must be equal to the sum of the pump frequency f, and the output frequency  $f_0$ . This
means that the input power must feed into the idler circuit and the output power must move out from the signal circuit.

## 2.13.5 Applications:

The choice of which type of parametric amplifier to use depends on the microwave system requirements. The up-converter is a unilateral stable device with a wide bandwidth and low gain. The negative-resistance amplifier is inherently a bilateral and unstable device with narrow bandwidth and high gain. The degenerate parametric amplifier does not require a separate signal and idler circuit coupled by the diode and is the least complex type of parametric amplifier.

In general, the up-converter has the following advantages over the negative resistance parametric amplifier:

- 1. À positive input impedance
- 2. Unconditionally stable and unilateral
- 3. Power gain independent of changes in its source impedance
- 4. No circulator required
- 5. A typical bandwidth on the order of 5%

At higher frequencies where the up-converter is no longer practical, the negative resistance parametric amplifier operated with a circulator becomes the proper choice. When a low noise figure is required by a system, the degenerate parametric amplifier may be the logical choice, since its double-sideband noise figure is less than the optimum noise figure of the up-converter or the no degenerate negative-resistance parametric amplifier. Furthermore, the degenerate amplifier is a much simpler device to build and uses a relatively low pump frequency. In radar systems the negative-resistance parametric amplifier may be the better choice, since the frequency required by the system may be higher than the X band. However, since the parametric amplifier is complicated in fabrication and expensive in production, there is a tendency in microwave engineering to replace it with the GaAs metal-semiconductor field-effect transistor amplifier in airborne radar systems.

## UNIT-III

## Microwave Linear-Beam Tubes (Otype) & Microwave Crossed-Field Tubes (Mtype) 3.1 Klystron

A klystron is a specialized linear-beam vacuum tube (evacuated electron tube). The pseudo-Greek word klystron comes from the stem form (klys) of a Greek verb referring to the action of waves breaking against a shore, and the end of the word electron.

The brothers Russell and Sigurd Varian of Stanford University are generally considered to be the inventors of the klystron. Their prototype was completed in August 1937. Upon publication in 1939, news of the klystron immediately influenced the work of US and UK researchers working on radar equipment. The Variants went on to found Varian Associates to commercialize the technology (for example to make small linear accelerators to generate photons for external beam radiation therapy). In their 1939 paper, they acknowledged the contribution of A. Arsenjewa-Heil and O. Heil (wife and husband) for their velocity modulation theory in 1935.

During the second World War, the Axis powers relied mostly on (then low-powered) klystron technology for their radar system microwave generation, while the Allies used the far more powerful but frequencydrifting technology of the cavity magnetron for microwave generation. Klystron tube technologies for very high-power applications, such as synchrotrons and radar systems, have since been developed.

Klystrons are used as an oscillator (such as the reflex klystron) or amplifier at microwave and radio frequencies to produce both low-power reference signals for super heterodyne radar receivers and to produce high-power carrier waves for communications and the driving force for linear accelerators. All modern klystrons are amplifiers, since reflex klystrons have been surpassed by alternative technologies. Klystron amplifiers have the advantage (over the magnetron) of coherently amplifying a reference signal so its output may be precisely controlled in amplitude, frequency and phase. Many klystrons have a waveguide for coupling microwave energy into and out of the device, although it is also quite common for lower power and lower frequency klystrons to use coaxial couplings instead. In some cases a coupling probe is used to couple the microwave energy from a klystron into a separate external waveguide.

Klystrons amplify RF signals by extracting energy from a DC electron beam. A beam of electrons is produced by a thermionic cathode (a heated pellet of low work function material), and accelerated to high voltage (typically in the tens of kilovolts). This beam is then passed through an input cavity. RF energy is fed into the input cavity at, or near, its natural frequency to produce a voltage which acts on the electron beam. The electric field causes the electrons to bunch: electrons that pass through during an opposing electric field are accelerated and later electrons are slowed, causing the previously continuous electron beam to form bunches at the input frequency. To reinforce the bunching, a klystron may contain additional "buncher" cavities. The electron bunches excite a voltage on the output cavity, and the RF energy developed flows out through a waveguide. The spent electron beam, which now contains less energy than it started with, is destroyed in a collector.



## Two-cavity klystron amplifier



In the two-chamber klystron, the electron beam is injected into a resonant cavity. The electron beam, accelerated by a positive potential, is constrained to travel through a cylindrical drift tube in a straight path by an axial magnetic field. While passing through the first cavity, the electron beam is velocity modulated by the weak RF signal. In the moving frame of the electron beam, the velocity modulation is equivalent to a plasma oscillation, so in a quarter of one period of the plasma frequency, the velocity modulation is converted to density modulation, i.e. bunches of electrons. As the bunched electrons enter the second chamber they induce standing waves at the same frequency as the input signal. The signal induced in the second chamber is much stronger than that in the first.

### Two-cavity klystron oscillator

The two-cavity amplifier klystron is readily turned into an oscillator klystron by providing a feedback loop between the input and output cavities. Two-cavity oscillator klystrons have the advantage of being among the lowest-noise microwave sources available, and for that reason have often been used in the illuminator systems of missile targeting radars. The two-cavity oscillator klystron normally generates more power than the reflex klystron—typically watts of output rather than milliwatts. Since there is no reflector, only one high-voltage supply is to cause the tube to oscillate, the voltage must be adjusted to a particular value. This is because the electron beam must produce the bunched electrons in the second cavity in order to generate output power. Voltage must be adjusted by varying the velocity of the electron beam to a suitable level due to the fixed physical separation between the two cavities. Often several "modes" of oscillation can be observed in a given klystron.

## **3.2 Multicavity Klystron Amplifiers**

**Multicavity klystron:** In all modern klystrons, the number of cavities exceeds two. A larger number of cavities may be used to increase the gain of the klystron, or to increase the bandwidth.

**Tuning a klystron:** Some klystrons have cavities that are tunable. Tuning a klystron is delicate work which, if not done properly, can cause damage to equipment or injury to the technician. By changing the frequency of the individual cavities, the technician can change the operating frequency, gain, output power, or bandwidth of the amplifier. The technician must be careful not to exceed the limits of the graduations, or damage to the klystron can result.

Manufacturers generally send a card with the unique calibrations for a klystron's performance characteristics, that lists the graduations that are to be set, for any given frequency. No two klystrons are alike (even when comparing like part/model number klystrons) so that every card is specific to the individual unit. Klystrons have serial numbers on each of them that distinguishes them uniquely, and for which manufacturers may (hopefully) have the performance characteristics in a database. If not, loss of the calibration card may be an insoluble problem, making the klystron unusable or perform marginally un-tuned.

Other precautions taken when tuning a klystron include using nonferrous tools. If ferrous (magnetically reactive) tools come too close to the intense magnetic fields that contain the electron beam (some klystrons employ permanent magnets, which cannot be turned off) the tool can be pulled into the unit by

the intense magnetic force, smashing fingers, hurting the technician, or damaging the klystron. Special lightweight nonmagnetic tools made of beryllium alloy have been used for tuning U.S. Air Force klystrons.

Precautions are routinely taken when transporting klystron devices in aircraft, as the intense magnetic field can interfere with magnetic navigation equipment. Special over packs are designed to help limit this field "in the field," and thus transport the klystron safely.

Optical klystron: In an optical klystron the cavities are replaced with undulators. Very high voltages are needed. The electron gun, the drift tube and the collector are still used.

Floating drift tube klystron: The floating drift tube klystron has a single cylindrical chamber containing an electrically isolated central tube. Electrically, this is similar to the two cavity oscillator klystron with a lot of feedback between the two cavities. Electrons exiting the source cavity are velocity modulated by the electric field as they travel through the drift tube and emerge at the destination chamber in bunches, delivering power to the oscillation in the cavity. This type of oscillator klystron has an advantage over the two-cavity klystron on which it is based. It only needs one tuning element to effect changes in frequency. The drift tube is electrically insulated from the cavity walls, and DC bias is applied separately. The DC bias on the drift tube may be adjusted to alter the transit time through it, thus allowing some electronic tuning of the oscillating frequency. The amount of tuning in this manner is not large and is normally used for frequency modulation when transmitting.



Collector: After the RF energy has been extracted from the electron beam, the beam is destroyed in a collector. Some klystrons include depressed collectors, which recover energy from the beam before collecting the electrons, increasing efficiency. Multistage depressed collectors enhance the energy recovery by "sorting" the electrons in energy bins.

### 3.3 Reflex klystron:

In the reflex klystron (also known as a 'Sutton' klystron after its inventor), the electron beam passes through a single resonant cavity. The electrons are fired into one end of the tube by an electron gun. After passing through the resonant cavity they are reflected by a negatively charged reflector electrode for another pass through the cavity, where they are then collected. The electron beam is velocity modulated when it first passes through the cavity. The formation of electron bunches takes place in the drift space between the reflector and the cavity. The voltage on the reflector must be adjusted so that the bunching is at a maximum as the electron beam re-enters the resonant cavity, thus ensuring a maximum of energy is transferred from the electron beam to the RF oscillations in the cavity. The voltage should always be switched on before providing the input to the reflex klystron as the whole function of the reflex klystron would be destroyed if the supply is provided after the input. The reflector voltage may be varied slightly from the optimum value, which results in some loss of output power, but also in a variation in frequency.



This effect is used to good advantage for automatic frequency control in receivers, and in frequency modulation for transmitters. The level of modulation applied for transmission is small enough that the power output essentially remains constant. At regions far from the optimum voltage, no oscillations are obtained at all. This tube is called a reflex klystron because it repels the input supply or performs the opposite function of a Klystron.

There are often several regions of reflector voltage where the reflex klystron will oscillate; these are referred to as modes. The electronic tuning range of the reflex klystron is usually referred to as the variation in frequency between half power points—the points in the oscillating mode where the power output is half the maximum output in the mode. It should be noted that the frequency of oscillation is dependent on the reflector voltage, and varying this provides a crude method of frequency modulating the oscillation frequency, albeit with accompanying amplitude modulation as well. Modern semiconductor technology has effectively replaced the reflex klystron in most applications.

#### 3.4 Helix Traveling-Wave Tubes (TWTs)

A traveling-wave tube (TWT) is an electronic device used to amplify radio frequency signals to high power, usually in an electronic assembly known as a traveling-wave tube amplifier (TWTA).



The TWT was invented by Rudolf Kompfner in a British radar lab during World War II, and refined by Kompfner and John Pierce at Bell Labs. Both of them have written books on the device. In 1994, A.S. Gilmour wrote a modern TWT which is widely used.

