

COURSE CODE:18PHP205C UNIT I (Growth and structure of films)

BATCH-2018-2020

# **Growth and structure of films**

General features - Nucleation theories - Post-nucleation growth - Four stages of film growth incorporation of defects during growth - Thin film structures - Structural defects.

**Thickness Measurement Methods:** Electrical methods Mass methods – Optical interference method – Photometric – Ellipsometry – multiple beam Interferometry – Other methods – Substrate cleaning.

#### **Nucleation theories**

Nucleation is typically defined to be the process that determines how long an observer has to wait before the new phase or self-organized structure appears.

# **Post-nucleation growth**

Post-nucleation stage particles are formed by an atom-by-atom building process. Reevaporation of adatoms and migration along the edge of already formed particles

# Four stages of film growth incorporation of defects during growth Spray pyrolysis

It is a **process** in which a thin film is deposited by **spraying** a solution on a heated surface, where the constituents react to form a chemical compound. The chemical reactants are selected such that the products other than the desired compound are volatile at the temperature of deposition.

# **Principle:**

Spray pyrolysis involves a thermally stimulated chemical reaction between constituent ions to form the required compound. In this technique, a solution containing the soluble salts of the constituent atoms of the required compound is sprayed on to a hot substrate in the form of fine droplets, using a sprayer. Usually compressed air will be the carrier gas. But compressed nitrogen is also used as carrier gas to avoid the



# CLASS: I M.Sc PHYSICS COURSE NAME: THIN FILM PHYSICS COURSE CODE: 18PHP205C UNIT I (Growth and structure of films)

BATCH-2018-2020

presence of oxygen. The sprayed droplets reaching the hot substrate surface undergo pyrolytic decomposition and form the compound as a thin film on the surface of the hot substrate. In fact it is the hot substrate which provides the thermal energy needed for the decomposition and subsequent recombination of the constituent species. The other volatile by-products and the excess solvents are converted into vapourphase and are removed-from the site of chemical reaction by using an exhaust fan. Carrier gas here plays an active role in the pyrolytic reaction process especially in the case of oxide films. Doping can be easily accomplished by simply dissolving the dopants in the required quantity in the spray solution. Only thing to be noted here is that the soluble salt of the dopant should be available. Such an attempt is made in the present work in order to prepare indium doped cadmium supplied films. Here characterization of the film is required for knowing the quantity of dopant available in the film. Actually in Our work, we varied the concentration of the dopant and the characterization of the films was also done. Even multi component doping can be done on different layers of the film, using this technique.

The growth rate of the sprayed films depends upon the chemical and topographical nature and temperature of the substrate, the chemical nature and concentration of spray solution and its additives. Another factor that affects the growth rate is the spray parameters like scanning speed of the spray head, the distance of the spray head from the substrate, the angle of incidence of the droplet on the substrate etc. The thickness of the film increases almost linearly with spraying time, i.e. with the amount of sprayed solution. In general, the spray pyrolysis process affects the substrate surface. When it is not desirable for the substrate to take part in the pyrolytic reactions, neutral substrates such as glass/quartz, ceramics are employed. The chemical composition of the film is found to depend on the kinetics of the pyrolytic process. Under appropriate conditions, stoichiometric supplied and selenide films and nearly stoichiometric oxide films can be obtained. The stoichiometry of the supplied films does not vary appreciably with the metal-to Sulphur ion ratio in the spray solution for ratios



# CLASS: I M.Sc PHYSICS COURSE NAME: THIN FILM PHYSICS COURSE CODE: 18PHP205C UNIT I (Growth and structure of films)

BATCH-2018-2020

ranging from 1: 1 to 1: 1.5, but the microstructure of the film is strongly influenced by this ratio. But on the other hand, stoichiometry of oxide films is dependent on relatively more complex reactions. In the case of Sn02, the deviation from stoichiometry (i.e., the number of oxygen vacancies) is equal to the number of Sn4+ species reduced to Sn2+ ions, and this is controlled by the water and alcohol content in the spray solution. The oxygen content in the film is also influenced by the rate of cooling of the films after the spray is over, owing primarily to the adsorption of oxygen. The spray deposited films are strongly adherent, mechanically hard, free from pin hole and stable with time and temperature. Post deposition annealing of films generally affects the oxygen dominated electrical properties significantly. The samples annealed Sn02 films at 250°C, both in air and

vacuum. They observed no change in optical properties, whereas there is a significant improvement in electrical properties. This is due to the oxygen chemisorption desorption mechanisms at grain boundaries. In the present work, we made use of this technique for the preparation of tin oxide thin films and cadmium supplied thin films.

It is a physical vapor deposition (PVD) process that is sometimes called *ion* assisted deposition (IAD) or *ion vapor deposition* (IVD) and is a version of vacuum deposition. Ion plating uses concurrent or periodic bombardment of the substrate, and deposits film by atomic- sized energetic particles. Bombardment prior to deposition is used to sputter clean the substrate surface. During deposition the bombardment is used to modify and control the properties of the depositing film. It is important that the bombardment be continuous between the cleaning and the deposition portions of the process to maintain an atomically clean interface.

In ion plating the energy, flux and mass of the bombarding species along with the ratio of bombarding particles to depositing particles are important processing variables. The depositing material may be vaporized either by evaporation, sputtering (bias



COURSE CODE:18PHP205C UNIT I (Growth and structure of films)

BATCH-2018-2020

sputtering), and arc vaporization or by decomposition of a chemical vapor precursor chemical vapor deposition (CVD). The energetic particles used for bombardment are usually ions of an inert or reactive gas, or, in some cases, ions of the condensing film material ("film ions"). Ion plating can be done in a plasma environment where ions for bombardment are extracted from the plasma or it may be done in a vacuum environment where ions for bombardment are formed in a separate *ion gun*. The latter ion plating configuration is often called Ion Beam Assisted Deposition (IBAD). By using a reactive gas or vapor in the plasma, films of compound materials can be deposited.

Ion plating is used to deposit hard coatings of compound materials on tools, adherent metal coatings, optical coatings with high densities, and conformal coatings on complex surfaces.

# Vacuum evaporation:

It is the most widely used method for preparing stoichiometric thin films, as it is very simple and convenient. Here the only requirement is to have a vacuum environment in which sufficient amount of heat is given to the evaporate to attain the vapor pressure necessary for evaporation. Then the evaporated material is allowed to condense on a substrate kept at a suitable temperature. Deposition consists of three distinguishable steps.

- 1) Transition of the condensed phase (solid or liquid) into the gaseous state.
- 2) Traversal of the vapor from the vapor source to the substrate.
- 3) Condensation of the vapor at the substrate.

When evaporation is made in vacuum, the evaporation temperature will be considerably lowered and the donation of the oxides and incorporation of impurities in



COURSE CODE:18PHP205C UNIT I (Growth and structure of films)

BATCH-2018-2020

the growing layer will be reduced. Evaporation is nominally done at a pressure of 10-5 tort. At this pressure, a straight line path for most of the emitted vapor atoms is also ensured, for a substrate-to-source distance of nearly 10-50 cm. The details of this technique is also available in the standard books mentioned earlier [2-5]. Depending upon the nature of heating there are several types of vacuum evaporation techniques. The most popular types are briefly described in the following section.

For <u>molecules</u> of a liquid to evaporate, they must be located near the surface, they have to be moving in the proper direction, and have sufficient kinetic energy to overcome liquid-phase intermolecular forces. When only a small proportion of the molecules meet these criteria, the rate of evaporation is low. Since the kinetic energy of a molecule is proportional to its temperature, evaporation proceeds more quickly at higher temperatures. As the faster-moving molecules escape, the remaining molecules have lower average kinetic energy, and the temperature of the liquid decreases. This phenomenon is also called evaporative cooling. This is why evaporating sweat cools the human body. Evaporation also tends to proceed more quickly with higher flow rates between the gaseous and liquid phase and in liquids with higher vapor pressure. For example, laundry on a clothes line will dry (by evaporation) more rapidly on a windy day than on a still day. Three key parts to evaporation are heat, atmospheric pressure (determines the percent humidity), and air movement.

On a molecular level, there is no strict boundary between the liquid state and the vapor state. Instead, there is a Knudsen layer, where the phase is undetermined. Because this layer is only a few molecules thick, at a macroscopic scale a clear phase transition interface cannot be seen.

Liquids that do not evaporate visibly at a given temperature in a given gas (e.g., cooking oil at room temperature) have molecules that do not tend to transfer energy to each



COURSE CODE:18PHP205C UNIT I (Growth and structure of films)

BATCH-2018-2020

other in a pattern sufficient to frequently give a molecule the heat energy necessary to turn into vapor. However, these liquids *are* evaporating. It is just that the process is much slower and thus significantly less visible.

# **Methods of Sputtering:**

Sputtering sources often employ magnetrons that utilize strong electric and magnetic fields to confine charged plasma particles close to the surface of the sputter target. In a magnetic field, electrons follow helical paths around magnetic field lines, undergoing more ionizing collisions with gaseous neutrals near the target surface than would otherwise occur. (As the target material is depleted, a "racetrack" erosion profile may appear on the surface of the target.) The sputter gas is typically an inert gas such as argon. The extra argon ions created as a result of

these collisions lead to a higher deposition rate. The plasma can also be sustained at a lower pressure this way. The sputtered atoms are neutrally charged and so are unaffected by the magnetic trap. Charge build-up on insulating targets can be avoided with the use of **RF sputtering** where the sign of the anode-cathode bias is

varied at a high rate (commonly 13.56

MHz).<sup>[4]</sup> RF sputtering works well to produce highly insulating oxide films but with the added expense of RF power supplies and impedance matching networks. Stray magnetic fields leaking from ferromagnetic targets also disturb the sputtering process. Specially designed sputter guns with unusually strong permanent magnets must often be used in compensation.

## 1. A) Ion-beam sputtering:-

Ion-beam sputtering (IBS) is a method in which the target is external to the ion



# CLASS: I M.Sc PHYSICS COURSE NAME: THIN FILM PHYSICS COURSE CODE:18PHP205C UNIT I (Growth and structure of films)

BATCH-2018-2020

source. A

source can work without any magnetic field like in a hot filament ionization gauge. In a Kaufman source ions are generated by collisions with electrons that are confined by a magnetic field as in a magnetron. They are then accelerated by the electric field emanating from a grid toward a target. As the ions leave the source they are neutralized by electrons from a second external filament. IBS has an advantage in that the energy and flux of ions can be controlled independently. Since the flux that strikes the target is composed of neutral atoms, either insulating or conducting targets can be sputtered. IBS has found application in the manufacture

of thin-film heads for <u>disk drives</u>. A pressure gradient between the ion source and the sample chamber is generated by placing the gas inlet at the source and shooting through a tube into the sample chamber. This saves gas and reduces contamination in UHV applications. The principal drawback of IBS is the large amount of maintenance required to keep the ion source operating.<sup>[5]</sup>

# 2. b) Reactive sputtering:-

In reactive sputtering, the sputtered particles undergo a chemical reaction before coating the substrate. The deposited film is therefore different from the target material. The chemical reaction that the particles undergo is with a reactive gas introduced into the sputtering chamber such as oxygen or nitrogen; oxide and nitride films are often fabricated using reactive sputtering. The composition of the film can be controlled by varying the relative pressures of the inert and reactive gases. Film stoichiometry is an important parameter for optimizing functional properties like the stress in Six and the index of refraction of six.