Cutaway view of a TWT. 1) Electron gun 2) RF input 3) Magnets 4) Attenuator 5) Helix coil 6) RF output 7) Vacuum tube 8) Collector.

The device is an elongated vacuum tube with an electron gun (a heated cathode that emits electrons) at one end. A magnetic containment field around the tube focuses the electrons into a beam, which then

passes down the middle of a wire helix that stretches from the RF input to the RF output, the electron beam finally striking a collector at the other end. A directional coupler, which can be either a waveguide or an electromagnetic coil, fed with the low-powered radio signal that is to be amplified, is positioned near the emitter, and induces a current into the helix. The helix acts as a delay line, in which the RF signal travels at near the same speed along the tube as the electron beam. The electroms (an effect called velocity modulation), and the electromagnetic field due to the beam current then induces more current back into the helix (i.e. the current builds up and thus is amplified as it passes down). A second directional coupler, positioned near the collector, receives an amplified version of the input signal from the far end of the helix. An attenuator placed on the helix, usually between the input and output helicies, prevents reflected wave from travelling back to the cathode. The bandwidth of a broadband TWT can be as high as three octaves, although tuned (narrowband) versions exist, and operating frequencies range from 300 MHz to 50 GHz. The voltage gain of the tube can be of the order of 70 decibels. A TWT has sometimes been referred to as a traveling-wave amplifier tube (TWAT), although this term was never really adopted. **Coupled-cavity TWT:** 

Helix TWTs are limited in peak RF power by the current handling (and therefore thickness) of the helix wire. As power level increases, the wire can overheat and cause the helix geometry to warp. Wire thickness can be increased to improve matters, but if the wire is too thick it becomes impossible to obtain the required helix pitch for proper operation. Typically helix TWTs achieve less than 2.5 kW output power.

The coupled-cavity TWT overcomes this limit by replacing the helix with a series of coupled cavities arranged axially along the beam. Conceptually, this structure provides a helical waveguide and hence amplification can occur via velocity modulation. Helical waveguides have very nonlinear dispersion and thus are only narrowband (but wider than klystron). A coupled-cavity TWT can achieve 15 kW output power.

Operation is similar to that of a klystron, except that coupled-cavity TWTs are designed with attenuation between the slow-wave structure instead of a drift tube. The slow-wave structure gives the TWT its wide bandwidth. A free electron laser allows higher frequencies.

### **Traveling-wave tube amplifier:**

A TWT integrated with a regulated power supply and protection circuits is referred to as a traveling wave tube amplifier. It is used to produce high-power radio frequency signals. The bandwidth of a broadband TWTA can be as high as one octave, although tuned (narrowband) versions exist; operating frequencies range from 300 MHz to 50 GHz.

A TWTA consists of a traveling-wave tube coupled with its protection circuits (as in klystron) and regulated power supply (EPC, electronic power conditioner), which may be supplied and integrated by a different manufacturer. The main difference between most power supplies and those for vacuum tubes is that efficient vacuum tubes have depressed collectors to recycle kinetic energy of the electrons and therefore the secondary winding of the power supply needs up to 6 taps of which the helix voltage needs precise regulation. The subsequent addition of a linearizer (as for inductive output tube) can, by complementary compensation, improve the gain compression and other characteristics of the TWTA; this combination is called a linearized TWTA.

Broadband TWTAs generally use a helix TWT, and achieve less than 2.5 kW output power. TWTAs using a coupled cavity TWT can achieve 15 kW output power, but at the expense of bandwidth. Uses

TWTAs are commonly used as amplifiers in satellite transponders, where the input signal is very weak and the output needs to be high power.

A TWTA whose output drives an antenna is a type of transmitter. TWTA transmitters are used extensively in radar, particularly in airborne fire-control radar systems, and in electronic warfare and self-protection systems. In these types of applications, a control grid is typically introduced between the TWT's electron gun and slow-wave structure to allow pulsed operation. The circuit that drives the control grid is usually referred to as a grid modulator.

Another major use of TWTAs is for the electromagnetic compatibility (EMC) testing industry for immunity testing of electronic devices.

## **3.4.1 Slow-wave structures:**

Slow-wave structures are special circuits that are used in microwave tubes to reduce the wave velocity in a certain direction so that the electron beam and the signal wave can interact. The phase velocity of a wave in ordinary waveguides is greater than the velocity of light in a vacuum. In the operation of traveling-wave and magnetron-type devices, the electron beam must keep in step with the microwave signal. Since the electron beam can be accelerated only to velocities that are about a fraction of the velocity of light, a slow-wave structure must be incorporated in the microwave devices so that the phase velocity of the microwave signal can keep pace with that of the electron beam for effective interactions.

**3.4.2 Amplification Process:** The magnitude of the velocity fluctuation of the electron beam is directly proportional to the magnitude of the axial electric field.

# **3.4.3 Conventional current:**

The conventional current induced in the electron beam by the axial electric field.

Space charge effect: Periodic fluctuation in beam velocity take place when the beam is under the influence of a longitudinal RF electric field. Also coupled to these velocity fluctuation are charge density fluctuation and current density fluctuation. These are known as Space charge effect.

**3.4.4 Axial Electric Field:** The convection current in the electron beam induces an electric field in the slow wave circuit. This induced field adds to the field already present in the circuit and causes the circuit power to increase with distance.

The axial electric field is given by

$$E_1 = -\frac{\gamma^2 \gamma_0 Z_0}{\gamma^2 - \gamma_0^2} i$$

This equation is called the circuit equation because it determines how the axial electric field of the slowwave helix is affected by the spatial ac electron beam current.

**3.4.5 Wave Modes:** The wave modes of a helix-type traveling-wave tube can be determined by solving the electronic and circuit equations simultaneously for the propagation constants. Each solution for the propagation constants represents a mode of traveling wave in the tube.

**3.4.6 Gain Consideration:** For simplicity, it is assumed that the structure is perfectly matched so that there is no backward traveling wave. Such is usually the case. Even though there is a reflected wave from the output end of the tube traveling backward toward the input end, the attenuator placed around the center of the tube subdues the reflected wave to a minimum or zero level. Thus the total circuit voltage is the sum of three forward voltages corresponding to the three forward traveling waves.

# Microwave Crossed-Field Tubes

Crossed-field tubes derive their name from the fact that the dc electric field and the dc magnetic field are perpendicular to each other. They are also called M –type tubes. In a crossed-field tube, the electrons emitted by the cathode are accelerated by the electric field and gain velocity, but the greater their velocity, the more their path is bent by the magnetic field. If an RF field is applied to the anode circuit, those electrons entering the circuit during the retarding field are decelerated and give up some of their energy to the RF field.

Consequently, their velocity is decreased, and these slower electrons will then travel the dc electric field far enough to regain essentially the same velocity as before. Because of the crossed-field interactions, only those electrons that have given up sufficient energy to the RF field can travel all the way to the anode. This phenomenon would make the M-type devices relatively efficient. Those electrons entering the circuit during the accelerating field are accelerated by means of receiving enough energy from the RF

field and are returned back toward the cathode. This back-bombardment of the cathode produces heat in the cathode and decreases the operational efficiency.

## 3.5 Magnetron Oscillators:

All magnetrons consist of some form of anode and cathode operated in a demagnetic field normal to a de electric field between the cathode and anode. Because of the crossed field between the cathode and anode, the electrons emitted from the cathode are influenced by the crossed field to move in curved paths. If the de magnetic field is strong enough, the electrons will not arrive in the anode but return in• stead to the cathode. Consequently, the anode current is cut off. Magnetrons can be Classified into three types:

1. Split-anode magnetron: This type of magnetron uses a static negative resistance between two anode segments.

2. Cyclotron-frequency magnetrons: This type operates under the influence of synchronism between an alternating component of electric field and a periodic oscillation of electrons in a direction parallel to the field.

3. Traveling-wave magnetrons: This type depends on the interaction of electrons with a traveling electromagnetic field of linear velocity. They are customarily referred to simply as magnetrons.

Negative-resistance magnetrons ordinarily operate at frequencies below the microwave region. Although cyclotron-frequency magnetrons operate at frequencies in microwave range, their power output is very small (about 1 W at 3 GHz), and their efficiency is very low (about 10% in the split-anode type and 1 % in the single-anode type).

# **3.6 Cylindrical Magnetron:**

A schematic diagram of a cylindrical magnetron oscillator is shown in below Figure.



Schematic diagram of a cylindrical magnetron

This type of magnetron is also called a conventional magnetron. In a cylindrical magnetron, several reentrant cavities are connected to the gaps. The dc voltage  $V_0$  is applied between the cathode and the anode. The magnetic flux density Bo is in the positive z direction. When the de voltage and the magnetic flux are adjusted properly, the electrons will follow cycloidal paths in the cathode• anode space under the combined force of both electric and magnetic fields as shown in Figure.



Electron path in a cylindrical magnetron

#### 3.7 Coaxial Magnetron:



The coaxial magnetron is composed of an anode resonator structure surrounded by an inner-single, high-Q cavity operating in the TEo11 mode as shown in above Figure. The slots in the back walls of alternate cavities of the anode resonator structure tightly couple the electric fields in these resonators to the surrounding cavity. In the Pi-mode operation, the electric fields in every other cavity are in phase, and so they couple in the same direction into the surrounding cavity. As a result, the surrounding coaxial cavity stabilizes the magnetron in the desired 1T-mode operation. In the desired TEo11 mode, the electric fields follow a circular path within the cavity and reduce to zero at the walls of the cavity. Current flow in the TE<sub>o</sub> mode is in the walls of the cavity in circular paths about the axis of the tube. The undesired modes are damped out by the attenuator within the inner slotted cylinder near the ends of the coupling slots. The tuning mechanism is simple and reliable. As the straps are not required, the anode resonator for the coaxial magnetron can be larger and less complex than for the conventional strapped magnetron, as shown in above Figure is a typical X• band coaxial magnetron. It has a minimum peak power of 400 kW at a frequency range from 8.9 to 9.6 GHz. Its duty cycle is 0.0013. The nominal anode voltage is 32 kV, and the peak anode current is 32 A.

#### 3.8 Voltage Tunable Magnetron



Cross service view of a polage tunable magnetion.

The voltage-tunable magnetron is a broadband oscillator with frequency changed by varying the applied voltage between the anode and sole. As shown in above Figure the electric beam is emitted from a short cylindrical cathode at one end of the device. Electrons are formed into a hollow beam by the electric and magnetic forces near the cathode and then are accelerated radically outward from the cathode. The electron beam is then injected into the region between the sole and the anode. The beam rotates about the sole at the rate controlled by the axial magnetic field and the dc voltage applied between the anode and the sole. The voltage-tunable magnetron uses a low-Q resonator, and its bandwidth may exceed 50% at low-power levels. In the 1T-mode operation, the bunch process of the hollow beam occurs in the resonator, and the frequency of oscillation is determined by the rotational velocity of the electron beam. In other words, the oscillation frequency can be controlled by varying the applied dc voltage between the anode and sole. Power output can be adjusted to some extent through the use of the control electrode in the electron gun. At high-power levels and high frequencies, the band width may approach 70%.

#### 3.9Ricke diagram



Rieke diagram is a graphical representation which is a method of representing the performance characteristics of magnetron. The operation condition of magnetron for a given load can be obtained from these diagrams which is basically a plot of anode voltage Vs current with power output, efficiency, flux density, frequency deviation as parameters. From these the best operating condition are selected. The frequency pushing and pulling effects can be easily determined from this diagram.

#### **UNIT-IV**

#### Strip Lines and Monolithic Microwave Integrated Circuit

#### 4.1 Microstrip (Asymmetric Strip Transmission) Lines:

### **Strip Line:**

Strip line is a three - conductor TEM mode transmission line. It consists of a central conductor called strip and two ground plates.

Microstrip line is an unsymmetrical strip line.

This line consists of a single dielectric substrate with ground plane on one side and a strip on the other face. Modes on microstrip line are only quasi - transverse electric and magnetic (TEM). The microstrip line is also called an open - strip line. Microstrip circuits and components are fabricated using printed circuit techniques.



#### 4.1.1 Characteristic Impedance of Microstrip Lines: Characteristic Impedance

A closed form approximate expression for the quasi-static characteristic impedance of a microstrip line was developed by Wheeler:

$$Z_{\text{microstrip}} = \frac{Z_0}{2\pi\sqrt{2(1+\varepsilon_r)}} \ln\left(1 + \frac{4h}{w_{\text{eff}}} \left(\frac{14 + \frac{8}{\varepsilon_r}}{11} \frac{4h}{w_{\text{eff}}} + \sqrt{\left(\frac{14 + \frac{8}{\varepsilon_r}}{11} \frac{4h}{w_{\text{eff}}}\right)^2 + \pi^2 \frac{1 + \frac{1}{\varepsilon_r}}{2}}\right)\right)$$

where  $w_{eff}$  is the *effective width*, which is the actual width of the strip, plus a correction to account for the non-zero thickness of the metallization. The effective width is given by

$$w_{\text{eff}} = w + t \frac{1 + \frac{1}{\epsilon_r}}{2\pi} \ln \left( \frac{4e}{\sqrt{\left(\frac{t}{h}\right)^2 + \left(\frac{1}{\pi} \frac{1}{\frac{w}{t} + \frac{11}{10}}\right)^2}} \right)$$

with

- Z<sub>0</sub> impedance of free space,
- $\varepsilon_r =$  dielectric constant of substrate,

w - width of strip,

- a = thickness (height) of substrate and
- t thickness of strip metallization.

This formula is asymptotic to an exact solution in three different cases

- 1.  $w \gg h$ , any  $\varepsilon_r$  (parallel plate transmission line),
- 2.  $w \ll h, arepsilon_r = 1$  (wire above a ground-plane) and
- 3.  $w \ll h, \varepsilon_r \gg 1$ .

5

### 4.1.2 Losses in Microstrip Lines

The attenuation of a microstrip depends upon the electrical properties of the substrate and conductors and also on the frequency.

Types of loses:

a) Dielectric loss in the substrate

b) Ohmic skin loss in the strip conductor and the ground plane

c) Radiation losses.

### 4.1.3 Quality Factor Q of Microstrip Lines:

Many microwave integrated circuits require very high quality resonan circuits.

The quality factor Q of a microstrip line is very high, but it is limited the radiation losses of the substrates and with low dielectric constant.

The  $Q_d$  for the dielectric attenuation constant of a microstrip line is approximately the reciprocal of the dielectric loss tangent theta and is relatively constant with frequency.

#### 4.2 Parallel Strip Lines:

A parallel strip line consists of two perfectly parallel strips separated by a perfect dielectric slab of uniform thickness. The plate width' is w, the separation distance is d, and the relative dielectric constant of the slab is  $E_{rd}$ . A parallel strip line is similar to a two - conductor transmission line, so it can support a quasi - TEM mode.



Parallel Strip lines

#### 4.2.1 Distributed Lines:

Consider a TEM mode wave propagating in the positive z direction in a lossless strip line (R = G = 0). The electric field is in the y direction, and the magnetic field is in the x direction.

If the width w is much larger than the separation distance d, the fringing, capacitance is negligible. some parameters are

$$L = \frac{\mu_c d}{w} \qquad \text{H/m}$$

$$C = \frac{\epsilon_d w}{d} \qquad \text{F/m}$$

$$R = \frac{2R_s}{w} = \frac{2}{w} \sqrt{\frac{\pi f \mu_c}{\sigma_c}} \qquad \Omega/m \qquad G = \frac{\sigma_d w}{d} \qquad U/m$$

# 4.2.2 Characteristic impedances:

The characteristic impedance of a lossless parallel strip line is

$$Z_0 = \sqrt{\frac{L}{C}} = \frac{377}{\sqrt{\epsilon_{rd}}} \frac{d}{w} \qquad \text{for } w \ge d$$

## 4.2.3 Attenuation losses:

The propagation constant of a parallel strip line at microwave frequencies can be expressed by

$$v_p = \frac{\omega}{\beta} = \frac{1}{\sqrt{LC}} = \frac{c}{\sqrt{\epsilon_{cd}}}$$
 m/s for  $\mu_c = \mu_0$ 

## 4.3 Coplanar Strip Lines:

A coplanar strip line consists of two conducting strips on one substrate surface with one strip grounded . The coplanar line is often called a coplanar waveguide (CPW). The propagating modes in coplanar lines are TE modes.

Coplanar striplines



The characteristic impedance of a coplanar strip line is

$$Z_0 = \frac{2 P_{avg}}{I_0^2}$$

## 4.4 Shielded Strip Lines

A partially shielded strip line has its strip conductor embedded in a dielectric medium, and its top and bottom ground planes have no connection.

Shielded striplines

The characteristic impedance is

$$Z_0 = \frac{94.15}{\sqrt{\epsilon_r}} \left( \frac{w}{d} K + \frac{C_f}{8.854\epsilon_r} \right)^{-1}$$
  
where  $K = \frac{1}{1 - t/d}$   
 $t = \text{the strip thickness}$   
 $d = \text{the distance between the two ground planes}$   
 $C_f = \frac{8.854\epsilon_r}{\pi} [2K \ln (K + 1) - (K - 1) \ln (K^2 - 1)]$  and is the fringe capacitance in pF/m

## 4.5 Substrate Materials

A substrate of MMIC is a piece of substance on which an electronic devices are built.

The ideal characteristics of substrate material are

- a) High dielectric constant,
- b) Low dissipation factor or loss tangent.
- c) Dielectric constant should remain constant over the frequency range and the temperature range.
- d) High purity and constant thickness.
- e) High surface smoothness
- f) High resistivity

g) Dielectric strength and

h) High thermal conductivity.

The selection of a substrate material depends on the required circuit dissipation, it circuit function and the type of circuit to be used .

Alumina, beryllia, ferrite, GaAs, glass are used as substrate materials.

# **4.6 Conductor Materials**

The ideal characteristic of a conductor material are

a) High conductivity.

b) Low temperature coefficient of resistance

c) Good etch ability and solderability and

d) Easily deposited or electroplated.

Alumina, copper, gold and silver are mainly used as conductor materials .

They are used to form both the conductor pattern and the bottom ground plane.

# 4.7 Dielectric Materials

To realize blockers, capacitors and couple - line structures, dielectric materials are used. The typical dielectric materials used in microcircuits are:  $SiO_2$ , SiO and  $Ta_2O_5$ .

Thin film SiO is not very stable and can be used only in non critical applications, such bypass capacitors .

The desirable properties of dielectric materials are:

a) Good reproductivity

b) Capability of handling high voltages

c) Ability to undergo processes without developing pin holes and

d) Low RF dielectric loss.

## 4.8 Resistive Materials

For realizing bias network, terminations, and attenuators, resistive materials are employed. The desirable properties of resistive materials are

a) Good stability.

b) Low temperature coefficient of resistance.

c) Adequate dissipation capability and

d) Sheet resistivities in the range of 10 to 1000 ohm per square.

The typical resistive materials are: Cr, Cr - SiO, NiCr, Ta and Ti.

## 4.9 MMIC Growth:

Monolithic microwave integrated circuits (MMICs) can be made in monolithic or hybrid form.

In a monolithic circuit, active devices are grown on or in a semi conducting substrate, and passive elements are either deposited on the substrate or grown in it. Monolithic integrated circuits have been successful in digital and linear applications in which all required circuit components can be simultaneously fabricated. Monolithic technology is not well suited to microwave integrated circuits because the processing difficulties, low yields and poor performance have seriously limited

their applications. The hybrid form of technology is used almost exclusively for integrated circuits in the frequency range of 1 to 15GHz. Hybrid MMICs are fabricated on a high quality ceramic, glass or substrate. The passive circuit elements are deposited on the substrate, active devices are mounted on the substrate and connected to the circuit. The resistivity of microwave integrated circuits should be much greater 1000 ohm - cm for good circuit performance.

# 4.10 MMIC Fabrication Techniques and its example.

MMICs can be fabricated by using different techniques such as

- a) diffusion and ion implantation,
- b) oxidation and film deposition,
- c) epitaxial growth,
- d) lithography,
- e) etching and photoresist, and
- f) deposition

a) Diffusion and ion implantation:

Diffusion and ion implantation are the two processes used in controlling amount of dopants in semiconductor device fabrications. The process of diffusion consists of diffusing impurities into a pure material in order to alter the basic electronic characteristics of the pure material.

Ion implantation is used to dope the substrate crystal with high energy ion impurities.

Both processes are used to dope selectively the semiconductor substrate to produce either an n or p type layer.

The advantages of the ion implantation method are:

i) Precise control of the total amount of dopants.

ii) The improvement of reproducibility and

iii) Reduced processing temperature .