# 3. c) Ion-assisted deposition:-

In ion-assisted deposition (IAD), the substrate is exposed to a secondary ion beam operating at a lower power than the sputter gun. Usually a Kaufman source,



# CLASS: I M.Sc PHYSICS COURSE NAME: THIN FILM PHYSICS COURSE CODE: 18PHP205C UNIT I (Growth and structure of films)

BATCH-2018-2020

like that used in IBS, supplies the secondary beam. IAD can be used to deposit carbon in diamond-like form on a substrate. Any carbon atoms landing on the substrate which fail to bond properly in the diamond crystal lattice will be knocked off by the secondary beam. NASA used this technique to experiment with depositing diamond films on turbine blades in the 1980s. IAD is used in other important industrial applications such as creating carbon surface coatings on hard disk platters and hard transition metal nitride coatings on medical implants.

# 4. d) High-target-utilization sputtering (Hiatus):-

Sputtering may also be performed by remote generation of a high density plasma. The <u>plasma</u> is generated in a side chamber opening into the main process chamber, containing

the target and the <u>substrate</u> to be coated. As the plasma is generated remotely, and not from the target itself (as in conventional <u>magnetron</u> sputtering), the <u>ion</u> current to the target is independent of the voltage applied to the target.

# 5. e) High-power impulse magnetron sputtering (Hippies):-

Hippies is a method for physical vapor deposition of thin films which is based on magnetron sputter deposition. Hippies utilizes extremely high power densities of the order of  $kW/cm^2$  in short pulses (impulses) of tens of microseconds at low duty cycle of < 10%.

## 6. f) Gas flow sputtering:-

Gas flow sputtering makes use of the hollow cathode effect, the same effect by which hollow cathode lamps operate. In gas flow sputtering a working gas like argon is led through an opening in a metal subjected to a negative electrical potential. Enhanced plasma densities occur in the hollow cathode, if the pressure in the chamber p and a characteristic dimension L of the hollow cathode



COURSE CODE:18PHP205C UNIT I (Growth and structure of films)

BATCH-2018-2020

obey the Panchen's law 0.5 Palm < poll < 5

Palm. This causes a high flux of ions on the surrounding surfaces and a large sputter effect. The hollow-cathode based gas flow sputtering may thus be associated with large deposition rates up to values of a few µm/min.

Reactive sputtering:-

In reactive sputtering, the sputtered particles undergo a chemical reaction before coating the substrate. The deposited film is therefore different from the target material. The chemical reaction that the particles undergo is with a reactive gas introduced into the sputtering chamber such as oxygen or nitrogen; oxide and nitride films are often fabricated using reactive sputtering. The composition of the film can be controlled by varying the relative pressures of the inert and reactive gases. Film stoichiometry is an important parameter for optimizing functional properties like the stress in Six and the index of refraction of six.

Radio frequency or (RF) sputtering

It is a technique that is used to create thin films, such as those found in the computer and semiconductor industry. Like direct current (DC) sputtering, this technique involves running an energetic wave through an inert gas to create positive ions. The target material, which will ultimately become the thin film coating, is struck by these ions and broken up into a fine spray that covers the <u>substrate</u>, the inner base of the thin film. RF sputtering differs from <u>DC sputtering</u> in the voltage, system pressure, sputter <u>deposition</u> pattern, and ideal type of target material.

During the sputtering process, the target material, substrate, and RF electrodes begin in a vacuum chamber. Next, the inert gas, which is usually <u>argon</u>, neon, or krypton, depending on the size of the target material's molecules, is directed into the chamber.



COURSE CODE:18PHP205C UNIT I (Growth and structure of films)

BATCH-2018-2020

The RF power source is then turned on, sending <u>radio waves</u> through the <u>plasma</u> to ionize the gas atoms. Once the ions begin to contact the target material, it is broken into small pieces that travel to the substrate and begin to form a coating.

Since RF sputtering uses radio waves instead of a direct <u>electron</u> current, it has different requirements and effects on the <u>sputtering system</u>. For instance, DC systems require between

2,000 and 5,000 volts, while RF systems require upwards of 10<sup>12</sup> volts to achieve the same rate of sputter deposition. This is largely because DC systems involve the direct bombardment of the gas plasma atoms by electrons, while RF systems use energy to remove the electrons from the gas atoms' outer electron shells. The creation of the radio waves requires more power input to achieve the same effect as an electron current. While a common side effect of DC sputtering involves a charge build-up on the target material from the large number of ions in the chamber, overheating is the most common issue with RF systems.

As a result of the different powering method, the inert gas plasma in an RF system can be maintained at a much lower pressure of less than 15 moor, compared to the 100 moor necessary for optimizing DC sputtering. This allows for fewer collisions between the target material particles and the gas ions, creating a more direct pathway for the particles to travel to the substrate material. The combination of this decreased pressure, along with the method of using radio waves instead of a direct current for the power source, makes RF sputtering ideal for target materials that have insulating qualities.

# Thin film structures

*Poly-crystalline* thin films with grain sizes ranging from a few nanometers to **cm** the thin films

Single crystalline thin films, but full of defects like dislocations, precipitates, point defects.



COURSE CODE:18PHP205C UNIT I (Growth and structure of films)

BATCH-2018-2020

#### Structural defects

Structural defects like dislocations determine the mechanical properties of materials. The local distortion of the atomic lattice in the vicinity of the dislocation does influence the mechanical strength. In thin films, those strain fields are limited by the finite thickness of the layer, which sometimes leads to new effects. In a diffraction experiment, those strain fields can be made visible through the diffuse scattering underneath the coherent diffraction peaks.

## **Electrical methods**

# **Hot Probe Method**

Any semiconductor material film, after preparation has to be identified for its nature. The *n*-type and *p*-type semiconductors differ by the nature of their majority carriers, for the former it is the electrons and for the latter it is the holes. The simple technique for assessing the nature of the carriers in a semiconducting film is based on the fact that when a piece of semiconductor is momentarily heated at one end while the other end being cold, carriers flow from the hot end to the cold end. So for the *n*-type, the conventional current will be from the cold end to the hot end while for the *p*-type it will be the other way round. Therefore, if a technique is developed to find the direction of electron flow through a semiconductor in an electric circuit, it may be possible to determine the nature of the semiconductor and this technique is called as the "Hot Probe Technique". The experimental arrangement is shown in the Figure 4.8.

The semiconductor thin film coated substrate is placed on a metal plate. A fairly long metal rod with one end formed into a tip (usually a soldering iron) is taken. The tip is heated and one end of the semiconductor is to be touched with the heated tip for a short time, while the other end of the rod is connected to the metal base/other end of the thin film through a Galvanometer.



# CLASS: I M.Sc PHYSICS COURSE NAME: THIN FILM PHYSICS COURSE CODE:18PHP205C UNIT I (Growth and structure of films) BATCH-2018-2020

1. Hot Probe
2. Semiconductor
3. Metal Base
4. Multimeter
Cold Junction



CLASS: I M.Sc PHYSICS COURSE NAME: THIN FILM PHYSICS

COURSE CODE:18PHP205C UNIT I (Growth and structure of films)

BATCH-2018-2020

The end of the metal base, which is connected to the galvanometer, is called the cold junction and the hot tip, the hot junction. When the hot probe momentarily touches the semiconductor film, a current passes from the cold junction to the hot junction for a n-type semiconductor, whereas for the p-type, the current passes from the hot junction to the cold junction . All the deposited films have been tested by this technique to find their semiconducting nature. The type of electrical conduction of the  $TiO_2$  thin film samples prepared for the present study was found to be n-type as verified by the hot-probe technique.

# **Electrical characterization study**

The electrical resistivity of the transparent conducting oxide (TCO) thin films strongly depend on several factors such as rate of deposition, thickness, temperature and purity. The intrinsic defects, more precisely oxygen vacancies, can be attributed

to the electrical conductivity of metal oxides and the presence of a large number of defects around boundaries strongly affects the motion of charge carriers. These defects act as traps for the free charge carriers and thereby reduce the electrical conduction.

Mass methods

A method is proposed for easy determination of the mass thickness of thin films using electron-probe microanalysis.

# **Optical interference method**

**Photometric** 



CLASS: I M.Sc PHYSICS COURSE NAME: THIN FILM PHYSICS

COURSE CODE:18PHP205C UNIT I (Growth and structure of films)

BATCH-2018-2020

Photoluminescence spectral study is an important technique for measuring the purity and crystalline quality of semiconductors. Fluorescing sample (both films and solids) can be analyzed, using luminescence spectrophotometer generally on excitation with higher energy radiation such as UV (with wavelength selected). These samples give light in the lower energy region (usually in the visible region), which when wavelength scanned, yields emission spectrum characteristics of the given luminescent species.

# **Ellipsometry**

Electromagnetic radiation from an Ultraviolet-Visible source passes through a wavelength selected cell as in a spectrophotometer. Unlike the measurement of absorption in a Spectrophotometer, however a portion of the emitted radiation that exists from the cell is measured. Because the luminescent radiation can be emitted in broad band that are centered at different wavelengths, a second wavelength selector is required in the path of the emitted radiation between the cell and the detector. The emitted radiation is not usually measured in-line with the exciting radiation, as in absorptive measurements, owing to possible spectral interference from the exciting radiation. Photoluminescence has been measured at many angles relative to the incident radiation and at many locations within the hole. The most common practice is to measure the emitted radiation of 90° from the path of the exciting radiation and at the center of the cell. The signal from the detector is amplified, if required and routed to a read out device.



CLASS: I M.Sc PHYSICS COURSE NAME: THIN FILM PHYSICS COURSE CODE: 18PHP205C UNIT I (Growth and structure of films)

BATCH-2018-2020

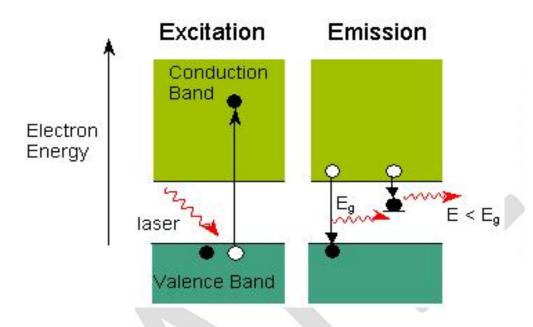


Figure: Excitation and Emission mechanisms in photoluminescence

Scanning instruments can be used to obtain two types of spectra (Figure 3.10). If the wavelength at which the emission observed is held constant, the wavelengths at which excitation occur (excitation spectrum) can be scanned. If the wavelength of the excitation radiation is fixed then the wavelength at which the emission occurs (emission spectrum) can be scanned.

# Substrate cleaning

Substrate cleaning plays an important role in the deposition of thin films. Transparent microscopic glass slides cut into 2.5 x 2.5 cm<sup>2</sup> have been used as substrates and were subjected to the following cleaning process to remove the unwanted impurities present on their



CLASS: I M.Sc PHYSICS COURSE NAME: THIN FILM PHYSICS COURSE CODE:18PHP205C UNIT I (**Growth and structure of films**)

BATCH-2018-2020

# surface.

- The glass substrates were washed with detergent by scrubbing with cotton dipped in liquid soap to remove oil and grease.
- The glass plates were then rinsed thoroughly in deionized water to remove any traces of the soap solution left on the surface followed by rinsing with acetone.
- Then the glass plates were soaked in hot chromic acid (at 90 °C) for about two hours to dissolve the fine silica layer formed on the surface.
- Finally, the substrates were rinsed thoroughly in deionized water and kept in ultrasonic water bath for 15 minutes and dried at 100 °C for one hour in an air oven.