Both diffusion and ion implantation can be used for fabricating discrete and integrated devices because these processes are generally complementary to one another.

b) Oxidation and film deposition:

To fabricate discrete and integrated devices or circuits many different types of thin films are used. There are four groups of thin film

i) Thermal oxides

ii) Dielectric layers

- iii) Polycrystalline silicon.
- iv) Metal films.

c) Epitaxial growth:

In epitaxial technology, single crystal semiconductor layers grow on a single crystal semiconductor substrate.

The word epitaxy comes from the Greek epi (on) and taxis (arrangement).

The epitaxial process is controlling the doping profiles so device and circuit performances can be optimized .

There are three types of epitaxy

i) Vapor - Phase Epitaxy (VPE)

ii) Molecular - Beam Epitaxy (MBE)

iii) Liquid - Phase Epitaxy (LPE)

d) Lithography:

Lithography is the process of transferring patterns of geometric shapes on a mask to a thin layer of radiation sensitive material, which is known as resist, for covering the surface of a semiconductor wafer.

The resist patterns defined by the lithographic process are not permanent elements of the final device but only replicas of circuit features.

There are four types of lithography technology.

- i) Electron-beam lithography
- ii) Ion-beam lithography
- iii) Optical lithography and
- iv) X-ray lithography

e) Etching and photoresist:

In the processes of making MICs, a selective removal of  $SiO_2$  is required in order to form openings through which impurities can be diffused. During the photolithographic process the substrate is coated with a uniform, film of Kodak Photo resist (KPR), which is a photo sensitive emulation. A mask for the desired openings is placed over the photo resist, and ultraviolet light exposes the photo resist through the mask. A polymerized photo resist is developed, and the unpolymerized portions are dissolved by using trichloro-ethylene after the mask is removed. The SiO<sub>2</sub>, which is not covered by the photo resist, can be removed by hydrofluoric acid. The thick - film process usually involves the printing and silk screening of silver or gold through a metal mask in a glass which is applied on the ceramic and fired at 850°C. After firing, the initial layer may be covered with gold.

f) Deposition:

Three methods are commonly used for making MMICs. i) Vacuum evaporation, ii) Electron - beam evaporation, and iii) DC sputtering

i) Vacuum evaporation:

Here the impurity material to be evaporated is placed in a metallic boat through which a high current is passed. The substrate with a mask on it and the heated boat are located in a glass tube in which a high vacuum at a pressure of 10- 6 to 10- 8 torr is maintained. The substrate is heated slightly while the heat is evaporating the impurities, and the impurity vapor deposits itself on the substrate, forming a polyerstalline layer on it.

ii) Electron - beam evaporation:

In another method of evaporating the impurity a narrow beam of electrons is generated to seen the substrate in the boat in order to vaporize the impurity.

### iii) DC sputtering:

The third method of vacuum deposition is known as desputtering or cathod sputtering. In a vacuum, the crucible containing the impurity is used as the cathod and the substrate as the anode of a diode. A slight trace of argon gas IS introduced into the vacuum. When the applied voltage between cathod and anode is high enough, a glow discharge of argon gas is formed. The positive argon ions are accelerated toward the cathode, where they dislodge atoms of the impurity. The impurity atoms have enough energy to reach the substrate and adhere to it.

## **4.11 Fabrication Example:**

For example, the photoresist technique can be used to remove the oxide layer in related areas. The fabrication procedures include the following

i) Deposition: An oxide layer is deposited on the semiconductor material and then a photo resist layer is deposited to cover the oxide on top of the semiconductor chip.

ii) Mask: Ultraviolet light is used to shine through a precision photographic mask to the photoresist. iii) Chemical etching: Chemical etching with hydrofluoric acid is used to remove the selected oxide region.

iv) Etching: The photo resist is finally dissolved with an organic solvent in the oxide leaving the desired opening.

## UNIT-V Microwave Measurements

#### 5.1 Slotted line VSWR measurement:

Slotted line is a fundamental tool for microwave measurements. Slotted line consists of longitudinal slot. This slot is roughly 1mm wide allows an electric field probe to enter the waveguide for measurement of relative magnitude of filed at the location of the probe.



Current flow in slotted line

The slot is located suitable in the wall of the waveguide such that the disturbance to the wall currents is minimum. For a rectangular waveguide operated in the dominant mode this location is in the middle of the broad wall.



Cross section of rectangular waveguide slotted line

Slotted section is normally mounted in a 'carriage' which also supports the probe moving inside the slot. Probe is a thin conducting wire which passes through the slot in the slotted line and couples to the fields in the waveguide. Microwave signal is then applied to a detector. The slotted line actually measures standing wave. The slotted line section with tunable detectors is used to measure following parameters.

VSWR, Standing wave pattern, Wave length, Impedance, Reflection coefficient and Return loss measurements by the minima shift method.

### **VSWR Measurement:**

VSWR and the magnitude of voltage reflection coefficient are very important parameters which determine the degree of impedance matching .

VSWR and I' are also used for measurement of load impedance by the slotted line method.



Slotted line method of VSWR measurement Standing wave ratio (S) =  $V_{max} / V_{min}$ 

#### 5.2 VSWR through return loss measurement

**Return loss:** The return loss is measure of the power reflected by a line or network or device. Return loss  $(dB) = 10 \log$  (Input energy to the device/ Re fleeted energy at the input of the device)



Microwove Sower supply

#### Experimental set up for a reflectometer



Reflectometer

The return loss and VSWR of a load can be determined by measuring the magnitude of the reflection coefficient with a reflectometer. In the internal block diagram of reflectometer, two identical directional couplers are connected opposite to each other. One coupler couples to the forward wave and the other to the reverse wave. Let us assume that the directional couplers have infinite directivity, a voltage coupling coefficient C. The main line VSWR1 and the detectors have constant impedance and perfect matching to the line.

#### **5.3 Power measurement:**

**Power:** Power is defined as the quantity of energy dissipated or stored per unit time. The microwave power inside a waveguide is invariant with position of measurement and the power measured is the average power.

Categories of power measurement:

i) Measurement of low power (less than 10 mW)

ii) Measurement of medium power (from 10 mW to 10W) and

iii) Measurement of high power (> 10W)

Average power: The average power is measured while propagation in a transmission medium.

**Thermistor:** The thermistor is a semiconductor sensor which has a negative temperature coefficient of resistance and can be easily mounted in microwave lines due to' its smaller and more compact size.



Power Measurement - Thermistor mount

**Single bridge power meter:** The microwave power applied to the arm will change the bolometer's resistance causing an unbalance in the bridge from its initial balance condition under zero incident power. Heating effect causes the bolometer's (thermistor) resistance to decrease and unbalances the bridge in proportion to the power applied. The non -zero output is recorded on a voltmeter which is calibrated to read the level of the input microwave power



Power meter using single bridge

**Double bridge power meter:** The upper bridge circuit measures the microwave and the lower bridge circuit compensates ambient temperature variation (V1 = V2). The added microwave power due to compensated automatically through a self -circuit by decreasing the de power V2 carried by the sensing thermistor until bridge balance is restored or net change in the thermistor resistance is zero due to negative dc feedback.



Power meter using double bridge for compensation

#### **5.4 Impedance measurement:**

Impedance at microwave frequencies can be measured using any of the following two methods.

- 1) Using slotted line method and
- 2) Using reflectometer

Measurement of impedance using slotted line: This method is simplest for the measurement of impedance at microwave frequencies. The unknown impedance is connected at the end of a slotted line (or a slotted wave guide). Microwave power is fed from the other end of the coaxial line (waveguide). Unknown impedance reflects a part of this power. This reflection coefficient is measured by probing the standing fields in the slotted line.



Impedance measurement using reflectometer

Measurement of impedance using reflectometer: Two directional couplers are used to sample the incident power  $P_i$  the reflected power  $P_r$  from load. Both the directional couplers, identical (except their direction). The magnitude of the reflection coefficient p, can be directly on the reflectometer from which impedance can be calculated.



Impedance measurement using reflectometer

#### 5.5 Insertion loss and attenuation measurements:

Insertion loss: The insertion loss is measure the loss of energy in transmission through a line or device compared to direct delivery of energy without the line or device.

Insertion loss  $(dB) = 10 \log$  (Power received by load without line or device/ Power received by load with line or device)

Attenuation loss: The attenuation loss is a measure of the power loss due to signal absorption in the device.

Attenuation loss (dB) =  $10 \log (($ Input energy – Reflected energy at the Input) / Transmitted energy to the load)



Insertion loss and attenuation measurements

#### 5.6 Measurement of scattering parameters – Measurement of 1 dB:

Scattering parameters are defined as the rations of the outgoing waves to the incident waves. The incident and reflected amplitudes of microwaves at any port are used to characterize a microwave circuit.



Two port network connected with network analyzer

Now, we known the reflection and insertion loss, the attenuation loss ca be calculated using following relation.

Attenuation loss (dB) = Insertion loss (dB) - Reflection loss (dB)



S-Parameters of a magic T:

Magic Tee: The device magic Tee is a combination of the E and H plane. Arm the H - arm forms an H plane Tee and arm 4, the E - arm forms, E plane Tee in combination with arm 1 and 2 a side or collinear arms.



Magic Tee



Experimental set up for S parameters measurement of magic T

Measurement of Sij:

The diagonal elements are determined from the slotted line measurement of the VSWR S, at the corresponding port with all other ports matched termination.

#### 5.7 Dielectric constant measurement of a solid using waveguide:





There are two methods are commonly used for dielectric constant measurement. They are waveguide method and Cavity perturbation method.

In electromagnetics and communications engineering, the term waveguide may refer to any linear structure that conveys electromagnetic waves between its endpoints. However, the meaning is a hollow metal pipe used to carry radio waves. This type of waveguide is used as a transmission line mostly at microwave frequencies, for such purposes as connecting microwave transmitters and receivers to their antennas in equipment such as microwave ovens, radar sets, satellite communications, and microwave radio links.

A dielectric waveguide employs a solid dielectric rod rather than a hollow pipe. An optical fiber is a dielectric guide designed to work at optical frequencies. Transmission lines such as microstrip, coplanar waveguide, strip line or coaxial cable may also be considered to be waveguides.

The electromagnetic waves in a (metal-pipe) waveguide may be imagined as travelling down the guide in a zigzag path, being repeatedly reflected between opposite walls of the guide. For the particular case of rectangular waveguide, it is possible to base an exact analysis on this view. Propagation in a dielectric waveguide may be viewed in the same way, with the waves confined to the dielectric by total internal reflection at its surface. Some structures, such as non radiative dielectric waveguides use both metal walls and dielectric surfaces to confine the wave.

Cavity perturbation theory describes methods for derivation of perturbation formulae for performance changes of a cavity resonator. These performance changes are assumed to be caused by either introduction of a small foreign object into the cavity or a small deformation of its boundary.

Reg. No..... Subject Code: 09BEEC705

# **KARPAGAM UNIVERSITY**

# (Under section 3 of UGC Act 1956) COIMBATORE - 641 021. (For the candidate admitted from 2009 onwards) – FULL TIME BE DEGREE EXAMINATIONS, NOV 2012 DEPARTMENT OF ELECTRONICS AND COMMUNICATION ENGINEERING SEVENTH SEMESTER MICROWAVE ENGINEERING

Time: 3 hours

Maximum: 100 marks

# PART - A (15 x 2 = 30 Marks) Answer ALL the questions.

1) Define microwave.

2) Why is a magic tee referred to as E-H tee?

3) Define scattering matrix.

4) Compare voltage and current controlled modes.

5) What are the elements that exhibit Gunn effect?

6) Define LSA mode.

7) What is transit time?

8) Write the classification of microwave tubes.

9) What do you meant klystron?

10) What is strip line?

11) Define microstrip line.

12) What is parallel strip line?

13) What do you meant by slotted line?

14) What is voltage standing wave ratio?

15) Give a note on return loss.

#### PART - B ( $5 \times 14 = 70$ Marks) Answer ALL the questions

Answei ALL me questions.	
16) a) Explain the operation of H plane tee and derive the scattering matrix for it.	(14)
(or)	
b) Derive the expression for two port networks relating with H, Y, Z, ABCD parameter. Write	the
properties of S matrix.	(14)
17) a) Explain briefly about limited space charge accumulation diode and indium phosphate diode.	(14)
(or)	
b) Explain and derive an expression for two valley model theory.	(14)
18) a) Explain the principle of operation of multicavity klystron amplifier.	(14)
(or)	
b) Derive an expression for reflex klystron electron efficiency and draw it admittance spiral diagra	m.(14)
19) a) Explain briefly about strip lines and coplanar strip lines.	(14)
(or)	
b) Explain the basic materials for monolithic microwave integrated circuit.	(14)
20) a) Explain the method of measuring impedance of a given load with suitable diagram.	(14)
(or)	
b) Explain VSWR through return loss measurement.	(14)

Reg. No..... Subject Code: 09BEEC705

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Time: 3 hours

Maximum: 100 marks

# PART - A (15 x 2 = 30 Marks) Answer ALL the questions.

1) Why is a magic tee referred to as E-H tee?

- 2) What are the elements that exhibit Gunn effect?
- 3) Write the classification of microwave tubes.
- 4) Define microstrip line.
- 5) What is voltage standing wave ratio?
- 6) What is waveguide?
- 7) What are modes available in avalanche devices?

8) What is catcher cavity?

9) Define partially shielded strip line.

- 10) What are the methods to detect microwave power?
- 11) What is E-plane tee?
- 12) What is meant by capacitive.
- 13) What is travelling wave tube amplifier?
- 14) What is the need for dielectric materials?
- 15) What do you meant by reflection loss?

## **PART - B** ( 5 x 14 = 70 Marks) Answer ALL the questions.

16) a) Derive and describe briefly about Shunt tee with its scattering matrix.	(14)
(or)	
b) Explain the operation of magic tee and derive the scattering matrix for it.	(14)
17) a) Explain briefly about impact ionization avalanche transit time device.	(14)
(or)	
b) Derive the Manley Rowe power relations. What are the condition for parametric	
up converter and down converter.	(14)
18) a) Explain co-axail magnetron with suitable diagram.	(14)
(or)	
b) Describe briefly about four cavity klystron amplifier.	(14)
19) a) Explain briefly about diffusion and ion implantation, oxidation and film deposition,	
epitaxial growth, lithography, etching and photo resist, deposition in monolithic	
microwave integrated circuit.	(14)
(or)	
b) List out the basic materials required for the manufactured of MMIC.	(14)
20) a) Write short note on waveguide method and cavity perturbation method.	(14)
(or)	
b) Explain the measurements of scattering parameters of a network.	(14)

Reg. No..... Subject Code: 10BEEC705

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Time: 3 hours

Maximum: 100 marks

# **PART - A** (15 x 2 = 30 Marks)

# Answer ALL the questions.

- 1) What are scattering coefficients?
- 2) What is waveguide?
- 3) Write the properties of S matrix.
- 4) Define avalanche transit time devices?
- 5) What are modes available in avalanche devices?
- 6) Define the reactance.
- 7) Define bunching.
- 8) What is catcher cavity?
- 9) State the power gain, power output and efficiency of two cavity klystron amplifier.
- 10) What do you meant by coplanar strip line?
- 11) Define partially shielded strip line.
- 12) Mention the materials used in MMICs.
- 13) Define power.
- 14) What are the methods to detect microwave power?
- 15) Name two methods to measure impedance.

# **PART - B** ( 5 x 14 = 70 Marks)

# Answer ALL the questions.

16) a) Explain and derive an open loop circuit and close loop circuit for two port network.	(14)
(or)	
b) Derive and describe briefly about series tee with its scattering matrix.	(14)
17) a) Explain briefly about Ridley Watkins Hilsum theory and two valley model theory.	(14)
(or)	
b) Describe the operating principle of cadmium telluride diode and InP diode.	(14)
18) a) Explain the operating principle of single cavity klystron with suitable diagram.	(14)
(or)	
b) Explain the working of a TWT amplifier with neat sketch.	(14)
19) a) Derive an expression for distributed parameters, characteristic impedance and attenuation	
losses in parallel strip lines.	(14)
(or)	
b) Explain the fabrication techniques of a monolithic microwave integrated circuit.	(14)
20) a) Describe briefly about insertion loss and attenuation measurements.	(14)
(or)	
b) Describe briefly about S-parameters of a two port network and S-parameters of magic T with	ith
suitable diagram.	(14)

Reg. No..... Subject Code: 10BEEC705

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Time: 3 hours

Maximum: 100 marks

# PART - A (15 x 2 = 30 Marks) Answer ALL the questions.

1) Define scattering matrix.

2) Define LSA mode.

3) What do you meant klystron?

4) What is parallel strip line?

5) Give a note on return loss.

6) Write the properties of S matrix.

7) Define the reactance.

8) State the power gain, power output and efficiency of two cavity klystron amplifier.

9) Mention the materials used in MMICs.

10) Name two methods to measure impedance.

11) Define tee - junction.

12) Define the indictive.

13) Write the application of TWT.

14) What is the need of resistive materials?

15) Define insertion loss.

#### PART - B ( 5 x 14 = 70 Marks) Answer ALL, the questions

Allower ALL the questions.	
16) a) Explain the operation of E plane tee and derive the scattering matrix for it.	(14)
(or)	
b) Explain and derive the scattering matrix for Directional coupler.	(14)
17) a) Describe the comparison between Gunn diode, IMPATT Diodes, TRAPATT Diodes	
and BARITT Diodes.	(14)
(or)	
b) Explain and derive nonlinear reactance and Manley Rowe power relations.	(14)
18) a) Describe voltage tunable magnetron and its mode operation with suitable diagram.	(14)
(or)	
b) Describe the operation of two cavity klystron with its characteristics and applications.	(14)
19) a) Explain briefly about substrate material, conductor material, dielectric material	
and resistive material.	(14)
(or)	· · ·
b) Brief explain about parallel strip lines.	(14)
20) a) Explain the experimental set up for a reflectometer in VSWR through return loss	
measurement.	(14)
(or)	
b) Explain insertion loss and attenuation measurements.	(14)

Reg. No..... Subject Code: 11BEEC705

# **KARPAGAM UNIVERSITY**

# *(Under section 3 of UGC Act 1956)* COIMBATORE - 641 021. (For the candidate admitted from 2011 onwards) – FULL TIME BE DEGREE EXAMINATIONS, NOV 2014 DEPARTMENT OF ELECTRONICS AND COMMUNICATION ENGINEERING SEVENTH SEMESTER MICROWAVE ENGINEERING

Time: 3 hours

Maximum: 100 marks

# PART - A (15 x 2 = 30 Marks) Answer ALL the questions.

- 1) What is H-plane tee?
- 2) What is E-plane tee?
- 3) Define tee junction.
- 4) Define the indictive.
- 5) What is meant by capacitive.
- 6) Give Manley Rowe relation.
- 7) Mention the applications of two cavity klystron.
- 8) What is travelling wave tube amplifier?
- 9) Write the application of TWT.

10) Define substrate.

- 11) What is the need for dielectric materials?
- 12) What is the need of resistive materials?
- 13) Define attenuation loss.
- 14) What do you meant by reflection loss?
- 15) Define insertion loss.

#### **PART - B** ( $5 \times 14 = 70$ Marks) Answer ALL the questions

Answei ALL me questions.	
16) a) Derive and explain E-H plane tee with suitable diagram.	(14)
(or)	
b) Explain the microwave isolator and faraday rotation isolator with suitable diagram.	(14)
17) a) Describe the operating principle of InP diode and CdTe diode.	(14)
(or)	
b) What are avalanche transit device? Explain the operation, construction and application for	
IMPATT diode.	(14)
18) a) Describe briefly about helix travelling wave tube with suitable diagram.	(14)
(or)	
b) Explain the construction and working of cylindrical magnetron.	(14)
19) a) Describe MMIC fabrication techniques.	(14)
(or)	
b) Write short notes on coplanar strip lines and shielded strip lines.	(14)
20) a) Describe briefly about impedance measurement using slotted line method and reflectometer	er.(14)
(or)	
b) Derive and explain about voltage standing wave ratio through return loss measurement.	(14)