CLASS: I M.Sc PHYSICS COURSE NAME: THIN FILM PHYSICS

COURSE CODE:18PHP205C UNIT I (Growth and structure of films)

BATCH-2018-2020



# KARPAGAM UNIVERSITY, COIMBATORE-21 DEPARTMENT OF PHYSICS I M.Sc., PHYSICS (2018-2020) THIN FILM PHYSICS (18PHP205C)

## UNIT - I

QUESTIONS UNIT I	CHOICE1	CHOICE2	СНОІСЕЗ	CHOICE4	ANSWER	ANSWER
0.1.1.1			Vapour			
	Chemical	Thermal	phase	None of		
The process of flash evaporation is similar to	evaporation	evaporation	evaporation	these	2	В
With the help of method a constant composition		Resistance	Multi	Chemical		
film can be produced.	Flash evaporation	heating	evaporation	evaporation	1	A
		Electron	Multi			
The simultaneous deposition process from different sources is	Co-evaporation	beam	evaporation			
known as	technique	technique	technique	these	1	A
	Rate and time of	Nature of	Speed of	Rate of		
Film composition depends upon	deposition	deposition	deposition	deposition	1	A
method is generally adopted when a material has a	Markinson	Flash	Thermal	Chemical	2	D
tendency to decompose or dissociate during evaporation	Multi evaporation	evaporation	evaporation	evaporation None of	2	В
In flash evaporation method the composition of the gaseous phase will be the same as that of the charge.	More or less	More	Less	these	1	A
In distribution of deposit, the velocity distribution will follow	More or less	More	Less	None of	1	A
the cosine law and decrease with of y.	Increase	Decrease	Both	these	1	A
In distribution of deposit, the velocity distribution will follow	mercuse	Decrease	Dom	these	1	71
the cosine law and decrease with increase of y. Such a source	Directional	Cylindrical		Surface		
is called	source	source	Point source		3	C
is tuited	Thermal	Chemical	Flash	Multi		
Vacuum should be as high as possible in method.	deposition	deposition	evaporation		1	A
If y = 0, then a surface source resembles a source	Directional	Cylindrical		Surface		
except that it is not spherical.	source	source	Point source		3	C
In distribution of deposit, the heating sources are made from						
refracting material.	Tungsten	Graphite	Quartz	Platinum	1	A
	· ·	•	Inclination			
			of the			
		Inclination	vapour			
		of the	stream			
The amount of deposits received by a substrate will depend on	Nature of the	vapour	&Nature of	None of		
	source	stream	the source	these	1	A
In distribution of deposit, maximum amount of deposition			Both will	All the		
takes place when	q = 0	y = 0	be zero	above	4	D
The quality and the characteristics of the deposit will depend	Nature of	Rate of	Velocity	None of		
on the	deposition	deposition	distribution	these	2	В
technique is particularly suitable for film		Chemical	Multi	None of		
composition.	Flash evaporation	•	evaporation	these	3	C
In method the composition of the gaseous phase		Multi	Flash	None of	_	_
will be more or less the same as that of the charge.	Multi evaporation		evaporation	these	3	C
	$\frac{m}{\pi}\cos\psi d\omega$	$\frac{m}{\pi} \sin \psi d\omega$	<sup>™</sup> da	None of	2	C
In point source dm is	π	π	4 <b>Z</b>	these	3	C
	Chamical	Elask	Vapour	None of		
The process of is similar to thermal evaporation.	Chemical	Flash	phase	None of these	2	В
The simultaneous evaporation process from	evaporation	evaporation	reaction	None of	2	Б
sources is known as co-evaporation technique.	Same	Different	Both a & b	these	2	В
A high substrate generally improves the physical	Same	Different	Both a & b	None of	2	ь
characteristics of the deposited films.	Pressure	Density	Temperature		3	C
characteristics of the deposited films.	Tressure	Density	Thermal	these	3	C
			deposition			
			by resistive			
			heating &			
		Sputtering	Sputtering			
		of cathode	of cathode			
	Thermal	material in	material in	Chemical		
	deposition by	presence of	presence of	vapour		
High vacuum is essential for	resistive heating	inert gas	inert gas	deposition	3	C

		Thermal				
is the most commonly used technique adopted for	Thermal	deposition		None of the		
the deposition of metals and alloys.	decomposition	in vacuum Evaporation	Both a & b	above	2	В
Thermal deposition in vacuum involved of the		or		None of the		
material in vacuum by thermal energy.	Decomposition	sublimation		above	2	В
The quality and characteristics of the deposit depends on	Rate of deposition	Substrate temperature	Ambient	All the above	4	D
At steady state of evaporation vapour atoms or molecule will	V	, V	_ N	, V	•	_
have an equilibrium pressure (P) which is given by the relation	$P = \frac{r}{N} kT$	P = -NT	P = - kT	P = -NK	2	C
Sticking coefficient has maximum value of		) <b>K</b>	. 2	4	3 2	C B
Sticking coefficient has minimum value of	(	0 1	_		1	A
Thermal deposition is the most common used technique adopted for the deposition of	Metals	Alloys	metal & alloys	None of these	3	С
in vacuum involve evaporation or sublimation of	Physical	Thermal	Chemical	None of the		-
the material in vacuum by thermal energy.	deposition	deposition	deposition	above	2	В
is essential for thermal deposition by resistive heating.	High pressure	High vacuum	Low vacuum	None of the above	2	В
A thin film is a solid layer having thickness varying from a few	8 F					
Å to about	10 mm	15 mm	20 mm Thin &	5 mm	1	A
	Ultra thin, thin,		comparative			
Based on the thickness of the thin films, they are categorized	comparatively	Ultra thin &	-	None of		
into	thicker one	thin	one	these	1	A
Thick films are obtained by the process of and subsequently fixing a paste composed of a mixture of		Chemical				
metals/alloys along with or without glass suspended in some		vapour	Thermionic			
organic solvents.	Screen printing	deposition	emission	plating Manathan	1	A
The thickness of ultra thin film ranges from	25 – 50 Å	50 – 100 Å	100 – 1000 Å	Å	2	В
The dimension along direction is known as the						
film thickness.	x & y	у	Z	y & z	3	С
Highly conducting Na, K, rubidium, gold & platinum in the bulk form show temperature coefficient in their						
thin film states.	+ ve	– ve	Both a & b	zero	2	В
Thin bismuth films unlike bulk showed super conductivity	low	high	madium	All the	1	٨
properties at temperature.	low Thermionic	high	medium	above	1	A
	emission and		Thermionic			
Due to the unbalancing forces near the surface region	absorption of	Solid state	emission &		4	D
characteristics are observed near a thin film.	gases	reaction	catalysis	above None of the	4	D
Thick films have thickness about	10 mm	5 mm	20 mm	above	1	A
	Temperature	Temperature	Temperature			
	coefficient of	conducting	-	None of the		
TCR stands for	resistance	resistance	resistance	above	1	A
Which one of the following is a refractory metal?	Tantalum	Titanium	Aluminum	None of these	1	A
If $M_A$ & $M_B$ are the molecular weights of A & B then the ratio		$\frac{E_{\hat{A}}}{E_{B}} = \left(\frac{P_{\hat{A}}}{P_{B}}\right) \left(\frac{M_{\hat{A}}}{M_{B}}\right)^{\frac{1}{2}}$	$\frac{E_A}{E_B} = \left(\frac{\rho_B}{\rho_A}\right) \left(\frac{M_B}{M_A}\right)^{\frac{1}{2}}$	these	2	В
are generally used in the form of wire or strip having	Same	Different	Circular	these	2	В
The higher vapour pressure will tend to vapourize at a temperature than the other.	higher	medium	lower	None of the above	3	С
temperature than the other.	inghei	20 Ni : 60	80 Ni : 20	20 Ni : 80	3	C
The composition rate of nichrome alloy is	60 Ni : 20 Cr	Cr	Cr	Cr	3	C
According to Holland, the ratio of evaporation of two components A & B from the alloy will be	$\frac{E_A}{E_B} = \frac{W_B}{W_A} \begin{pmatrix} \frac{\cdot A}{P_B} \\ M_A \end{pmatrix}$	$\frac{E_A}{E_B} = \frac{W_B}{W_A} \begin{pmatrix} \frac{B}{P_A} & M_B \\ M_A & M_A \end{pmatrix}$	$\frac{E_A}{E_B} = \frac{W_A}{W_B} \begin{pmatrix} \frac{\cdot n}{F_B} \\ \sqrt{\frac{M_B}{M_A}} \end{pmatrix}$	none of these	3	С
Melting point of aluminum is	961 °C	659 °C	710 °C	573 °C	2	В
What is the melting point of Al <sub>2</sub> O <sub>3</sub> ?	820 °C	710 °C	2030 °C	2530 °C	3	C
Metal films were formed by sputtering of cathodes with	low	h: ah		None of the	2	Р
energy positive ions. The melting point of carbon is	low 3700 °C	high 1418°C	medium 535 °C	above 772 °C	2	B A
The evaporant material doesn't react with the refractory metal				none of		-
at temperature evaporation.	low	medium	high	these	3	C
All the surface impurities of the filament or the strip are removed by the evaporation and this process is called		Thermal	Flash	None of		
	Flash cleaning		evaporation		1	A

				All the		
The evaporation rate of a species depends on its	Pressure	Temperature	Density	above	2	В
The charge consists of two or more constituents which have				none of		
vapour pressure.	same	Different	high	these	2	В
Raoult's law of depression of vapour pressure is valid for		Nichrome		none of		
·	Binary alloy	alloy	both	these	1	A
The vapour pressure of each component is depressed						
compared to that of the pure state by an amount to	Inversely	Directly		None of		
its concentration.	proportional	proportional	Equal	these	2	В
The melting point of Barium is	659 °C	710 °C	2100 °C	961 °C	2	В
Which among of the following is a refractory oxide?	BeO	MgO	$Al_2O_4$	SiO <sub>3</sub>	3	C
The melting point of BeO is	271 °C	573 °C	2530 °C	573 °C	3	C
The melting point of Au is .	2100 °C	817 °C	573 °C	1063 °C	4	D

$$\alpha_c = \frac{E_1 - E_R}{E_1 - E_S} \qquad \alpha_c = \frac{E_1 - E_R}{E_1 + E_S} \quad \alpha_c = \frac{E_1 + E_R}{E_1 - E_S} \quad \alpha_c = \frac{E_1 + E_R}{E_1 + E_S}$$

$$\frac{\lambda}{n}$$
  $\frac{\lambda}{n}$   $\frac{n}{\lambda}$   $\frac{n}{\lambda}$ 

# CLASS.

## **Karpagam Academy of Higher Education**

CLASS: I M.Sc PHYSICS COURSE NAME: THIN FILM PHYSICS

COURSE CODE:18PHP205C UNIT II (Thickness Measurement)

#### Thickness measurement and Nucleation and Growth in Thin Film:

Thickness measurement: electrical methods – optical interference methods – multiple beam interferometry – Fizeau – FECO methods – Quartz crystal thickness monitor. Theories of thin film nucleation – Four stages of film growth incorporation of defects during growth.

# Weight difference method

Name of the measurement system itself defines its aspect of calculation of thickness where the weight of the substrate is measured before and after deposition of material hence the weight materialise calculated. But only the weight of material is not significant to be calculated hence it requires knowing the dimensions of deposition also, i.e., area of the substrate. Suppose that 'm' Isa mass of the film deposited on the substrate which covers area 'A' cm2, the thickness Fizeau – FECO methods't' is calculated by using mass of deposit 'm' and density '' of the material. The value pertaining to the bulk material is usually taken for '' even though the actual density of thin film is low. The thickness is calculated using the equation

# Fizeau – FECO methods

When two reflecting surfaces are brought into close proximity, interference fringes are produced, the measurement of which makes possible a direct determination of the film thickness and surface topography with high accuracy. Two types of fringes are utilized for thickness measurements, (a) Fazio methodoffringes of constant thickness using multiple beam and (b) Fringes of equal chromatic order method (FECO). The Fazio fringes of equal thickness are obtained in an optical apparatus of the type shown in Fig. 3.1. The interferometer consists of two slightly inclined optical flats, one of them supporting the film, which forms a step on the substrate. When the second optical flat is brought in contact with the film surface, and the interferometer is illuminated with a parallel monochromatic beam at a normal incidence and viewed with a low-power microscope, dark fringes can be observed which trace out the points of equal air-gap thickness. The two adjacent fringes are separated by/2 (where is the wavelength of light used). If the surfaces of the optical flats are highly reflecting and very close to each other, the reflected fringe system consists of very fine dark lines against a white background with a

fringe width which can be made as small as/100. By adjusting the relative positions of the flats to forma wedge-shaped air gap, the fringes can be made to run in straight lines perpendicular to the steps on the opaque film. The fringes show a displacement expressed as a fraction of the /2 fringe spacing gives the film thickness and it can be measured to about tenth of a fringe.

In second method, instead of the air wedge, two parallel plates illuminated with white light are used. Fringes occur at wavelength for which t/is constantsothatresultant spectrumis

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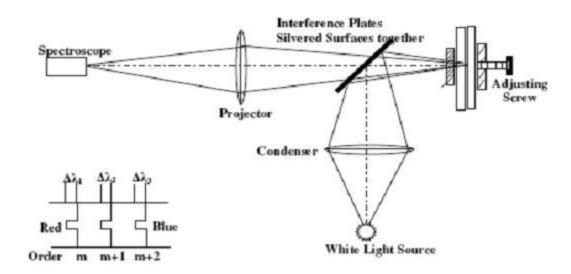
# **Karpagam Academy of Higher Education**

# CLASS: I M.Sc PHYSICS COURSE NAME: THIN FILM PHYSICS COURSE CODE: 18PHP205C UNIT II (Thickness Measurement)

banded. This method is called as "Fringes of Equal Chromatic Order (FECO)". In reflection,

fringes appear dark on bright background. If one of the plates is covered by a film, a displacement is seen in the nthorder fringe, from which film thickness can be calculated as,

$$t = \frac{m}{2 \Delta \lambda} \stackrel{\text{O}}{A}$$



# (b) FECO

# **Nucleation theory**

In fact, the classical nucleation theory says nothing about such processes, other than that they are possible. In any case, for a "lm to form on the substrate surface, it is necessary that either nuclei formed by such homogeneous processes are able to grow or that a su±cient number of surface defects are available to serve as sites of heterogeneous nucleation. The mode of "lm formation is determined by the relative values of the various energies involved in the process, and this mode largely determines the eventual structure of the "lm. There are two main comparisons to be considered. One of these contrasts the height of the delusion barrier Edtothe background thermal energy. Fideism large compared to the background thermal energy then surface mobility of ad atoms is very low. Under such conditions, ad atoms more or less stick where they arrive on the substrate surf



# CLASS: I M.Sc PHYSICS COURSE NAME: THIN FILM PHYSICS

COURSE CODE:18PHP205C UNIT II (Thickness Measurement)

For growth of crystalline alms, it is important that'd be less than the background thermal energy so that ad atoms are able to seek out and occupy virtually all available equilibrium sites in the lm crystal lattices it grows. This requires the substrate temperature and/or the degree of supersaturation of the vapor to be high enough to insure such mobility. Suppose that this is indeed so and that the adatoms are able to migrate over the surface.

The other important energy comparison concerns the propensity for atoms of Im material to bond to the substrate. This is represented by the magnitude offs, relative to their tendency to bond to other, less well-bound, atoms of Immaterial, as represented by Ef. Two kinds of growth processes can be distinguished, one withers larger in magnitude than Ef, and a second with the relative magnitudes reversed. If Efs is the larger of the two energy changes in magnitude, then Im growth tends to proceed in a layer by layer mode, as indicated in the schematic diagram in Figure 1.8. Ad atoms are more likely to attach to the substrate surface than to other Immaterial surfaces. Once small stable clusters of ad atoms form on the surface, otherad atoms tend to attach to the cluster at its periphery where they can bond with both substrate and Immatoms, thereby continuing the planar growth,. This layer-by-layer Immagrowth mode is often called the Frank—van der Merwe growth mode or FM mode, according to categorization of growth modes proposed by Bauer (1958) on the basis of more macroscopic considerations of surface energy. This alternate point of view will be considered

On the other hand, fief is larger in magnitude than Efs, thenit is energetically favorable for ad atoms to form three-dimensional clusters or islands on the surface of the substrate. Film growth proceeds by the growth of islands until they coalescence; this type of growth is commonly called the Volmer—Weber growth modeortheVWmodeA third type of growth, which combines features of both the Frank {Vander Merwe and the Volker {Weber modes, is called theStranski—Krastanovgrowth mode or SK mode. In this mode, the "Im material tends to prefer attachment to the growth surface rather than the formation of clusters on the growth surface; that is, Eves is greater in magnitude than Ef.However, after a few monolayers of "Im material are formed and after the structure of the "Im becomes better denned as a crystal in conformity with the substrate, the tendency is reversed. In other words, once the planar growth surface becomes established as "Im material, subsequent ad atoms tend more together into clusters than to continue planar growth. The magnitude ofEfsappears to depend on the thickness of the "Im in the early stages of growth, decreasing from values larger than the magnitude off to values that are smaller. The occurrence of this mode is most likely when the "rest few layers of "Im material are heavily strained due to the constraint of the substrate.

# Four stages of film growth incorporation of defects during growth.

- Grain Structure: Nano to Micro Size; Dense; Porous; Columnar; Granular
- Morphology: Particles; Quantum Dots; Nano-wires, rods,-tubes,-sponges; Films; Multilayers (Super lattices, Wells...)
- Microstructure: Amorphous; Nano to Micro-Crystalline; Oriented; Epitaxial
- Topography: Atomically smooth to micron scale rough



# CLASS: I M.Sc PHYSICS COURSE NAME: THIN FILM PHYSICS COURSE CODE: 18PHP205C UNIT II (**Thickness Measurement**)

- Crystal Structure: Normal; Polymorphic; Metastable
- Chemical Structure: Normal; Variable and Extended Solubility; Non-equilibrium structuresOpto-electronic Properties of Micro & Nano-structured Films depend very strongly on nucleation and growth processes and hence on numerous deposition parameter.





CLASS: I M.Sc PHYSICS COURSE NAME: THIN FILM PHYSICS COURSE CODE: 18PHP205C UNIT II (**Thickness Measurement**)

# KARPAGAM UNIVERSITY, COIMBATORE-21 DEPARTMENT OF PHYSICS I M.Sc., PHYSICS (2018-2020) THIN FILM PHYSICS (18PHP205C)

# UNIT II

UNIT II					
RF sputtering can be done at pressure the ordinary $\boldsymbol{\xi}$	Greater than	Lower than	Equal to	none of these	Lower than
In RF sputtering method an impedance matching between	Power supply	Discharge tul	Power supply		Power supply and discharge tube
RF sputtering technique is particularly useful for the deposition	Insulator	Dielectrics	Semiconduct	Conductor	Dielectrics
To increase the efficiency of DC sputtering by applying	High frequen	High pressure	High tempera	High voltage	High frequency
The deposition of films from gaseous phases by chemical reacti	Chemical del	CVD or vapo	RF sputtering	Cathodic sputtering	CVD or vapour plating
The organometallic compounds of silicon such as tetra ethoxy s	Pyrolysis	Vapour phase	Vapour trans	Disproportionation method	Pyrolysis
In pyrolysis process is introduced as a reactant carri	Carbon	Hydrogen	Oxygen	Nitrogen	Oxygen
Philips process is an example of	Vapour trans	Disporportion	Pyrolysis	Vapour phase reaction	Vapour phase reaction
In vapour transportation method, vapours of two reacting consti	Low tempera	High tempera	Very low ten	Very high temperature	High temperature
Vapour transportation technique is often used for the preparatio	Thin films	Thick films	Very thin filr	Very thick films	Very thick films
In disproportionation method the higher valency sate compound	Low	high	Very low	very high	Low
Disproportionation method is often used for the preparation of h	Si & I	Ge & Si	Ge & CdS	Ge & I	Ge & Si
Chemical deposition method depends on the deposition of the fi	Current	Temperature	Pressure	None of these	Current
method is widely used for the fabrication of the con		-		Flash evaporation	Chemical deposition
In order to obtain a good anodic oxide film, the bath composition		_	-	•	DC voltage
The thickness of the anodic oxide layer increases with the passa	-	_			Current
Chemical displacement on the difference in relative		Doesn't depen		-	Depends
method is suitable for the deposition of some active		-			Electroless plating
In chemical reaction process, the deposition is by the reduction				•	Metal salts
The advantage of electroless plating process is possible to coat	-	Non-conductin	-		Non-conducting
is one of the reducing agent in the deposition by the	-			•	Formaldehyde
The films deposited by are generally porous, non-ad	•	•			Chemical displacement
In anodic oxidation, the film thickness may be between		1 – 10 cm			1 – 10 micron
In process, metal films can be deposited without pas					Electroless plating
			-	=	
Low pressure sputtering is normally carried out at g		10 <sup>-2</sup> torr			10 <sup>-3</sup> torr
The ionization of gas molecules under low pressure sputtering is		$10^{-1} - 10^{-2}$	$10^{-4} - 10^{-5}$	$10^{-6} - 10^{-8}$	$10^{-1} - 10^{-2}$
In low pressure sputtering the ionization can be achieved simply	Decreasing	Increasing	Either a or b	None of these	Increasing
Low pressure sputtering is carried out by the use of	IR	Radio	UV	visible	Radio
In low pressure sputtering the ionization is increased by increase	Straightening	Spiraling	Curving	none of these	Spiraling
In normal sputtering cases only gases are used.	noble	inert	both a & b	none of these	inert
In reactive sputtering, if O2 or N2 are used as media, then the ion	Sulphite or cal	Oxide or nitrid	Oxide or calci	Sulphite or nitride	Oxide or nitride
Materials used for sputtering usually made of	Conductor	Metals	Insulator	none of these	Metals
In glow discharge sputtering due to ionization a number of posit	-ve glow	+ve glow		High intensity -ve glow	-ve glow
In glow discharge sputtering the positive ions formed from colli	_	-	-		Faraday dark space
In glow discharge sputtering the composition of the sputtered fi	-				Cathode & momentum
In glow discharge sputtering the positively charged ions formed					Pressure gradient
In, the electron beam comes out of a loop type of a				•	Work accelerated gun
If the sputtering process doesn't involve any chemical reaction,					Physical sputtering
Sputtering decreases with the of the ion energy.		Large increase			Large increase
In gun the electrons are emitted from hairpin type or				•	Self accelerated gun
If the sputtering process involves some reactions, it is known as					Reactive sputtering
Minimum voltage at which break down takes place is called					Break down
In gun a beam of electron is bend by an appropriate					Bend beam
The glow discharge technique can be understood from the behar					high
In Townsend region a in current at constant voltage.		Large increase	•		Large increase
The region where there is large increase in voltage as well as cu		-			Abnormal glow
	-		_		Increase
In glow discharge sputtering, the graphs show a sharp fall of vol A continuous sputtering can take place only in regio					Abnormal glow
			_		maximum
In glow discharge sputtering, the voltage falls across gaseous co					
The ejection of atoms from the cathode surface under a		•	-		high
An electron emitted from the cathode passing through the catho					Negative glow
Because of the temperature of working, the electron					high
In region a considerable amount of positive ion elec		-	-		Negative glow
The sputtered atoms have energies than those of the	-				higher
In method, an electron beam is accelerated and focu					Electron beam
In gun, the electrons are focused through a Wehnelt					Self accelerated
The sputtering increases with the of the bombarding		mass	23		energy and mass
When sputtering increases, the angle of incidence to the target v					Decrease
In cathode sputtering phenomenon, for the rejection of atoms w		noble	both of the al	None of the above	noble
Auger transition takes place along with the emission of	a - electron	b - electron	g - electron	None of the above	g - electron



#### CLASS: I M.Sc PHYSICS COURSE NAME: THIN FILM PHYSICS

COURSE CODE:18PHP205C UNIT III (Electrical properties of metallic thin films)

# **Electrical properties of metallic thin films**

Sources of resistivity in metallic conductors – sheet resistance - Temperature coefficient of resistance (TCR) – influence of thickness on resistivity – Hall effect and magneto resistance – Annealing – Agglomeration and oxidation.