Questions What is the range of Microwave frequencies What is the Length of Electro magnetic waves Two - wire lines are used as Circulators are created by Directional coupler is Coupling factor of Directional coupler is The directivty of directional coupler is Magic Tee can produce Ferrite is a Circulator is a Directivity of a directional coupler is Isolation of directional coupler is S matrix is always a Directivity of a directional coupler is always expressed in Microwave wavelength is in the range of Pick the microwave frequency band which is NOT included in the IEEE microwave bands S band is in the range of GHz C band is in the range of GHz Submillimeter band of microwave frequencies comes in the range of \_\_\_\_\_ GHz Millimeter band of microwave frequencies is in the range of GHz The microwave frequency band generally used in microwave laboratory experiments is Reflex klystron is a equipment Microwave devices use hollow wave guides because The variables in S matrix representation of a microwave circuit is Microwave circuits can be analyzed using The dependant variables in an S matrix is A microwave circulator is a The independent variables in an S matrix is In an S matrix for a 2 port microwave network, the coefficient S<sub>11</sub> implies In an S matrix for a 2 port microwave network, the coefficient  $S_{22}$  implies In S matrix representation of a microwave circuit,  $b_1/a_2$  will give In S matrix representation of a microwave circuit,  $b_2/a_1$  will give The S-parameters will generally be complex numbers because If the S parameters of a microwave circuit is given can be calculated directly If a microwave circuit provide zero reflections, then the S matrix will be For a reciprocal network the S matrix will be For a 3 port microwave network the order of S matrix will be A wave guide is a If the frequency of an input signal to a wave guide is BELOW the cutoff frequency, The mode of wave in a wave guide is indicated by  $\ensuremath{\text{TE}_{mn}}\xspace$  , the suffix 'm' indicates The mode of wave in a wave guide is indicated by TE<sub>mn</sub>, the suffix 'n' indicates A circular wave guide is used in situations where polarization is involved A wave guide Flange is used in microwave circuits in order A matched termination in a microwave circuit A Plunger in a microwave circuit A plunger is used in

In a choke plunger, the copper blocks are of the length While making a short circuit plunger, the resistance condition for the block when it is moving along the direction of propagation of wave is Opt 1 3-30 GHz 1 cm - 1 m Radiator 2 wave guide reciprocal device pa/pi pa f/pa r Sum and difference of signal highly resistance magnetic material two port device pr/pb pb/pi square matrix nepers Meters Κ 1 - 21 - 21 - 218-27 L Tube based It is very easy to use Voltages only Z matrix Amplitude of incident wave Type of wave guide tee Amplitude of incident wave The reflection coefficient at port 1 The reflection coefficient at port 1  $S_{11}$  $S_{11}$ S-Matrix is just an imaginary concept Frequency Having all diagonal elements as Zero Symmetric 2 Twisted pair of copper wire The wave is very much attenuated No: of halfwaves' intensity in 'x' direction No: of halfwaves' intensity in 'x' direction Vertical To introduce resistance to energy flow Reflects all incoming energy Reflects all incoming energy Microwave terminators

 $\lambda$ Resistance should increase Opt 2 1 - 300 GHZ 1cm - 100 m transmission lines 2 magic Tees non reciprocal device pi/pa pr/pi oscillation low resistance magnetic material one port device pb/pf pi/pb rectangular matrix dB Centimeters Х 2-42-48-12 27 - 40S Semiconductor based Microwave current flow is enormous - needs a lot of space Currents only Y matrix Amplitude of reflected wave Non-reciprocal device Amplitude of reflected wave The reflectioncoefficient at port 2 The reflection coefficient at port 2 S<sub>22</sub> S<sub>22</sub> S-parameters depends on wave amplitudes alone Input Voltage Having all diagonal elements as imaginary Skew symmetric 3 Co-axial cable The wave can pass freely without being attenuated No: of halfwaves' intensity in 'y' direction No: of halfwaves' intensity in 'y' direction Horizontal To introduce capacitive impedance Absorbs all energy without reflection Absorbs all energy without reflection Microwave attenuators

 $2\lambda$ Resistance should decrease Opt 3 1 - 300 MHZ 1 cm - 10 m Filters 2 Transmission lines an amplifier pr/pi pa/pi only sum of signal resistance three port device pi/pr pr/pi 2 x 2 without unit Kilometers Μ 4 - 84-8 27-40 40-300 С Transit time based At microwave frequencies it is flow of energy not current Voltages and currents ABCD matrix Voltage of incident wave Reciprocal device Voltage of incident wave The attenuation coefficient when wave is travelling from port 1 to 2 The attenuation coefficient when wave is travelling from port 1 to 2 S<sub>12</sub> S<sub>12</sub> S-parameters depends on wave amplitudes and their respective phases Input current Symmetric Identity matrix 4 Hollow metallic tube The wave remains as TEM wave No: of standing waves in 'x' direction No: of standing waves in 'x' direction E – plane To introduce inductive impedance Absorbs energy with partial reflections Absorbs energy with partial reflections Impedance measurements

 $\lambda/2$ Resistance should remain constant
р None of these 10cm - 100 cm TEM wave generator Circular wave guide an oscillator pi/pr pi/pa None of these None of these None of these p1/p2 p1/p2 3 x 3 watts Micrometers L 8-12 8-12 >300 >300 Х Negative Resistance based Tube based Statement is wrong - Microwave devices uses copper conductors only Wave amplitudes only S matrix Voltage of reflected wave Attenuator Voltage of reflected wave The attenuation coefficient when wave is travelling from port 2 to 1 The attenuation coefficient when wave is travelling from port 2 to 1  $S_{21}$  $S_{21}$ Microwave circuits are purely resistive Transmission loss Skew symmetric Having Zero diagonal matrix 9 Microwave resistance device Only TE waves can remain No: of standing waves in 'y' direction No: of standing waves in 'y' direction Circular To avoid leakage in circuit connections Introduces circular polarization by faraday rotation principle Introduces circular polarization by faraday rotation principle VSWR meters

 $\lambda/4$ Resistance should be absent Op5 Op6

Answer 1 - 300 GHZ 1 cm - 1 m transmission lines 2 magic Tees reciprocal device pi/pa pa f/pa r Sum and difference of signal highly resistance magnetic material None of these pr/pb pi/pb square matrix dB Centimeters М 2-44-8 >300 40-300 Х Tube based At microwave frequencies it is flow of energy not current Wave amplitudes only S matrix Amplitude of reflected wave Non-reciprocal device Amplitude of incident wave The reflection coefficient at port 1 The reflection coefficient at port 2 S<sub>12</sub>  $S_{21}$ S-parameters depends on wave amplitudes and their respective Transmission loss Having all diagonal elements as Zero Symmetric 3 Hollow metallic tube The wave is very much attenuated No: of halfwaves' intensity in 'x' direction No: of halfwaves' intensity in 'y' direction Circular To avoid leakage in circuit connections Absorbs all energy without reflection Reflects all incoming energy Impedance measurements

 $\lambda/4$ Resistance should remain constant

0	· •
Ones	fions
X	010110

The operation of the microwave transistor depends on The microwave bipolar transistor is operated in

The operation of FET depends on Varying conductivity of semi-FET is used as Oscillator TED is a FET TED is used as Switch Gunn Diode is a Negative Resistance device LSA diode is an example of TED IMPATT diode is an Avalanche transit time device IMPATT diode is an A narrow band device TRAPATT diode has PNN structure TRAPATT diode is High efficiency oscillator TRAPATT is used as Local oscillator in RADAR **PNN** structure BARITT diode has PIN diode is Phase shifter TED is a bulk device and has 1 junction At microwave frequencies PIN diode acts as Variable resistance MOSFET is a four terminal device phase shift is not a function of frequency probe is short antenna One advantage of microwave SSDs over tube type devices are High Power output capacity Pick the odd one out Microwave BJT Pick the odd one out **JFET** Pick the odd one out Gunn diode Pick the odd one out Read diode Which of the following is NOT a microwave TED device? CdTe diode The Charge Coupled Devices comes under the category BJT

 In a semiconductor the energy band gap is defined as
 Space between P and N type

 Pick from the following a voltage controlled device
 BJT

 The microwave BJTs are mostly npn transistors because
 It is cheaper

 The \_\_\_\_\_\_\_ surface geometry BJT can handle small signal a Interdigitated
 Example

 The best transistor amplifier configuration is
 CB

 The \_\_\_\_\_\_ configuration of the BJT cannot be used as an ample
 Example

 In a grounded emitter configuration the \_\_\_\_\_\_ terminal is con Base
 The \_\_\_\_\_\_ configuration of BJT has moderate input and out CB

 The microwave BJT can work in normal mode when
 J<sub>E</sub> is forward biased; J<sub>C</sub> is For

The microwave BJT can work in cutoff mode when $J_E$  is forward biased;  $J_C$  is ForThe inverse mode of operation is used in \_\_\_\_\_ circuitsAmplifierThe current gain of a transistor when working in CB configura  $\alpha$ 

Opt 1

Transit time

Class A

The current gain of a transistor when working in CE configural  $\alpha$ The current gain of a transistor when working in CC configural  $\alpha$ For a BJT one of the following can be justified  $\alpha = \beta$ The \_\_\_\_\_ configuration of a BJT is used as for impedance m CB In a microwave BJT the heavily doped region is always Emitter In a microwave BJT, the thinnest part will be Emitter The transistor equation is given by  $I_E = I_B + I_C$ One of the following devices can be used a Voltage Variable R BJT In the output characteristics of a BJT, the constant output curr Channel cutoff Which of the following is a negative resistance device? FET

Opt 2	Opt 3	Opt 4	Op5
PN Junction thickness	Transit time & PN Junction	n tl The base width	
Class B	Class AB	Class D	
Transit time	Frequency	Pinch off voltage	
Amplitude limiter	rectifier	switch	
Gunn diode	Tunnel diode	BARITT diode	
An Oscillator	A Clipper	A Rectifier	
Positive resistance device	high noise device	low frequency devi	ce
PN diode	PNP Diode	PNPN diode	
Low frequency device	High efficiency device	used as switch	
A white band device	noise less device	low frequency devi	ce
NPN structure	PNP Structure	none of the above	
low efficiency oscillator	A switch	Rectifier	
Amplifier in RADARS	switch in communication tr	ran low frequency oscil	lator
NPN structure	PNP Structure	none of the above	
Oscillator	Rectifier	Amplifier	
2 junction	3 junction	No junction	
filter	oscillator	switch	
three terminal device	two terminal device	two junction device	;
function of frequency	obtained from resistance	obtained from mag	netic materials
a filter	an amplifier	an oscillator	
Very stable against voltage	fluc Ability to act as a microwa	ve Low power consun	nption
JFET	HBT	Tunnel diode	-
MESFET	MOSFET	HBT	
LSA diode	InP diode	Tunnel diode	
Gunn diode	IMPATT diode	TRAPATT diode	
Read diode	LSA diode	Gunn Diode	
FET	TED	ATT	
Space in the diode where re	cor Space between the conduct	tio: The energy emitted	when a hole reco
HBT	MESFET	Tunnel diode	
It is easier to make	Electron has more mobility	th It has more stability	7
Overlay	Matrix	Surface metalized	
CE	CC	Grounded Base	
CE	CC	Grounded emitter	
Emitter	Collector	Substrate	
CE	CC	Emitter follower	
$J_{\rm E}$ is forward biased: $J_{\rm C}$ is re	ever $J_{E}$ is reverse biased: $J_{C}$ is re	eve J <sub>E</sub> is reverse biased:	J <sub>c</sub> is Forward Bi