## **Unit III**

The electrical properties of interest are the type of carriers, resistivity, and mobility and carrier concentration. Here we describe the commonly used parameters.

## Resistivity.

The most commonly used technique for measurement of resistivity of semicondctors is the "four probe method". Four collinear metal probes are placed on the semiconductor. A constant current (I) is passed between the two outer probes and the voltage (V) between the inner two probes is measured. Resistivity is then calculated from the relation

Where so is the distance between the probes. Another parameter usually measured in thin film samples is the sheet resistance, which is expressed in Q / D. This is measured using a simple two probe method, selecting a square geometry for the specimen. The sheet resistivity is then calculated by multiplying the sheet resistance with the thickness of the film. The sheet resistance is independent of the size of the square we select.

# Electrical Characterization and Four point probe method and resistivity of thin films

The use of thin films as resistors, contacts and interconnections has lead to extensive study of conductivity, temperature dependence, the effect of thermal processing stability and so on. Investigation of the critical resistivity as a highly structure sensitive properties make it possible to gain insight into the structural and electrical properties of the metal films which is

important from both the theoretical and practical point of view. The contact methods are most widely used for the measurement of resistivity. These methods include two-point probe, four-point probe and the spreading resistance. The two-point probe method is simple, easy to use and useful for high resistive thinfilms. In this method constant voltage 'V' is

applied between two fixed position probesseparation 'd' in cm and current passing through a sample of known dimension (crosssectional area 'A' inis measured with anappropriate currentmeter. For uniformsample, resistivity is given by,

$$\rho = \left(\frac{AV}{Id}\right) \quad \Omega\text{-cm}$$

In case of semiconducting thin films, the resistivity decreases with increase intemperature. The thermal activation energies 'Ea' are calculated by using equation

$$\rho = \rho_0 \exp\left(\frac{-E_a}{KT}\right)$$

where symbols have their usual meanings. Hence we plot Log ( ) versus 1/T and itsslope leads to the estimation of activation energy. The experimental setup used to study the variation of resistivity with temperature schematically shown in Fig. 3.6. The brass plate of size 10 cm ×0.5 cm is grooved atthe bottomside soas to fit theheating element (Toni, 60 watt) parallel to the length of the plate, in order to achieve the uniformtemperature. The sample is mounted on the topof the plate. The thin film of size 1 ×1 cm2on the glass substrate is used for theresistivity measurement. Silver paste was applied for making the good ohmic contacts tothe film. A mica sheet was used between the filmand thebrass plate to provide theinsulation. The temperature is measured with chromel-alumel thermocouple, which is fixed at the center of the sample. The temperature was recorded on digital panel meter (0to 199.9 mV range) (DPM) supplied by Omega Electronics, Jaipur. Aplab power supplyis used for passing current through the film and the current was measured with MECOmade (MIC-60E) digital currentmeter.

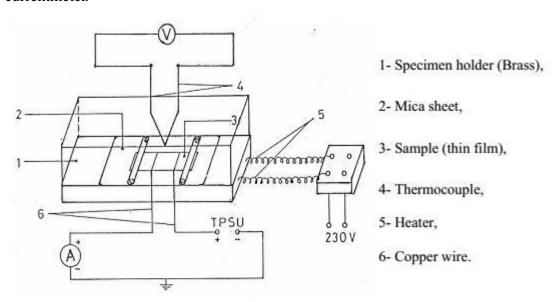


Fig. 3.6 Schematic circuit diagram for the measurement of electrical resistivity of thin film [Lab. setup]

In the present work of junction fabrication, we prepared p-CdS samples by the thermal annealing of copper deposited over n-CdS under high vacuum. Since this process forms the major part of our work, it needs an elaboration. The annealing chamber was made of a glass tube over which nichrome wire was wound uniformly over the entire length of the tube. Length of the glass tube is such that three samples (5 x 1.2 cm2) can be placed well inside the tube, so that a uniform heating was ensured for the samples. The tube was placed in the vacuum coating chamber and the pressure was measured using a penninggauge (Hindhivac, model STA 6P4M). Temperature was controlled by controlling the current through the nichrome wire connected to a variac and wasmeasured using a Chromel-alumel thermocouple. The heating and cooling rate for all the annealing was kept at the rate of 2°C/minute.

## KARPAGAM UNIVERSITY, COIMBATORE-21 DEPARTMENT OF PHYSICS I M.Sc., PHYSICS (2018-2020) THIN FILM PHYSICS (18PHP205C)

IIIIN FILM I II I SICS (161 III 203C)					
UNIT III	(112)	(111)	(211)	(221)	4
For a tetragonal tin the plane is	(112)	(111)	(311)	(331)	4
All single crystals have nature leading to		a: ::			
imperfections in the crystals.	Mosaic	Similar	Individual	Material	1
					_
Schottky defects are generally observed in crystals.	Rhombic	Monoclinic	Ionic	Metallic	3
				Neither	
Schottky defects are caused by the movement of				negative	
ions.	Positive	Negative	Neutral	nor positive	2
The vacancy concentration in a crystal is	High	Very high	Low	very low	3
The vacant sites give rise to colouration of crystals by					
absorption of light, these sites are known as					
centres.	F centre	V centre	F centre	V centre	1
In Schottky defects the lattice bonding are rather weak as in		Metal	Alkali	Benzyl	•
halides.	Arvl bolides	halides	halides	halides	3
	Aryl halides	nances	nances	nances	3
At low concentration of defects may cause change		11		1:1	2
in the conductivity of the material.	low	small	large	high	3
A density of substitution may create a further					
disorder in the crystal.	very high	high	very low	low	2
If a pentavalent 'P' is introduced in the lattice, a new					
energy state will be created which is known as the					
donor level.	Electronic	Vibrational	Rotational	Transitional	1
The dislocations involving some lattice translations in the				None of	
direction of the dislocation line known as	Burger vector	Zero vector	Null vector	these	1
	8			None of	
An edge dislocation is to the Burger vector.	Perpendicular	Normal	Horizontal	these	2
The line defect observed in a region where the deformed	1 crpendicular	Normai	Horizontai	these	2
<u> </u>			Ed.		
portion meets the rest of the undistorted crystal is called	0 11 1 11	D 1 . 1 C .	Edge	***	2
<del></del>	Screw dislocation	Point defect	dislocation	Vacancy	3
The crystal may partly or fully deformed and it cannot be					
come back to its original condition then the phenomenon is	Microscopic	Plastic	Screw	Edge	
called	defect	deformation	dislocation	dislocation	2
The lattice fault arising from plastic deformation is called		Screw			
·	Vacancy	dislocation	Point defect	Dislocation	4
The dislocation density can be estimated from the ratio is				None of	
	SV	S/V	V/S	these	2
The movement of atom is by an amount so as to cause the					
same registry, then the process is called	Dislocation	Slipping	Defect	Vacancy	2
The slipping of a layer of atoms over another in a crystal takes	Distocation	Shipping	Defect	vacancy	_
** * *					
place in its cleavage plane and this phenomenon give rise to a	D'alandan	G1''		. 1	2
band known as band.	Dislocation	Slipping	vacancy	edge	2
				None of	
The Burger vector lies to the screw dislocation.	Perpendicular	Parallel	Normal	these	2
is introduced in the lattice a new electronic energy					
state will be created which is known as the donor level.	Pentavalent	Divalent	Trivalent	Monovalent	1
The Newton ring method using a light for the					
estimation of film thickness.	sodium	red	white	green	3
The method depends on the change in the					
transmittance of light at normal incidence with the increase of			Ellipsometri		
film thickness.	Optical	Photometric		Chemical	2
	Optical	rilotoffietric	C		2
The change in the transmittance of light at normal incidence	T 1	T	<b></b>	None of	1
with the increase of film thickness is given by law.	Lambert	Lorentz	Ampere's	these	1
A transmittance ( $T = I/I_c$ ) versus film thickness graph on a			Perpendicul	None of	
semi-log scale will be a line.	Parallel	Straight	ar	these	3
method is suitable for measuring the thickness of		-	Ellipsometr		
surface layers or films and their optical constants.	Optical	Chemical	у	Photometric	4
The use of a polarized light for reflection from a film or	*		-		
surface layers on a substrate at a non-normal incidence at an					
angle of incidence	35°	65°	48°	45°	4
ungle of includite	JJ	03	<del>-10</del>	7.7	7

To alliano and an analysis analysis and an analysis and an analysis and an analysis and an ana	$r_s/r_p = \tan \psi \exp$	r/r = tan w			
In ellipsometric measurements the basic equation can be written as	$(j\Delta)$	$\exp(j\Delta)$	r /r = top w	$r/r = \tan w$	2
Ellipticity of the reflected light is measured from the ratio of	(12)	CAP (JA)	$r_p/r_s = \tan \psi$ Major to	minor to	2
axis.	major	minor	minor	major	4
instrument is used to measure the different	major	iiiiioi	iiiiioi	None of	
parameters of the elliptically polarized.	Ellipsometer	Photometer	Echometer	these	1
The technique involves the use of a polarized light for					
reflection from a film or surface layer on a substrate at				None of	
incidence.	Normal	Non-normal	sme	these	2
		Sensitivity	Sensitivity		
		for	for	Sensitivity	
	Sensitivity for	frequency	temperature		
The trans Of the first	mass			determinatio	1
The term $Cf_0$ is the	determination	n	n	n	1
The change in the transmittance of light at normal incidence with the of film thickness is given by the Lambert				None of the	
law.	Constant	Decrease	Increase	above	3
The microbalance technique depends on the increase of the	Constant	Decrease	merease	above	3
weight of a film due to its	temperature	pressure	mass	volume	3
		Micro			
	Crystal oscillator	balance	Ellipsometr	Interferomet	
The quartz fibre is used in	method	method	у	ry	2
	Absorption	ce	Emission	None of the	
In Lambert law $I = I_o \exp(-\alpha t)$ , $\alpha$ is	coefficient	coefficient	coefficient	above	1
In film thickness measurement techniques,					
decreases with the increase in film thickness.	mass	pressure	temperature		4
	Temperature		Temperature		
TCF 1 for	control of the	coefficient		None of the	2
TCF stands for  The sensitivity of quartz thickness monitor is about	frequency	of frequency	rrequency	above	2
The sensitivity of quartz unexhess monitor is about	$10^{-8} \text{ g/cm}^2$	10 <sup>-10</sup> g/cm <sup>2</sup>	10 <sup>-9</sup> g/cm <sup>2</sup>	$10^{-7}$ g/cm <sup>2</sup>	1
A metal electrode is deposited on the central area	TO g/CIII	Elliptically	Square	None of the	1
of quartz crystal in deposition of films.	Circularly shaped		shaped	above	1
	, ,	Non			
		homogeneou	Homogeneo	None of the	
The films deposited on a substrate are	Heterogeneous	S	us	above	3
The distance between fringes or lines in interferometry					
depends on the as well as the wavelength of the		D 1	D' -	All the	
monochromatic light.  Thickness of sharp fringe perpendicular to stop with equal	Air gap	Band gap	Distance	above None of	1
displacement will be determined by t' equal to	bλ/2a	λa/2b	λ/2ba	these	1
In FECO method, film thickness't' can be calculated from the	07C/2a	Na 20	70/ 20a	these	1
relation	$m\Delta\lambda/2$	$m\Delta\lambda/4$	$m\Delta\lambda$	$m\Delta\lambda/3$	1
· · · · · · · · · · · · · · · · · · ·			Multiple		
			beam		
Film thickness can also be measured accurately from	Beam	Interferomet	interferomet	None of	
interference fringes using	interferometry	ry	ry	these	3
Distance between fringes or lines in interferometry depend on					
air gap as well as on the of the monochromatic	D 1	T.	4 12 1	XX 1 1	4
light.	Band gap	Frequency	Amplitude	Wavelength	4
In other methods of interferometry basic assumption is that the film properties such as resistance, capacitance, Hall voltage					
etc. depends only on, but not on the deposition		Film	Deposition	None of	
conditions.	Materials used	thickness	parameters	these	2
The method which also measures the roughness of a surface		Deposition	FECO	None of	
known as	Stylus method	method	method	these	1
An instrument known as measures the variation in					
the height of the film from a base as it travels along the		Interferomet			_
surface of the film.	Ellipsometer	er	Talysurf	Stylus	3
An instrument used for measuring the rate of deposition is	Out of	Determine	Photometric		2
The absorption is generally an exponential function of	Optical meter	Rate meter	meter	above None of the	2
The absorption is generally an exponential function of	Substrate thickness	Target thickness	Film thickness	None of the above	3
<del></del>	ickiicos	unckness	anemicos	200.0	5

The strain created on crystal by effect of stress is released by					
slipping of some atomic layer by	Translational	Vibrational	Rotational	Either a or c	4
Releasing of strain causes disordering of crystalline material					
in region.	Contact	Bulk	Thin	Thick	1
		Self		None of the	
The method of removal of defects is by suitable	Partial annealing	annealing	Both a & b	above	1
Vacancies, dislocations etc. in thin films can be minimized by				None of the	
process.	Diffusion	Annealing	Absorption	above	1
Thin and ultra thin film cannot often subjected to					
temperature.	high	low	very high	very low	1
T <sub>D</sub> can be as low as of melting point of bulk					
material.	1/3	1/4	3/4	2/3	1
The removal of defect and stabilization of films should be			Discontinuit		
subjected to annealing treatment in vacuo below certain	Continuity	Transition	y	Critical	
temperature termed as	temperature	temperature	temperature	temperature	3
Due to thermal vibration atoms in crystal lattice will oscillate	-	Phonon	Sinusoidal	Longitudina	
about their mean position giving rise to	Standing wave	wave	wave	l wave	2
are considered as excited states of atoms or ions					
which are capable of migration of one lattice to another.	Exciton	Phonon	F- centre	V- centre	1
			Ferro-	None of the	
Phonons can be created by oscillation.	Piezo-electric	Pyro-electric	electric	above	1



#### CLASS: I M.Sc PHYSICS COURSE NAME: THIN FILM PHYSICS

COURSE CODE:18PHP205C UNIT IV (Transport Properties semiconducting)

#### **Transport Properties:**

Surface transport phenomena are well known to have a strong influence on theelectronic properties of bulk semiconductors. When transport takes place through thinspecimens, the carriers are being subjected to considerable scattering by the boundarysurface in addition to normal bulk scattering. This additional scattering will reduce theeffective carrier mobility below the bulk value and will thus give rise to quantum sizeeffects. A study of these size effects can yield information on the electronic structure of a surface and is therefore of considerable fundamental and practical importance. Thesephenomena play an important role in the transport properties of semiconducting film ofabout  $1 \Box m$  thickness and having carrier concentration upto 1018cm-3. Surface transportphenomena in bulk semiconductor have received much attention in recent years. The important transportproperties i.e. electrical resistively, thermoelectric power (TEP) are discussed below.

#### a) Electrical Conductivity:

The use of thin films as resistors, contacts and interconnections has lead its temperaturedependence, toextensive study of conductivity, thermalprocessing stability and so on. Investigations of the electrical resistivity as a highly structure sensitive properties make it possible to gain insight into the structural and electrical properties of the metal film which is important from both the theoretical and The contact techniques are most widely used for practical point of view. themeasurement of resistivity. These techniques include two-point probe, four point probeand the spreading resistance methods. The two-point method is simple and easy to use. In this technique a constant current I is passed through a sample of known dimensions(crosssectional area 'A'). And the d.c. voltage 'V' between two fixed position probes(separation'd') measured either with impedance voltmeter or potentiometrically. Foruniform sample resistively is given by

$$\rho = (A'')(V/d)$$

In case of semiconducting thin films, the resistivity decreases with increase intemperature. The thermal activation energies 'Ea' are calculated by using following relation:

$$\sigma = \sigma_0 \exp^{-(-\Delta E / \kappa T)}$$

Where  $\sqcup E$  is the activation energy for the conductionis Boltzmann constant and is the pre exponential constant depending on the material. The two brass plates of the size 10 x 5 x 0.5cm are grooved at the centre to fix the heating elements. Two strip heaters (65 Watts)were

Prepared by Dr.A.Saranya, Asst Prof, Department of PHYSICS, KAHE



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#### COURSE CODE:18PHP205C UNIT IV (Transport Properties semiconducting)

kept parallel in between these two brass plates to achieve uniform temperature. The two brass plates are then screwed to each other. The sample was mounted on theupper brass plate at the centre. To avoid the contact between the film and the brassplate, a mica sheet was placed between the film and brass plate. The area of the film was defined and silver emulsion (paste) was applied to ensure good electrical contact tothe films. The working temperature was recorded using a Chromel-Alumel thermocouple(24 gauge) fixed at the centre of the brass plates. Testronix model 34 C (power supplyunit ) was used to pass the current through the sample. The potential drop across thefilm was measured with the help of Meco 801 digital multimeter and current passedthrough the sample was noted with a sensitive 4 digit picoammeter (Scientificequipment, Roorkee DPM 111). The measurements were carried out by keeping the filmsystem in a light tight box, which was kept at room temperature.



#### b) Thermoelectric Power (TEP):

If some metal contacts are applied to the two ends of a semiconductor and if onejunction is maintained at higher temperature than the other, a potential difference isdeveloped between the two electrodes. This thermoelectric or Seebeck voltage isproduced party because

i) The majority carriers in the semiconductor diffuse from hot to cold junction, thus giving apotential difference between the ends of the specimen. This voltage builds upto avalue such that the return current just balances the diffusion

Prepared by Dr.A.Sai, 3, Asst Prof, Department of PHYSICS, KAHE



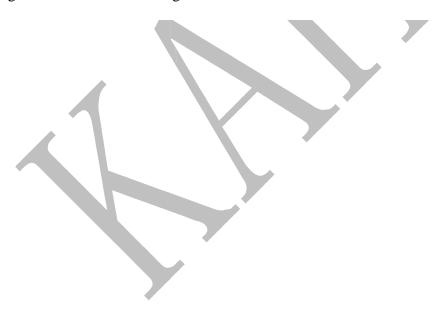
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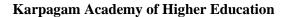
#### COURSE CODE:18PHP205C UNIT IV (Transport Properties semiconducting)

current when a steadystate is reached.

Other part which contributes to the thermoelectric voltage is the contact potential difference between metal and emiconductor, which occurs at two junctions. In the semiconductor, if the charge carriers are predominantly electrons, the coldjunction becomes negatively charged and if the charge carriers are positive holes, the cold junction becomes positively charged. The magnitude of the developed voltage is proportional to the difference in temperature between the hot and cold junction, if the temperature difference is small. From the sign of the thermoelectric voltage it is thus possible to deduce whether a given specimen exhibits n-or p-type conductivity. The thermoelectric power (TEP), which is defined as the ratio of thermally generated voltage to the temperature difference across the piece of semiconductor, gives the information about the type of carriers in the semiconductor.

Thermoelectric power measurement apparatus consist of two brassblocks. One brass block was used as a sample holder-cum-heater. Other brass blockwas kept at room temperature. The hot and cold junction was kept thermally isolated byinserting an insulated barrier between the junctions. The size of the film used in thisstudy was 40 mm x 12.5 mm x 1.35 mm on amorphous glass substrates, were fixed ontwo brass blocks. Chromel – Alumel thermocouples (24 gauze) were used to sense theworking temperature. A 65 watt strip heater was used for heating the sample. Thetemperature of the hot junction was raised slowly from room temperature, with a regularinterval of 10 K. the thermo emf was noted up to the highest temperature of 500 K.Silver paste contacts were made to films with copper wire. A backellite box was used forproper shielding of the TEP unit, which also minimises to some extent, thermal radiationlosses. The mean temperature was measured with a Meco 801 digital multimeter whilethe differential thermal gradient and thermoelectric voltage were measured with digitalTestronix microvoltmeter.







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#### COURSE CODE:18PHP205C UNIT IV (Transport Properties semiconducting)



Fig 2.19 a. Photograph showing the thermoelectric power measurement assembly.

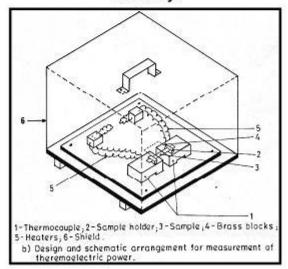


Fig. 2.19 b. Cross sectional view of the thermoelectric power measurement unit.





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COURSE CODE:18PHP205C UNIT IV (Transport Properties semiconducting)

#### KARPAGAM UNIVERSITY, COIMBATORE-21 DEPARTMENT OF PHYSICS I M.Sc., PHYSICS (2018-2020) THIN FILM PHYSICS (18PHP205C)

#### UNIT IV

is a very sensitive method to identify the elemental composition of a film.	Electron microscopy	Mass spectroscopy	Scanning electron microscopy	X-ray photoelectro n spectroscop y Studying	2
Residual gas analyzer method is used for  Mass spectroscopy is a very sensitive method to identify the	Analyzing structures of matter Elemental	Identifying elemental composition Surface	Surface film analysis Structures	the refractory metal	3
of a film.  RGA technique has sensitiveness of about less than	composition	topography	of matter	above None of the	1
of the element.	Microgram	Nanogram	Milligram Accelerating the	above	2
	Vapourization in high vacuo of the	Its	different ionized	All of the	
The mass spectroscopy involves	material	ionization Desorption	species Addition	above	1
Nucleation is a process which involves  The probability of condensed atoms sticking to the substrate	Addition and adsorption Activity	and migration	and migration Viscosity	All of the above	4
surface is known as	coefficient	Sticking coefficient	coefficient	None of the above	2
Sticking coefficient $\alpha_c$ is given by	$\alpha_c = \frac{E_1 - E_R}{E_1 - E_S}$	$\alpha_c = \frac{E_1 - E_R}{E_1 + E_S}$			1
At a steady state the flux of impinging atoms on the substrate	$E_1 - E_S$	$E_1 + E_S$	$E_1 - E_S$	$E_1 + E_S$	
will be to the re-evaporation flux of the atoms.  In the prenucleation stage the impinging atoms can be	greater	smaller Condensatio	equal to	not equal	3
reflected back to vapour state without	Evaporation	n	Adsorption	Addition	2
Capillarity model is the extension of the classical theory for		Condensatio			
of a supersaturated vapour phase to the liquid state.	Vapourization	n	Evaporation	Adsorption	2
condensation of a supersaturated vapour phase to the liquid state.	Capillarity model	Liquid drop model	Both a & b	None of these	1
Island structure stage consists of comparatively larger nuclei					
or embryos say greater than	100 Å	10 Å	1000 Å	1 Å	2
The surface migration distance of ad-atoms of silver or gold deposited from the vapour phase on MoS <sub>2</sub> substrate at $T_s \approx$					
400 °C has been estimated to about	1500 Å	5000 Å	500 Å	5500 Å	3
		High	Medium	Very high	
In island structure stage the diffusion controlled process is	Low substrate	substrate	substrate	substrate	
more commonly observed except at	temperature	temperature	temperature	_	1
With increasing film thickness, these holes or gaps will			Not	decreasing or	
in size.	Increase	Decrease	changing	increasing	2
The minimum film thickness for the continuous stage is also	Nature of the	Modes of	Deposition	All the	
dependent on	deposits	deposition	parameters	above	4
For a non-metallic deposit continuous film stage is generally achieved when average film thickness is say between			1000 to	None of the	
	500 to 1000	100 to 500	1500	above	1
The process of thermal annealing treatment for a sufficiently long period of time will cause migration of some atoms		Agglomerati	Phase	None of the	
leading to a stable phase is known as	Ageing of films	on Low	transition	above	1
Two important factors which contribute to the dissociation of		binding		None of the	
nuclei are	Thermal energy	energy	Both a & b	above	3