$J_E$ is forward biased; $J_C$ is rever	$J_E$ is reverse biased; $J_C$ is reve	$J_E$ is reverse biased; $J_C$ is Forward Bi
Switching	Oscillating	TTL
β	γ	I <sub>B</sub>

β	γ	$I_E$
β	γ	I <sub>C</sub>
$\beta = \gamma$	$\gamma = lpha$	$lpha=\gammaeta$
CE	CC	Inverse mode of operation
Base	Collector	Collector junction
Base	Collector	Substrate
$I_{\rm B} = I_{\rm E} + I_{\rm C}$	$I_{\rm C} = I_{\rm E} + I_{\rm B}$	$I_E = I_C / I_B$
HBT	FET	Read diode
Channel pinchoff	Effective base width reducing	gBase current approaching emitter cur
CCD	HBT	Tunnel Diode

Answer Transit time & PN Junction thickness depletion region Class B Varying conductivity of semiconductor material Oscillator Gunn diode An Oscillator Negative Resistance device TED Avalanche transit time device A white band device PNN structure High efficiency oscillator Local oscillator in RADAR **PNP** Structure Phase shifter No junction Variable resistance four terminal device function of frequency short antenna Low power consumption JFET HBT Tunnel diode Gunn diode Read diode FET Space between the conduction band and mbines wi valence band where no electrons can stay **MESFET** Electron has more mobility than holes Interdigitated CE CC Emitter CE  $J_E$  is forward biased;  $J_C$  is reverse Biased  $J_E$  is reverse biased;  $J_C$  is reverse Biased TTL α

Op6

ased

ased

 $\begin{array}{l} \beta \\ \gamma \\ \beta = \gamma \\ CC \\ Emitter \\ Base \\ I_E = I_B + I_C \\ FET \\ rent \\ Effective base width reducing to zero \\ Tunnel Diode \end{array}$ 

Questions	Opt 1
Pick the device which works on the principle of a parallel plate	
capacitor	Microwave BJT
The input resistance of a MOSFET is ideally	Zero
CCDs are an array of	Microwave BJTs
When the implementation in silicon is considered, which on has smaller size?	Microwave BJT
Most of the microwave SSDs is of N type semiconductor. This	
is because	It is cheaper
Which of the following is NOT a negative resistance device?	Tunnel diode
Klystron cavity works on the principle of	Negative resistance
Pick the term which is NOT related to a two-cavity klystron	Velocity modulation
Velocity modulation in a klystron happens in	The first cavity
In a two-cavity klystron, the electron density is NOT constant	
near	Electron gun
In a two cavity klystron, the kinetic energy from the electron is	
transferred to the field near	Electron gun
The efficiency of the two cavity klystron is about	0.3
The power gain of a two-cavity klystron is aboutdB	10
In a two-cavity klystron, the amplitude of the input signal is	
than the dc accelerating voltage	Greater
A re-entrant cavity is one in which the metallic boundaries	<b>T</b> / <b>'</b>
The minute in the second secon	Interior
The microwave tubes differ from the other vacuum tubes in	
for microwave accillations	Vala eiter
Disk the odd one out	Pofley klystron
Pick the crossed field device form the following	Reflex klystron
Pick from the following which can be used as a microwave	Kenex kiysü oli
amplifier	Reflex klystron
Which of the following CAN NOT be used as a microwave	Reflex Riystron
amplifier?	Microwave BIT
A steady microwave oscillation is generated from the reflex	
klystron when the	
power loss in the cavity power delivered by the	
system	Equal
Vacuum triodes are suitable at	Low and high frequencies
At high frequencies, conventional vacuum tubes have	
limitations with the presence of	Transit time effects
The linear beam tube (O type) is	Reflex klystron
Microwave M-type tube is	Klystron
Slow-wave structure is	Klystron
The unit of electron transit angle is	Radian
The unit of transit angle is	Sec/m
Feed back in klystron is by	Using three cavities

The re-entrant cavities in klystron can be Circular The velocity modulation in klystron is represented by Equivalent circuit The velocity of electron in klystron is proportional to Square root of beam voltage Beam coupling co-efficient in klystron is a function of Beam voltage The transit angle is proportional to Space between the cavities The bunching parameter in klystron is proportional to Beam coupling The mode number in reflex klystron is Nn = (n + 1/4)The electronic tuning range in reflex klystron is Total change in frequency from one The output efficiency of reflex klystron is the ratio of Output RF power to input RF power TWYSTRON is a combination of Klystron and magnetron Magnetron is O-type tube Magnetron is Low frequency oscillator BWO is Continuous wave oscillator The electron velocity in reflex klystron is  $\sqrt{(2(e/m)(1/V_{b}))}$ TWT is M-type tube The force on the electron by the magnetic field in a magnetron  $F = -e (V \times B)$ is Transit angle in a vacuum tube is  $\omega d / v_{e}$ The electron velocity in a tube is  $0.593 \times 10^{6} V_{h}$ The beam coupling co-efficient is  $(Sin (T_t / 2)) / (T_t / 2)$ The bunching parameter of a klystron is  $((\beta_c V_m) / 2V_b) \theta_t$ The dc transit angle between cavities is  $(\omega L) / v_e$ 

The mutual conductance of a klystron amplifier is the ratio of  $g_{m of} a$  klystron is

Beam current to beam voltage  $2\beta_c I_b J_1(X) / V_m$ 

Opt 2	Opt 3	Opt 4	Op5
HBT	Tunnel diode	MOSFET	
Matched	Infinity	100 Ω	
HBTs	FETs	MOS capacitors	
Low frequency BJT	FET	Klystron	
	Electron mobility is faster	P type becomes unstable at	
It is easy to construct	than hole mobility	microwave frequencies	
Gunn diode	TED	FET	
Temperature dependence	Velocity modulation	Avalanche breakdown	
Current modulation	Voltage modulation	Electron gun	
The second cavity	Near the electron gun	Near the repeller	
First cavity	Second cavity	Input modulation	
<b></b> .	~		
First cavity	Second cavity	Repeller plate	
0.4	0.5	1	
20	30	90	
Lesser	Equal	Much lesser	
Exterior	Center	Tangent	
LAUIDI	Center	Tangent	
Current	Transit time	Gunn effect	
Two-cavity klystron	TWT	Magnetron	
Two-cavity klystron	TWT	Magnetron	
Magnetron	Gunn diode	Т₩Т	
Widghetron		1 1 1	
Two cavity klystron	Reflex Klystron	TWT	
Double	Half	Square	
Low frequencies	High frequencies	X band only	
200 nequeneres	ingh n'equeneres		
Low bandwidth	High noise	Vacuum	
Amplitron	Gyrotrons	Magnetron	
TWT	Magnetron	BWA	
Magnetron	Dematron	TWT	
Radian / m	Radian / sec	Radian – sec	
Second	Sec/radian	Sec/degree	
Re-entrant	Using four cavities	Anode	

Op6

Rectangular	Elliptical	Toroidal
Applegate diagram	Output voltage	Output power
Electron charge	Electron mass	Square root of mass of the electron
Transit angle	Input signal	Depth of velocity modulation
V <sub>e</sub>	e	1/ω
$1/\theta_t$	Vs	1/T <sub>t</sub>
Nn = (n + 3/4)	Nn = (n)	Nn = (n - 3/4)

Total change in frequency Total change in frequency Total change in frequency with transit angle

Output RF power to inpu Output dc power to input cOutput dc power to input RF power

Klystron and BWA	Klystron and BWO	
An amplifier	A detector	
High power device	Low gain amplifier	
Square wave generator	Amplifier	
$\sqrt{2(m/e)V_b}$	$\sqrt{((1/2)(e/m)V_{b})}$	
O-type tube	Cross field tube	
$\mathbf{F} = -\mathbf{e} \left( \mathbf{B} \times \mathbf{V} \right)$	$\mathbf{F} = -\mathbf{e} \left( \mathbf{V} \cdot \mathbf{B} \right)$	
$\omega$ / $dv_e$	fd / $v_e$	
$0.593 \times \sqrt{(V_b)}$	$0.953  imes 10^6$ V(V <sub>b</sub> )	
$(Sin (\theta_t / 2)) / (\theta_t / 2)$	$(\omega \sin (\theta_t / 2)) / (\theta_t / 2)$	
(( $\beta_c V_m$ ) / 2V_b) T <sub>t</sub>	$((\beta_{c} V_{m}) / 2V_{b})$	
$\omega / v_e$	L / v <sub>e</sub>	
	Klystron and BWA An amplifier High power device Square wave generator $\sqrt{(2(m/e)V_b)}$ O-type tube $F = -e (B \times V)$ $\omega / dv_e$ $0.593 \times \sqrt{(V_b)}$ $(Sin (\theta_t / 2)) / (\theta_t / 2)$ $((\beta_c V_m) / 2V_b) T_t$ $\omega / v_e$	Klystron and BWAKlystron and BWOAn amplifierA detectorHigh power deviceLow gain amplifierSquare wave generatorAmplifier $\sqrt{(2(m/e)V_b)}$ $\sqrt{((1/2)(e/m)V_b)}$ O-type tubeCross field tube $F = -e (B \times V)$ $F = -e (V \cdot B)$ $\omega / dv_e$ $fd / v_e$ $0.593 \times \sqrt{(V_b)}$ $0.953 \times 10^6 v(V_b)$ $(Sin (\theta_t / 2)) / (\theta_t / 2)$ $(\omega Sin (\theta_t / 2)) / (\theta_t / 2)$ $((\beta_c V_m) / 2V_b) T_t$ $((\beta_c V_m) / 2V_b)$ $\omega / v_e$ $L / v_e$

## Answer

MOSFET Infinity MOS capacitors

FET Electron mobility is faster than hole mobility

FET Velocity modulation

Voltage modulation The first cavity

Second cavity

Second cavity 0 30

Much lesser

Interior

Transit time Magnetron Magnetron

## TWT

Reflex Klystron

Equal Low frequencies

Transit time effects Reflex klystron Magnetron TWT Radian Second Re-entrant Toroidal Applegate diagram Square root of beam voltage Transit angle Space between the cavities Beam coupling Nn = (n + 3/4)Total change in frequency from one end of the mode to the other