A permanent stable nucleus will be formed when	$r > r^*$	$r < r^*$	$r = r^*$	$r \neq r^*$	1
The number of grain boundaries can be reduced by the	171	Thermal	Anodic	Vapour	•
process.	Pyrolysis	annealing	oxidation	plating	2
		Non-			
Stacking faults often arises due to the equilibrium		thermodyna		None of	
condition of the deposition.	Thermodynamic	mic	Thermal	these	2
The change of incident angle from area to area causes deposition rate	Lesser	Greater	Equal	No change	1
deposition rate	Lesser	Distance	Lquai	140 change	1
The rate at which impinging atom hit different areas will		from the		None of	
differ depending on	Solid angle	source	Both a & b	these	3
suggested that different orientations observed in a					
growing film might result from the surface roughness of the					
substrate.	Bauser	Brown	Cabrera	Born	1
Agglomeration of nuclei increases with the of	T	D	4.1.224	All the	1
deposition time.	Increase low	Decrease maximum	stability higher	above negligible	1
At lower T <sub>s</sub> , the grain size is	low	maximum	inglici	negrigiote	1
Increase of deposition time result in the of nucleation density.	Increase	Decrease	stability	maximum	2
The grain size is often too to yield any coherent	merease	Decrease	stability	None of the	_
electron diffraction.	small	high	medium	above	1
At higher T <sub>s</sub> , the grain size is	medium	high	small	maximum	2
		monochrom			
Electron beam should be	coherent	atic	high intense	both a & b	4
		& large		Very high	
electrons are used in electron diffraction technique.		energy	Low energy	C.	2
More commonly used method for generating high energy	Thermionic	Gas	Spontaneous		4
electron is	emission	discharge	emission	emission	1
The diffraction effect was of, if the distance from the specimen both to the electron source and the screen or					
photographic plate were infinitely large compare to the inter				None of	
atomic distances of diffracting specimen.	Fraunhofer type	Fresnel type	Both a & b	these	1
If the distance from specimen to the screen as well as to the		••			
beam source is small compare to the inter atomic distance in				None of	
the specimen, the diffraction should be	Fraunhofer type	Fresnel type	Both a & b	these	2
	Mono chromatic				
In electron diffraction camera works as the anode	electron beam	Cnasimon	Dionhroam	Focusing	3
normally at the earth potential.	source	Specimen Low	Diaphragm	coil	3
		vacuum	Very low	Very high	
In electron diffraction camera is used to evacuate	A high vacuum	pumping	pumping	pumping	
the equipment.	pumping system	system	system	system	1
In the HEED method the accelerating potential of the electron		30 to 40	10 to 20	20 to 40	
is about	40 to 100 eV	KeV	KeV	KeV	1
For transmission method the material thickness should be	1	1 . 1	1		4
In an electron diffraction camera monochromatic electrons	low	high	very high Focusing	very low	1
pass through an aperture of a	Diaphragm	Specimen	coil	Screen	1
The vacuum condition in LEED is much more stringent and	2 mpin ugin	10 <sup>9</sup> to 10 <sup>10</sup>	10 to 100	56.5611	•
an order of is essential for studying the surface.	10 <sup>-9</sup> to 10 <sup>-10</sup> torr	torr	torr	.1 to 10 torr	1
The ultimate resolving power of a good electron microscope is	10 10 10 1011	1011	1011	11 to 10 toll	•
about for a non-periodic material.	2-5 Å	5-10 Å	10-15 Å	Below 2 Å	2
When a beam of light falls on a convex lens the emergent					
beam follows a new path and the bending of light ray depend	_			None of the	
on According to Abbo's condition the resolution in the entirel	Lens curvature	Focal length		above	1
According to Abbe's condition the resolution in the optical case is governed by the diffraction aberration of the aperture	<u>,</u>	$\Delta r_d = K \cos$	$\Delta r_d = K = \sin \theta$	$\Delta \mathbf{r}_{d} = \mathbf{K} - \mathbf{cos}$	
given by	$\Delta r_d = K_W \text{in } \alpha$	α η	$\alpha$ $\lambda$	α /	1
	Δı <sub>d</sub> = ixpin α	11	"	·· n	•
Contrast in the image taken by an optical microscope is due to				Transmissio	
the of light by the different grains of the specimen.	Reflection	Refraction	Absorption	n	3
Moiré fringes are the results of the combination of the			•	None of the	
diffracted beam with order beam.	zero	first	second	above	1

If the two crystals have parallel diffracting planes with spacing $d_1 \& d_2$ , the parallel Moiré spacing D will be given by	* *	<del></del>	-12	*	
	$d_1 d_2$	$d_1 - d_2$	$d_1 - d_2$	$d_{1}-d_{2}$	3
LEED instrument in its basic design consists of an electron					
emitting source having negative voltage of about	5-500 eV	5-500 KeV	50-500 KeV		1
The depth of penetration of slow electron is about a few Å	10.20 1	10.17 %	~ 40 °	None of the	
compared to about for the HEED cases.	10-20 Å	10-15 Å	5-10 Å	above	1
Description to the investigate the effect of	Surface	Micro	Etching of		
Decoration technique is used to investigate the effect of	topography	structural feature	a crystal structure	Both a & b	3
·	Radiation less	Radiative	structure	None of	3
Auger electron spectroscopy technique depends on		electrons	Both a & b		1
		Analysis of			_
		organic		None of	
Auger electron spectroscopy can be used for	Imaging purpose	compounds	Both a & b	these	1
		Cylindrical			
		mirror	Electron	None of	
Emitted Auger electrons are detected by	Auger detector	analyzer	detector	these	2
Auger electrons come from layers ranging between	20 . 50 %	65 : 05 î	100 to 200	2 . 20 %	
below the film surface.	30 to 50 Å Nature of	65 to 85 Å Incident	Å Both of	2 to 20 Å None of	4
Characteristics of an Auger electron are independent of	mature of material	beam	these	these	2
·	materiai	ocaiii	uiese	None of	2
Auger electron spectroscopy was observed by	Auger	Miller	Both a & b		1
In X-ray photo electron spectroscopy (XPES), binding energy		$E_b - h\gamma = K_E$	$E_b + Q_s =$	$E_b + h\gamma = Q_s$	
of electrons is related to X-ray energy by	$E_b = h\gamma - K_E + Q_s$	U . L		- K <sub>E</sub>	1
	0 . 2 3	Analysis of		<u>.</u>	
	Analysis of	inorganic	Both of	None of the	
ESCA technique is widely used for	organic material	material	these	above	1
		Auger	Both of	None of	
In ESCA, we use	X-ray photon	electron	these	these	1
Radiationless emission of electron was observed by					_
	Auger	Miller	Raman	Both c & d	1

CLASS: I M.Sc PHYSICS COURSE NAME: THIN FILM PHYSICS

OURSE CODE:18PHP205C UNIT V (Optical properties of thin films)

#### Optical properties of thin films and thin films solar cells:

Optical properties of solid emanate from its interactions with electromagnetic waves and are manifested in optical frequencies. The effect of such interaction in optical frequencies results in optical behavior. Optical properties of filmhave been studied extensively primarily because ofthere application in various optical and optoelectronic devices. It has been found that there is considerable deviation of optical parameters fromthat of the bulk material. The optical study of a solid, concern not only with physicalphenomenon like reflection, refraction, absorption, transmission and interference of lightbut also on interaction of photon energy with matter and consequent change in thereelectronic states. The study of optical properties of solids helps in understanding of electronic and atomic structure of these materials. Absorption studies providesimple means for the evaluation of absorption edge, optical energy band, opticaltransition that may be direct orindirect, allowed or forbidden and also of the nature of the solid material. Asimple way to determine the optical properties of solid is by illuminating the sample with light and then measure the reflection, transmittance or absorbance as afunction of photon energy. This was experimented by spectroscopic methods.

#### Thin Film Solar Cells

#### SOLAR Cell:PHOTOVOLTAICS

- Direct Conversion of light into electrical energy is called PHOTOVOLTAICS (PV)
- Photovoltaic devices which convert solar energy into electricity are called SOLAR CELLS
- Two electronically dissimilar materials (with different free electron densities) brought together to form a junction with a barrier form a PV device. Typical examples are:
  - metal<sub>1</sub>-oxide-metal<sub>2</sub>

CLASS: I M.Sc PHYSICS COURSE NAME: THIN FILM PHYSICS

COURSE CODE:18PHP205C UNIT V (**Optical properties of thin films**)

Energy (eV) metal-semiconductor (Schottk p-type semiconductor-n type semiconductor (Monojunction) n+-n semiconductor p-type semiconductor<sup>(1)</sup> n-type semiconductor<sup>(2)</sup> (Heterojunction) p- (Insulator)-n (Multijunction)

Prepared by Dr.A.Saranya, Asst Prof, Department of PHYSICS, KAHE

CLASS: I M.Sc PHYSICS COURSE NAME: THIN FILM PHYSICS

COURSE CODE:18PHP205C UNIT V (Optical properties of thin films)

-(Deemed to be University) (Established Under Section 3 of USC Act, 1956.)

#### SOLAR CELL

- Solar Cell operations depend on: o Absorption of light to create electron-hole pairs (carriers) o Diffusion of carriers o Separation of electrons and holes o Collection of carriers
- A Solar cell is a light driven battery with an open current voltage ( $V_{oc}$ ), short circuit current ( $I_{sc}$ ), maximum power point current and voltage ( $I_n$ ,  $V_m$ ), and a series and a parallel resistance ( $R_s$ ,  $R_{sh}$ ).
- Solar Cell Efficiency
  - output = Im Vm = I siVIL FT input nhv nhv depends on quantum efficiency of creation of carriers, effectiveness of separation of carriers before recombination and collection of the separated carriers.
- Highest Theoretical Efficiency of known Jct Materials Homojunction ~ 30%

Heterojunction ~ 42%

36 Tandem Multigap Jctns 76%

#### What is required for an ideal Solar Cell?

- 1. Cheap, Simple and Abundant Material
- 2.Integrated Large Scale Manufacturabilty
- 3.Cost (< 1\$/watt)and Long Life

HIGH ABSORPTION COEFFICIENT  $> 10^5\,$  cm<sup>-1</sup> with direct band gap  $\sim 1.5\,$  eV

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COURSE CODE:18PHP205C UNIT V (**Optical properties of thin films**)

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JUNCTION FORMATION ABILITY
HIGH QUANTUM EFFICIENCY
LONG DIFFUSION LENGTH
LOW RECOMBINATION VELOCITY
ABUNDANT, CHEAP & ECO-FRIENDLY MATERIAL

- · CONVENIENCE OF SHAPES AND SIZES
- · SIMPLE AND INEXPENSIVE INTEGRATED

#### PROCESSING/MANUFACTURABILITY

- · MINIMUM MATERIAL / WATT
- MINIMUM ENERGY INPUT/ WATT
- ENERGY PAY BACK PERIOD < 2 YEARS</li>
- · HIGH STABILTY and LONG

LIFE (> 20 Years)  $\cdot$  COST (< 1\$/Watt)

POSSIBLE Solar Cell Materials

Single Elements:

Si (epi, mc, nc,

mixed)

Carbon (nanotubes,

DLC)

Binary alloys / Compounds:

Cu<sub>2</sub>S, Cu<sub>2</sub>O Cu-C, CdTe, CdSe,

GaP, GaAs, InP,ZnP, a-Si: H, Dye coated TiO<sub>2</sub>

Ternary (+) Alloys / Compounds:

Cu-In-S, Cu-In-Se, Cu-Zn-S, CdZnSe, CdMnTe, Bi-Sb-S,

Cu-Bi-S, Cu-Al-Te, Cu-Ga-Se, Ag-In-S, Pb-Ca-S,

Ag-Ga-S, Ga-In-P, Ga-In-Sb, and so on.

Organic Materials:

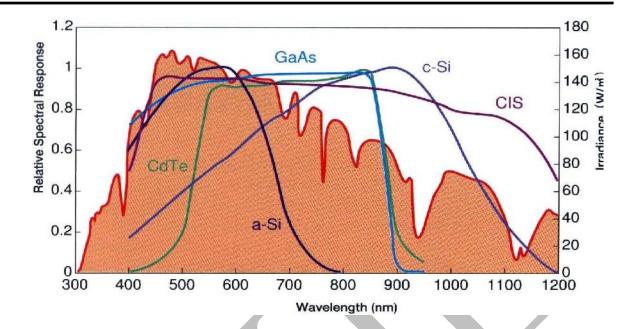
Semiconducting Organics / Polymers and Dyes

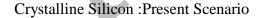
Spectral response of solar cells

CLASS: I M.Sc PHYSICS COURSE NAME: THIN FILM PHYSICS

COURSE CODE:18PHP205C UNIT V (**Optical properties of thin films**)

ARPAGAM COURSE CODE: 18PHP203C UNIT V (Optical properties of tillin films





- Efficiency of single crystal Si cells (Laboratory) has been rising steadily to ~ 25% as a result of better understanding of the junction properties and innovations in cell design and fabrication technologies.
- Efficiency gap between best laboratory cells, submodules/modules, and mass produced modules varies with the maturity of technology and can be at least 10% lower at every step so that the manufactured cell may be as low as 50% of the efficiency of the best laboratory cell.
- The world PV production of ~ 7900 MW in FY 2009 is primarily (~ 93%) based on single, crystal and polycrystalline silicon.
- With increasing production of Si-PV from 200 kW in 1976 to 6900 MW in 2008, the cost of solar cells has decreased from \$100 to about \$3/Wp
- With the existing technology and the material cost, the cost of Si cells can not be decreased significantly unless major innovations in the production of appropriate quality silicon I thin sheets take place.
- Present day technology uses 8"or larger pseudo square of ~ 200μ m thickness, with an

CLASS: I M.Sc PHYSICS COURSE NAME: THIN FILM PHYSICS

M COURSE CODE:18PHP205C UNIT V (Optical properties of thin films)

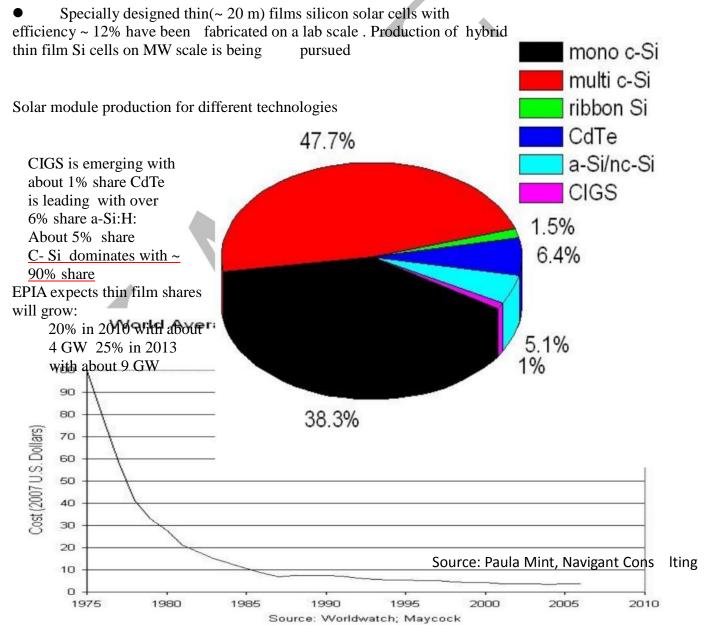
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- 4 years. The module life is about 25 years
- Specially designed silicon solar cells with efficiency ~ 18-20% are being manufactured on a limited scale for special applications (e.g. for concentration).

#### CLASS: I M.Sc PHYSICS COURSE NAME: THIN FILM PHYSICS

RPAGAM COURSE CODE:18PHP205C UNIT V (Optical properties of thin films)

• Polycrystalline silicon solar cells with efficiency ~ 12-14% are being produced on large scale.



CLASS: I M.Sc PHYSICS COURSE NAME: THIN FILM PHYSICS

COURSE CODE:18PHP205C UNIT V (**Optical properties of thin films**)

#### WHY THIN FILM SOLAR CELLS?

SMALL THICKNESS REQUIRED DUE TO HIGH ABSORPTION, SMALL DIFFUSION LENGTH & HIGH RECOMBINATION VELOCITY

MATERIALS ECONOMY, VERY LOW WEIGHT GHT PER UNIT POWER

□ VARIOUS SIMPLE & SOPHISTICATED DEPOSITION TECHNIQUES

□ A VARIETY OF STRUCTURES AVAILABLE : AMORPHOUS, PLOYCRYSTALLINE, EPITAXIAL

TOPOGRAPHY RANGING FROM VERY ROUGH TO ATOMICALLY SMOOTH DIFFERENT TYPES OF JUNCTIONS POSSIBLE -HOMO, HETERO, SCHOTTKY, PEC

□TANDEM AND MULTIJUNCTION CELLS POSSIBLE

□IN-SITU CELL INTEGRATION TO FORM MODULES

#### **COMPATIBILITY WITH SOLAR THERMAL DEVICES**

• TAILORABILITY OF VARIOUS OPTO-ELECTRONIC PROPERTIES (e.g; Energy Gap ,Electron Affinity ,Work function ,Graded Gap ,etc)

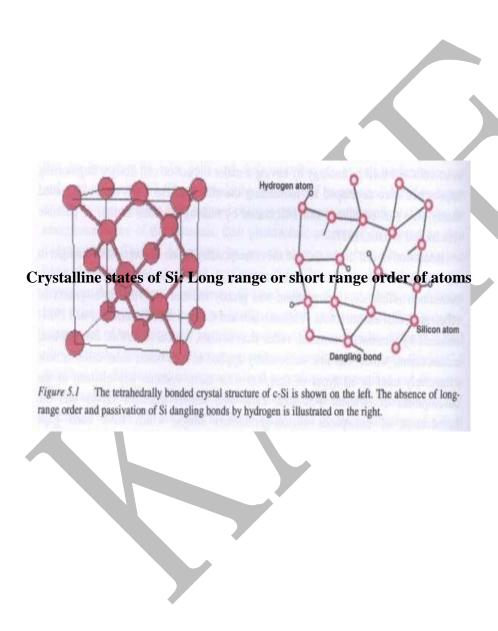
#### Thin Film Cu<sub>2</sub>S -CdS Cell

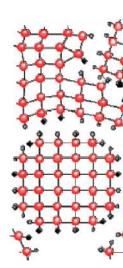
- One of the simplest solar cell to produce with simple chemical conversion technique
- Highest efficiency obtained ~10 %
- Large scale production of modules with ~5% efficiency demonstrated during 70's
- Stability of cells due to cuprous-cupric conversion remained an issue
- Due to the emergence of higher efficiency Si cells, this cell lost the battle of survival
- Revival of this cell with suitable modifications is a possibility

CLASS: I M.Sc PHYSICS COURSE NAME: THIN FILM PHYSICS

COURSE CODE:18PHP205C UNIT V (**Optical properties of thin films**)







Uncoordinated atoms and broken c-Si & Poly-Si bonds (called **dangling bonds** are

characteristics of a-Si

a-Si – amorphous Si

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(Deemed to be University)

a-Si:H – amorphous hydrogenated Si Hydrogen passivates the uc-Si:H – microcrystalline Si (hydrogenated)dangling bonds in a -Si:H. Almost

any impurity can be added to this open structure to obtain asuitable semiconducting behaviour

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(Catablished Under Section 3 of USC Act, 1956.)

### Absorption coefficient of Si can change with the crystalline state

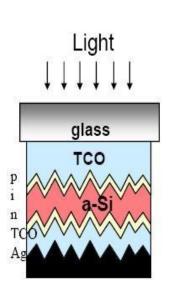


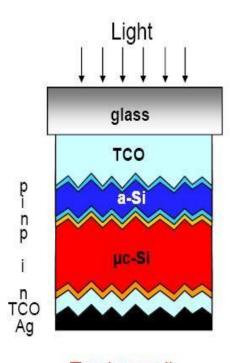


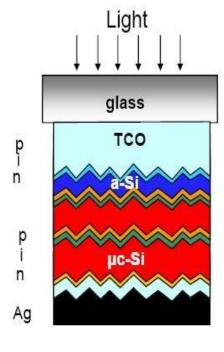
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## Why Amorphous Silicon as a Photovoltaic Material?







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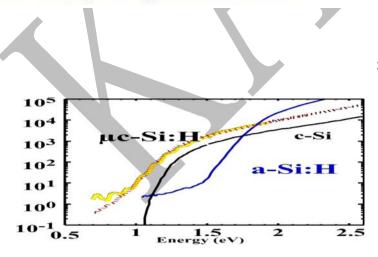
Single junction cell Module eff. 5-7%

Tandem cell Module eff. 7-10%

triple cell Module eff. > 12% y efficiently)

le transport

## properties for p-i-n type solar cells



small areaeff ~15%

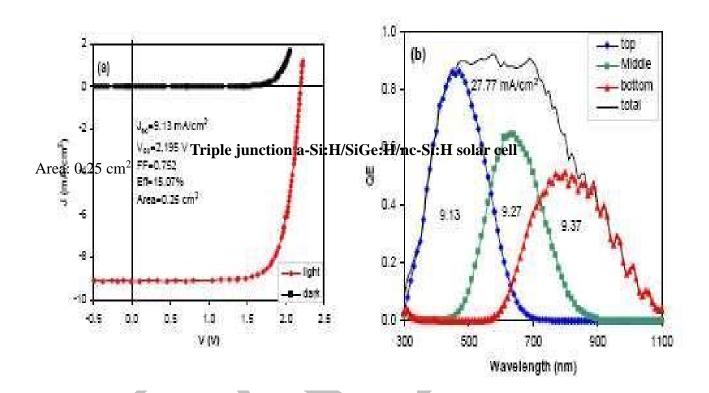
CLASS: I M.Sc PHYSICS

COURSE CODE:18PHP205C UNIT V (**Optical properties of thin films**)



# Single/tandem/triple junction solar cells

COURSE NAME: THIN FILM PHYSICS



Initial efficiency: 15.1%; Stable efficiency: 13.

CLASS: I M.Sc PHYSICS COURSE NAME: THIN FILM PHYSICS

(ARPAGAM COURSE CODE:18PHP205C UNIT V (Optical properties of thin films)

(Established Under Section 3 of USC Act, 2956.)

#### KARPAGAM UNIVERSITY, COIMBATORE-21 DEPARTMENT OF PHYSICS I M.Sc., PHYSICS (2018-2020) THIN FILM PHYSICS (18PHP205C)

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The initial step in the crystal growth is the formation of Development of 1° orientation as well as shape and morpho		
1 1		
Identification of individual stage except final stage is done l	by _ Deposition pale Electron diffr Current densa Twanning	g 2
The process involved in the formation of embryo is	Crystallizatio Fusion Nucleation All	3