Output RF power to input dc power Klystron and TWT An oscillator High power device Continuous wave oscillator  $\sqrt{(2(e/m)V_b)}$ O-type tube

$$\begin{split} F &= -e \; ( \; V \times B \; ) \\ \omega d \; / \; v_e \\ 0.593 \; \times \; 10^6 \; \sqrt{(V_b)} \\ (Sin \; (\theta_t \; / \; 2)) \; / \; (\theta_t \; / \; 2) \\ ((\beta_c \; V_m) \; / \; 2V_b) \; \theta_t \\ (\omega L) \; / \; v_e \end{split}$$

Induced current to induced voltage  $2\beta_c I_b J_1(X) \,/\, V_m$ 

Questions	Opt 1
Characteristic impedance of microstrip is also a function of the ratio of	Height Multiple Microwave
MMIC stands for	IC
A horizontal polarized wave is given as input to a wave guide twist the output polarization will be	Horizontal
In a wave guide twist; the length of the twist is kept to an odd multiple of	λ
The microwave circuit component which is similar to a resistor used in lower frequencies is	Isolator
The microwave circuit component which is similar to a pn junction diode used in lower frequencies is	Isolator
The material commonly used to make microwave attenuators are	Copper
In a wave guide attenuator, the resistive film is kept	Parallel to E field
In a wave guide, the maximum E field will be available	At the center of the wave guide
Microwave isolator is a	Reciprocal device
Microwave isolators works on the principle of polarized waves experiences maximum attenuation near the	orizontal polarization Positive circular
ferrite material in an isolator	
Pick the S matrix for an isolator	$S_{11} = 0, S_{12} = 1, S_{21} = 0, S_{22} = 0$
In microwave circuits, a junction with three independent ports are usually called as	Directional Coupler
One theorem for wave guide tees states that, "a short circuit can always be	Maximum
placed in one of the arms, in such a way that power can be transmitted	
The statement "it is impossible for a general 3 port junction to	Always true
present matched	
impedance at all three arms" is	
wave guide tee is known as series tee	E Plane
wave guide tee is known as parallel tee	E Plane
i ne diagonal elements in the S matrix for an E plane tee will	U
aiways ut Isolator is made of	non ferrite
Faraday rotation produces	isolation
Directional coupler produces	division of power
In a two-cavity klystron, the cavity is the input cavity	Buncher

In a two-cavity klystron, the cavity is the output cavity	Buncher
uses slow wave principle	Klystron
The general life of a TWT is about hours	50
Cross field devices are also known as type devices	0
The theoretical efficiency of a reflex klystron is around%	10
The Q values of the cavities in a magnetron is	Very low
The TWT is a device	Broad band Electron beam is velocity modulated without a resonant
A slow wave device is a device in which	cavity.
Strip lines was invented by	Wilhelm Rontgen
Strip lines and Micro Strip lines are	Wave giude
Wave guides conduct microwave energy at than coaxial	higher loss
La strin line middle conductor is conducided between	
In strip line middle conductor is sandwiched between	Loss less cable
In an S matrix for a 2 port microwave network, the coefficient $S_{21}$ implies	The reflection coefficient at port 1
In an S matrix for a 2 port microwave network, the coefficient $S_{11}$ implies	The reflection coefficient at port 1
Attenuation is measured in	Volts

Opt 2	Opt 3	Opt 4	Op5	Op6
width Monolithic Microwave IC Vertical	length Microwave Monolithic IC Circular	height to the width Measurement of Microwave IC Elliptical		
2λ	$\lambda/2$	λ/4		
Plunger	Choke plunger	Attenuator		
Plunger	Choke plunger	Attenuator		
Carbon	Ceramic	Aquadag		
Perpendicular to E field	Parallel to H field	Perpendicular to H field		
At a distance $\lambda$ from the walls Non-reciprocal device	At a distance $2\lambda$ from the walls Resistive device	Near the walls of the wave guide Capacitive device		
Faraday rotation Negative circular	Poynting vector theorem Horizontal	Permanent magnetism Vertical		
$S_{11} = 1, S_{12} = 0, S_{21} = 0, S_{22} = 1$ Plunger	$S_{11} = 0, S_{12} = 1, S_{21} = 1, S_{22} = 0$ Isolator	$S_{11} = 0, S_{12} = 0, S_{21} = 0,$ $S_{22} = 0$ Wave guide Tee		
Minimum	No	180 degree out of phase		
Always false	Depends on construction of junction	Cannot say with the data given		
H plane H plane Imaginary	Magic Magic Non-zero	Hybrid ring Hybrid ring 1		
ferrite circular properties amplification	Si matching properties generator of power	Ge transmission properties reduction of power		
Catcher	Repeller	Modulation		

Catcher	Repeller	Modulation
Magnetron	TWT	Microwave Amplifier
500	5000	50000
М	TWT	Hybrid
20	30	50
Low	High	Always 0
Narrow band	Frequency independent	Highly frequency selective
The beam is slowed		
down by an opposite	Two cavities are used to	Four cavities are used to
field	slow down the wave	slow down the wave
Robert M. Barrett	shockley	none of the option
		Microwave measurement
Transmission line	Microwave Monolithic IC	device
lower loss	low frequency	none of the option
ground planes	two cavity	Two dielectric
	The attenuation coefficient	The attenuation
The reflection	when wave is travelling	coefficient when wave is
coefficient at port 2	from port 1 to 2	travelling from port 2 to 1
	The attenuation coefficient	The attenuation
The reflection	when wave is travelling	coefficient when wave is
coefficient at port 2	from port 1 to 2	travelling from port 2 to 1
Ampere	Decibels	watt

## Answer

height to the width

Monolithic Microwave IC Vertical

 $\lambda/4$ 

Attenuator

Isolator

Aquadag

Parallel to E field

At the center of the wave guide Non-reciprocal device

Faraday rotation Negative circular

 $S_{11} = 0, S_{12} = 1, S_{21} = 0,$  $S_{22} = 0$ Wave guide Tee

No

Always true

E Plane H plane Non-zero

ferrite circular properties division of power

Buncher

Catcher TWT 50000 M

20 High

Broad band

Electron beam is velocity modulated without a resonant cavity. Robert M. Barrett

Transmission line

lower loss ground planes

The attenuation coefficient when wave is travelling from port 2 to 1

The attenuation coefficient when wave is travelling from port 1 to 2 Decibels

Questions	Opt 1
Which device is not used for microwave application	IMPATT diada
For a short circuit plunger resistance between the conner	Low
block and the guide wall is desired	Low
In a wave guide corner reflections	Are present
A wave guide corner is used to alter the guide direction by degree	90
The mean length L between junctions in a wave guide bend is kept at an odd multiple of $\lambda/4$ in order to	Double the transmission rate
Wave guide bends is used to alter the direction of a wave guide by degree	45
Which of the following does not have a P-N junction?	FET
In a tunnel diode, the doping level is	Very low
Which of the following can be used as a high speed switch/	FET
Which of the following cannot be used as a microwave amplifier?	BJT
READ diode is a	Practical diode
In GaAs diode number of conduction band valleys are there	4
	IMPact
	ionization Avalanche
IMPATT stands for	Transit-Time diode
Plasma is a	state of matter
	mixture of liquid and
Plasma are	solid
	TRApped, Plasma
	Avalanche Triggered
TRAPATT stands for	Transit diode
	Improper initial
Insertion loss is the loss of signal power resulting from	setting
L band is in the range of GHz	1–2
X band is in the range of GHz	1–2
The maximum electronic efficiency of a reflex klystron is %	40
	Similar to low
The vacuum tubes used in microwave frequencies is	frequency tubes
The limitations of ordinary vacuum tubes are	Lead inductance
cavity klystron is generally used	2
The power gain of a klystron is about dB	30
2–4 GHz is the frequency of band	L

4-8 GHz is the frequency of --- band Which is not a negative resistance diode

S-parameters isS-parameters use ----- conditions to characterize electrical networkNetwork analyzer is used for measuring4-8 GHz is the frequency of --- band

L tunnel diode

Impedance parameter open circuit Voltage L

Opt 2 standing wave ratio	Opt 3 Amplitude	Opt 4 none of the option	Op5	Op6
TRAPATT diode	Gunn diode	Zener diode		
High	Zero	Infinitely high		
Are absent	Are enormous	Cancel from both directions		
60	Any convenient	45		
Cancel the reflections	Avoid any possible	To change polarization		
from either side	reflections	ro enange polarization		
60	90	Any convenient		
DIT	Tunnal diada	TEDa		
DJ1	I unner diode	Same as that of an intrinsic		
Verv high	Moderate	material		
Read diode	CCD	Tunnel diode		
FET	Tunnel Diode	Gunn diode		
Theoretical doide	Tunnel Diode	MMIC diode		
3	2	more than 2		
	-			
IMpact	IMpact			
Polarization Avalanch	Polarization Anode Tr			
e Transit-Time diode	ansit-Time diode	none		
	mixture of liquid and			
outer layer	solid	none of the options		
electrically conductive	insulators	semisolid		
	Transsion Read			
TRApped, Plasma	Avalanche Plasma			
Anode Triggered	Anode I riggered			
I ransit diode	I ransit diode	none of the options		
insertion of a device	insertion of a klystron			
in a transmission line	oscillator	none of the options		
2–4	4-8	8–12		
2–4	4-8	8–12		
50	22.7	35		
<b>C</b> <sup>1</sup> <b>1</b>	C: 1			
Similar to	Similar to a vacuum	Different from that of low		
semiconductor devices	diode	Irequency vacuum tubes		
Inter electrode		4 11 71 1		
capacitance	Effect of transit time	All the above		
4	0	8		
-30	60	-60		
Х	0	S		

С	0	S
Gunn diode	thyristors	zener diode
Admittance parameter	Current parameter	Scattering parameters
Matched loads	Short circuit	closed circuit
loss in medium	S-parameters	non of the option
Х	0	non of the option

Answer standing wave ratio Zener diode Low

Are present 90

Cancel the reflections from either side

Any convenient

TEDs

Very high Tunnel diode Gunn diode Theoretical doide 2

IMPact ionization Avalanche Transit -Time diode

state of matter

electrically conductive

TRApped, Plasma Avalanche Triggered Transit diode

insertion of a device in a transmission line 1-2 8–12 23

Different from that of low frequency vacuum tubes

All the above 2

30

S

C zener diode

Scattering parameters Matched loads S-parameters non of the option